

Lecture 3: Basis Transformations - I

Ashwin Joy

Department of Physics, IIT Madras, Chennai - 600036

Recap

In some orthonormal basis $\mathbb{B} = \{|e_1\rangle, |e_2\rangle, \dots, |e_N\rangle\}$, we write some

$$|a\rangle \equiv \begin{bmatrix} a_1 \\ a_2 \\ \vdots \end{bmatrix} \quad \text{and} \quad \mathbf{X} \equiv \begin{bmatrix} \mathbf{X}_{11} & \mathbf{X}_{12} & \dots \\ \mathbf{X}_{21} & \mathbf{X}_{22} & \dots \\ \vdots & \vdots & \ddots \end{bmatrix},$$

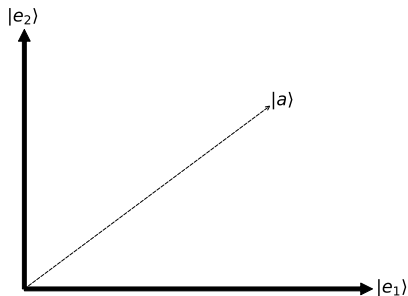
$$a_k = \langle e_k | a \rangle \quad \text{and} \quad \mathbf{X}_{ij} = \langle e_i | \mathbf{X} | e_j \rangle.$$

Inner product, $\langle a | a \rangle = \underbrace{[a_1^* \quad a_2^* \quad \dots]}_{\langle a |} \underbrace{\begin{bmatrix} a_1 \\ a_2 \\ \vdots \end{bmatrix}}_{|a\rangle} = \sum_i |a_i|^2 \geq 0.$

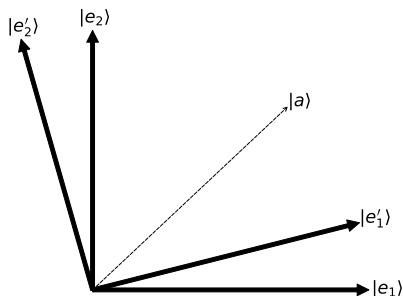
Dual correspondence, $\underbrace{\mathbf{X} |a\rangle}_{\text{ket}} \xrightarrow{\text{unique}} \underbrace{\langle a | \mathbf{X}^\dagger}_{\text{bra}}$ yields,

$$\boxed{\langle a | \mathbf{X} | b \rangle = \langle b | \mathbf{X}^\dagger | a \rangle^*}, \quad \text{where } \mathbf{X}^\dagger \equiv \text{conjugate transpose } \mathbf{X}$$

Basis Transformation in 2D



Some arbitrary $|a\rangle$ in \mathbb{B}



\mathbb{B} rotated to \mathbb{B}'

How do the components of $|a\rangle$ transform?

$$\underbrace{\begin{bmatrix} a_1 \\ a_2 \end{bmatrix}}_{\mathbb{B}} \xrightarrow{?} \underbrace{\begin{bmatrix} a'_1 \\ a'_2 \end{bmatrix}}_{\mathbb{B}'}$$

General Ortho-normal Bases

Consider,

$$\mathbb{B} = \{|e_1\rangle, |e_2\rangle, \dots, |e_N\rangle\} \quad \text{and} \quad \mathbb{B}' = \{|e'_1\rangle, |e'_2\rangle, \dots, |e'_N\rangle\}$$

$$\langle e_i | e_j \rangle = \langle e'_i | e'_j \rangle = \delta_{ij}$$

Since both \mathbb{B} and \mathbb{B}' are complete,

$$|e'_i\rangle = \sum_j |e_j\rangle \mathbf{U}_{ji} \quad \text{with} \quad \mathbf{U}_{ki} = \langle e_k | e'_i \rangle$$

Turns out that the operator \mathbf{U} is unitary, i.e., $\mathbf{U}^\dagger = \mathbf{U}^{-1}$

$$\delta_{ij} = \langle e_i | e_j \rangle = \langle e_i | \underbrace{\left(\sum_k |e'_k\rangle \langle e'_k| \right)}_I | e_j \rangle = \sum_k \mathbf{U}_{ik} \mathbf{U}_{kj}^\dagger = (\mathbf{U}\mathbf{U}^\dagger)_{ij}$$

How do vectors transform?

Typical component of $|a\rangle$ in \mathbb{B}' ,

$$a'_k = \langle e'_k | a \rangle = \sum_i \langle e'_k | e_i \rangle \langle e_i | a \rangle = \sum_i U_{ki}^\dagger a_i$$

Looks like a rule,

$$\underbrace{\begin{bmatrix} a'_1 \\ a'_2 \\ \vdots \end{bmatrix}}_{\text{new}} = \underbrace{\begin{bmatrix} U_{11}^\dagger & U_{12}^\dagger & \cdots \\ U_{21}^\dagger & U_{22}^\dagger & \cdots \\ \vdots & \vdots & \ddots \end{bmatrix}}_{U^\dagger} \underbrace{\begin{bmatrix} a_1 \\ a_2 \\ \vdots \end{bmatrix}}_{\text{old}}$$

This is not the same as

$$\cancel{|a'\rangle = U^\dagger |a\rangle} \quad \dots |a\rangle \text{ has not changed!}$$

Transformation of Operators

In \mathbb{B} , consider the operation

$$|b\rangle = \mathbf{X} |a\rangle$$

put simply,
$$\begin{bmatrix} b_1 \\ b_2 \\ \vdots \end{bmatrix} = \begin{bmatrix} \mathbf{X}_{11} & \mathbf{X}_{12} & \dots \\ \mathbf{X}_{21} & \mathbf{X}_{22} & \dots \\ \vdots & \vdots & \ddots \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ \vdots \end{bmatrix}$$
 with $\mathbf{X}_{ij} = \underbrace{\langle e_i | \mathbf{X} | e_j \rangle}_{\text{known}}$

In \mathbb{B}' , the above operation becomes

$$\begin{bmatrix} b'_1 \\ b'_2 \\ \vdots \end{bmatrix} = \begin{bmatrix} \mathbf{X}'_{11} & \mathbf{X}'_{12} & \dots \\ \mathbf{X}'_{21} & \mathbf{X}'_{22} & \dots \\ \vdots & \vdots & \ddots \end{bmatrix} \begin{bmatrix} a'_1 \\ a'_2 \\ \vdots \end{bmatrix}$$
 with $\mathbf{X}'_{ij} = \underbrace{\langle e'_i | \mathbf{X} | e'_j \rangle}_{\text{unknown}}$

Operator Elements in the New Basis

We use the identity operator I , tactfully!

$$\begin{aligned} \mathbf{X}'_{ij} = \langle e'_i | \mathbf{X} | e'_j \rangle &= \langle e'_i | \underbrace{\left(\sum_k |e_k\rangle \langle e_k| \right)}_I \mathbf{X} \underbrace{\left(\sum_l |e_l\rangle \langle e_l| \right)}_I | e'_j \rangle \\ &= \sum_{kl} \underbrace{\langle e'_i | e_k \rangle}_{U^\dagger_{ik}} \underbrace{\langle e_k | \mathbf{X} | e_l \rangle}_{X_{kl}} \underbrace{\langle e_l | e'_j \rangle}_{U_{lj}} \\ &= (\mathbf{U}^\dagger \mathbf{X} \mathbf{U})_{ij} \end{aligned}$$

yielding the unitary transform of the operator

$$\mathbf{X}' = \mathbf{U}^\dagger \mathbf{X} \mathbf{U}$$

Observations

- Determinant of a unitary operator is a unit complex number

$$\det(\mathbf{U}) = e^{i\phi}, \quad \phi \equiv \text{some phase}$$

Proof: Since $\mathbf{U}^\dagger \mathbf{U} = \mathbf{I}$,

$$\begin{aligned} 1 &= \det(\mathbf{I}) \\ &= \det(\mathbf{U}^\dagger \mathbf{U}) \\ &= \det(\mathbf{U}^\dagger) \cdot \det(\mathbf{U}) \\ &= \det(\mathbf{U}^{*T}) \cdot \det(\mathbf{U}) \\ &= \det(\mathbf{U}^*) \cdot \det(\mathbf{U}) \\ &= (\det(\mathbf{U}))^* \cdot \det(\mathbf{U}) \\ &= |\det(\mathbf{U})|^2 \end{aligned}$$

Implying, $\det(\mathbf{U}) = e^{i\phi}$.

Observations

- Trace & determinant of operators are invariants of the transform

Proof: We first notice that the trace,

$$\begin{aligned}\text{Tr}(\mathbf{X}') &= \text{Tr}(\mathbf{U}^\dagger \mathbf{X} \mathbf{U}) \\ &= \text{Tr}(\mathbf{X} \mathbf{U} \mathbf{U}^\dagger) \quad \dots \text{Tr is cyclic} \\ &= \text{Tr}(\mathbf{X}), \quad \text{is unchanged}\end{aligned}$$

and the determinant, $\det(\mathbf{X}') = \det(\mathbf{U}^\dagger \mathbf{X} \mathbf{U})$

$$\begin{aligned}&= \det(\mathbf{U}^\dagger) \cdot \det(\mathbf{X}) \cdot \det(\mathbf{U}) \\ &= \det(\mathbf{X}) \cdot \underbrace{|\det(\mathbf{U})|^2}_1 \\ &= \det(\mathbf{X}), \quad \text{is also unchanged}\end{aligned}$$