

Primordial black holes from inflation: dark matter, gravitational waves and imprints from evaporation

Rajeev Kumar Jain

Theoretical Cosmology group

Department of Physics, IISc Bangalore



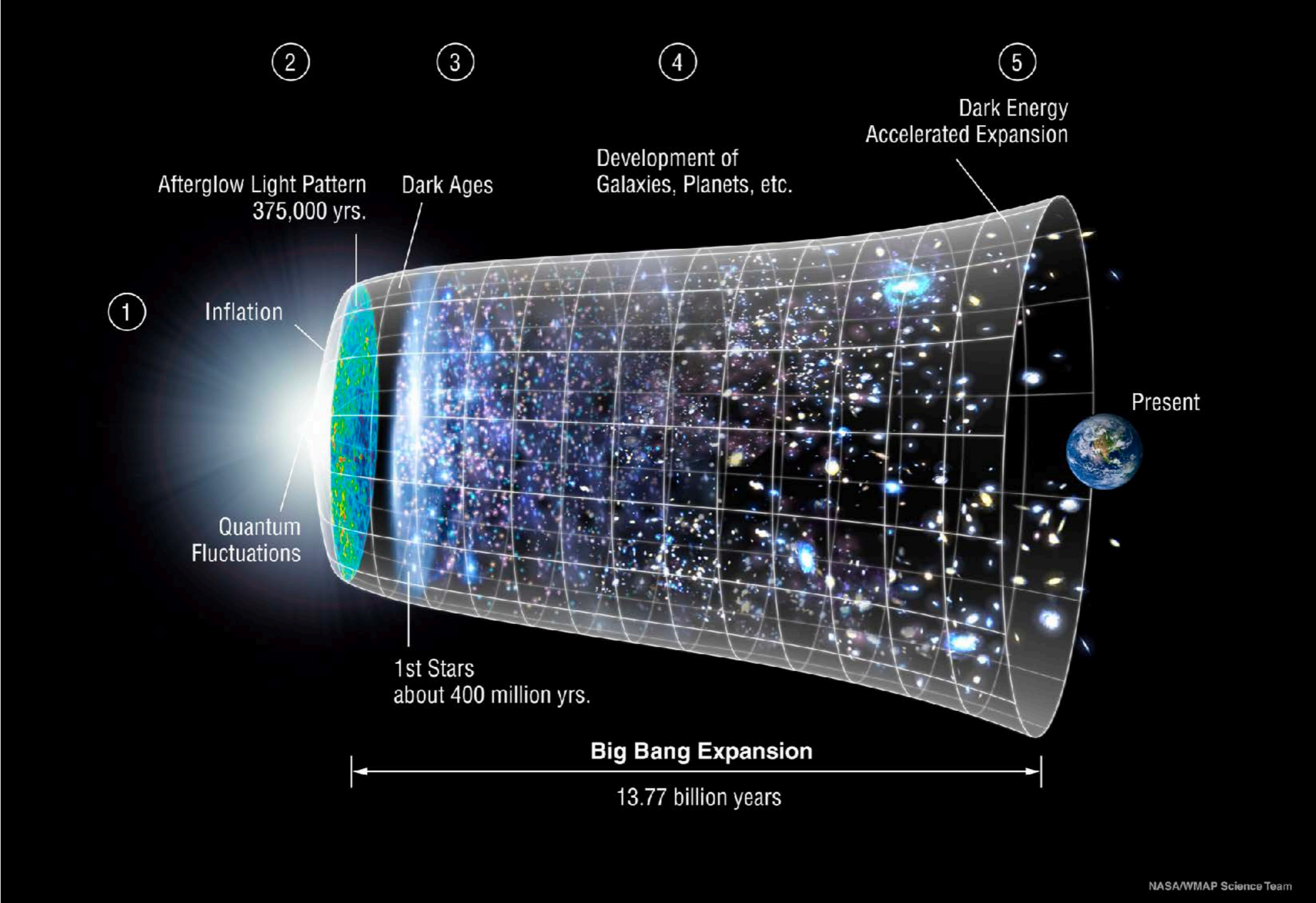
Dept. of Physics @ IIT Madras
Aug. 10, 2023



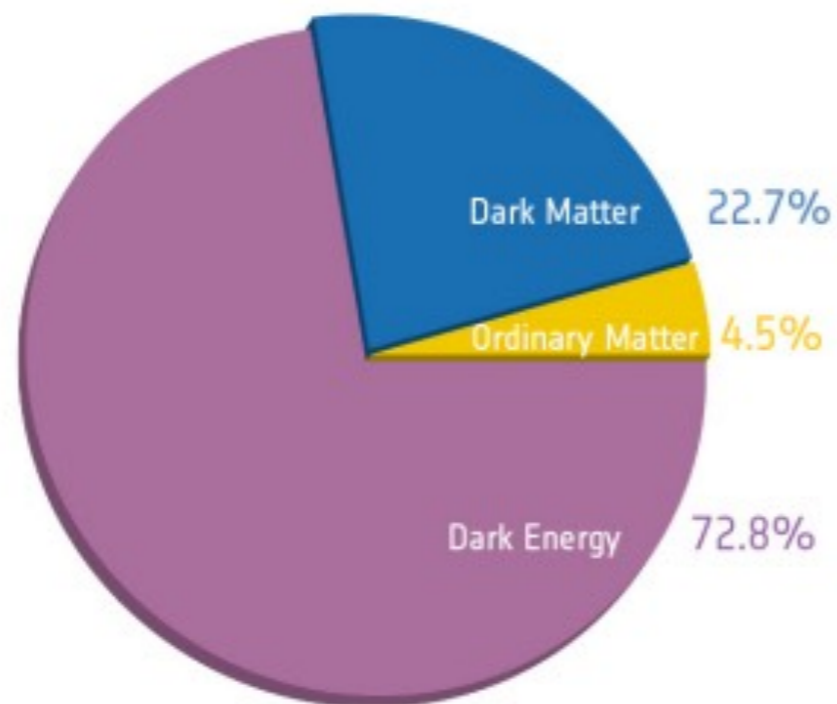
Outline of the talk

- *Why Primordial Black Holes (PBH) ?*
- *PBH generation mechanisms — single field inflation — inflection point models*
- *Primordial scalar power spectra and the PBH mass fraction*
- *Observational imprints of PBHs*
 - *Induced secondary GWs*
 - *Imprints from Hawking evaporation*
- *Conclusions*

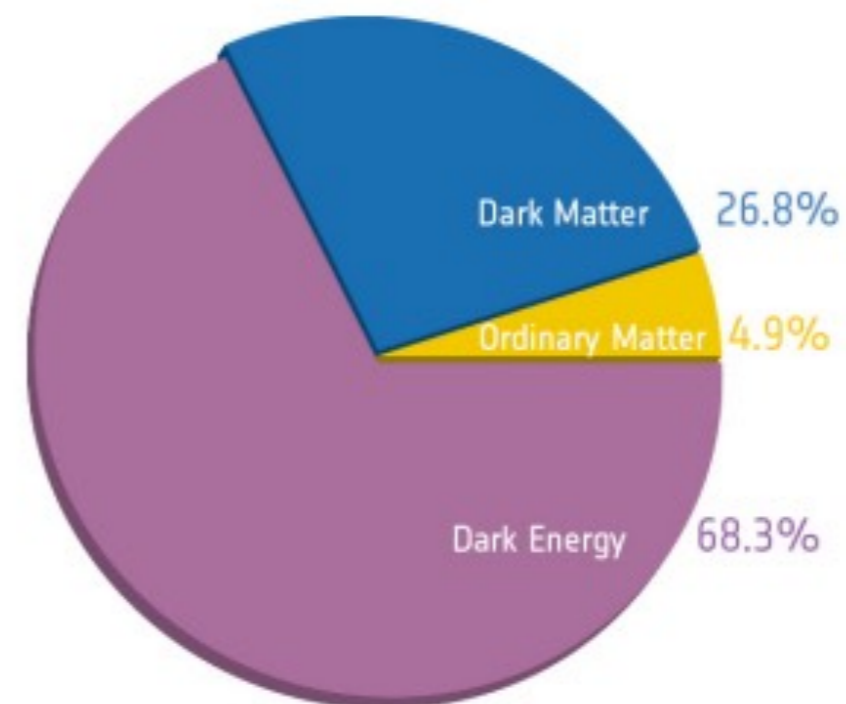
The evolution of the universe



Λ CDM *and the 'Cosmic' cake*

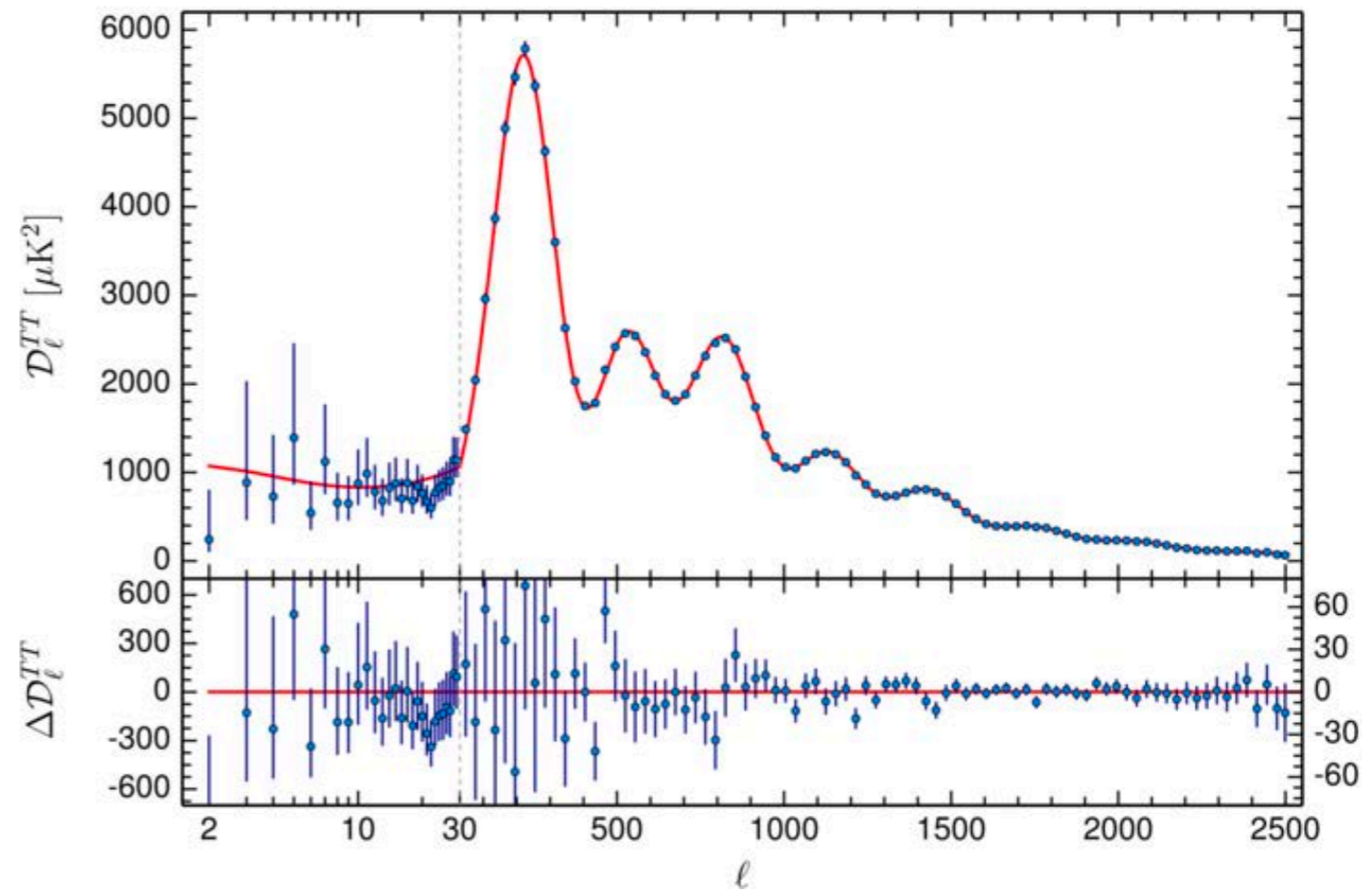
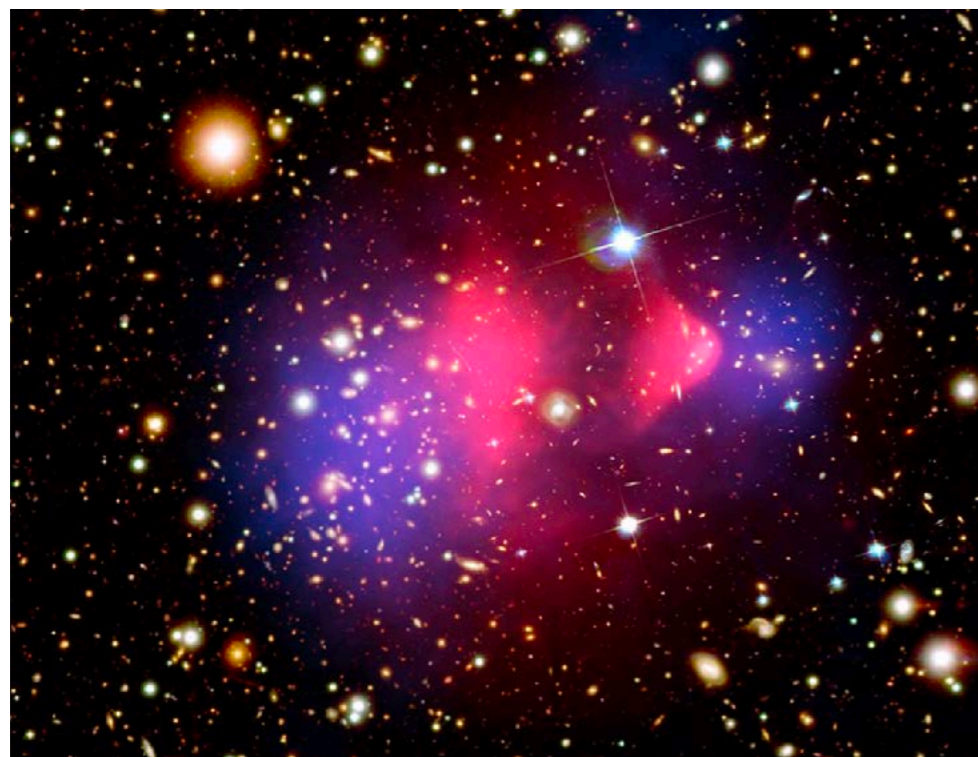
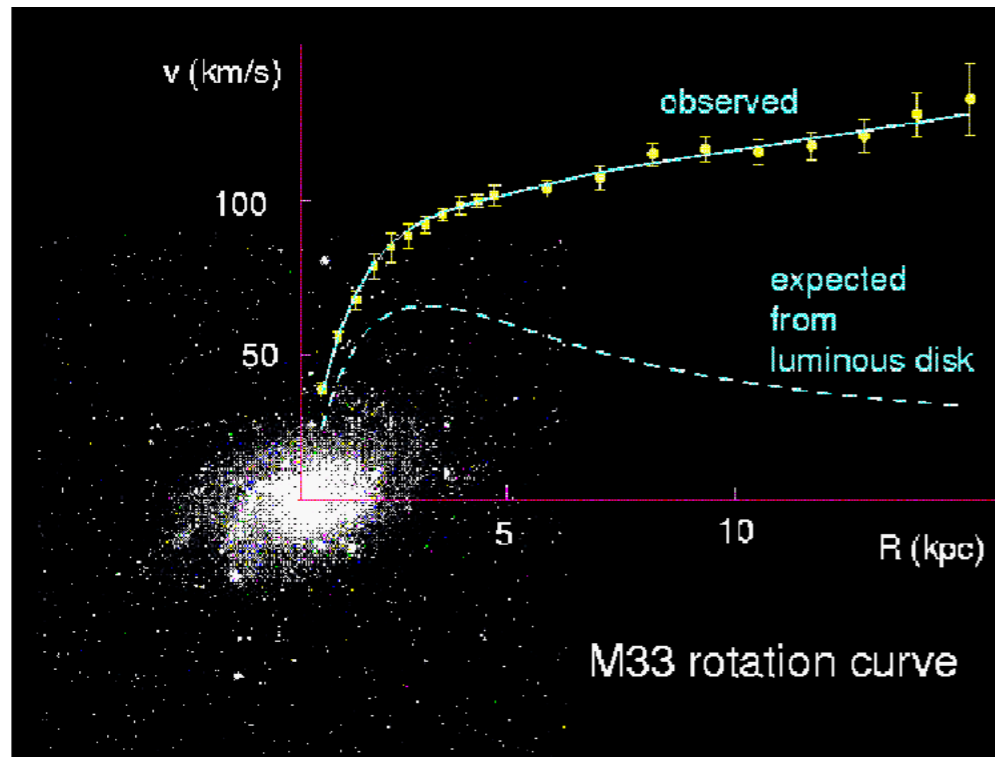


Before Planck

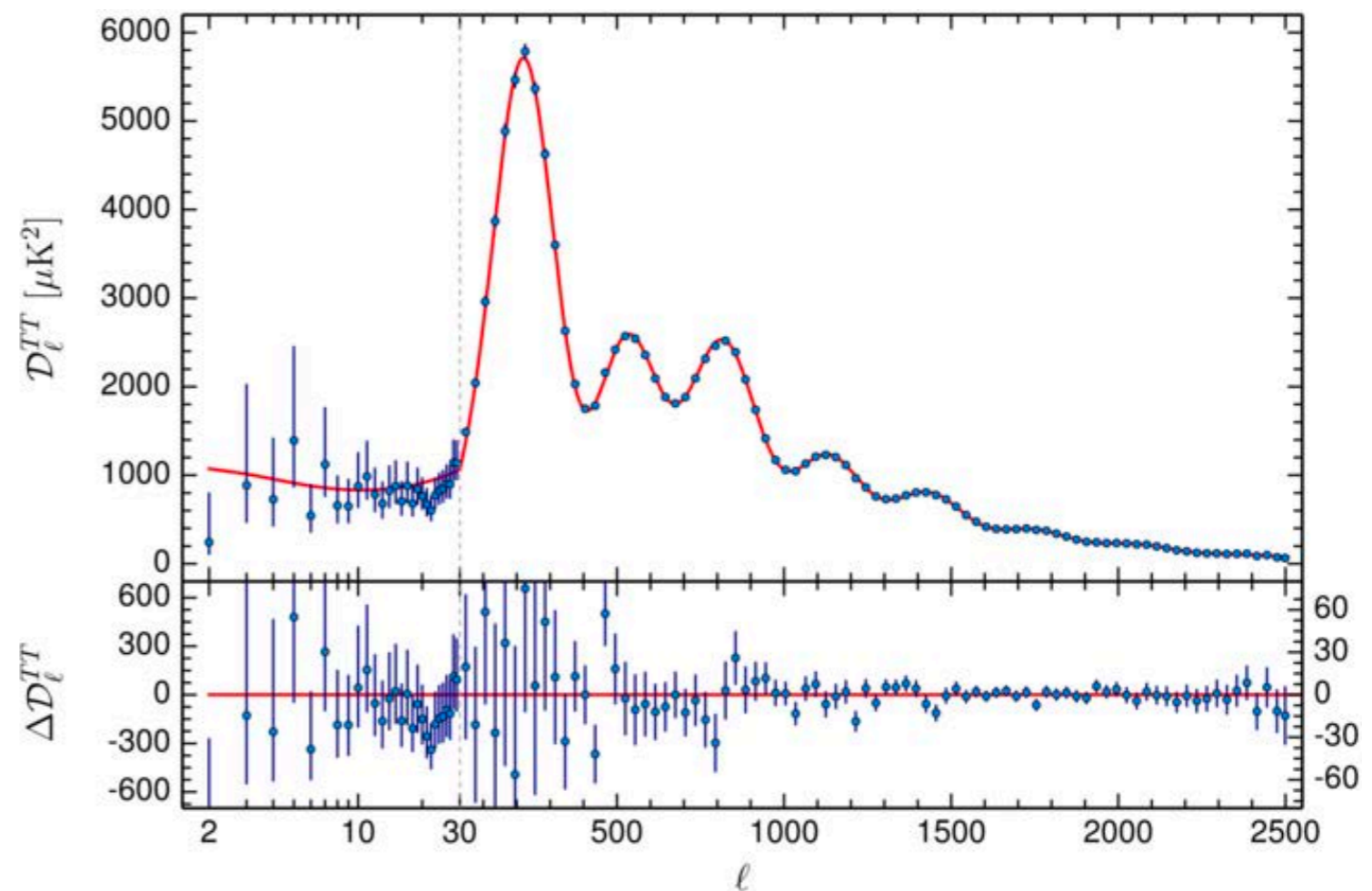
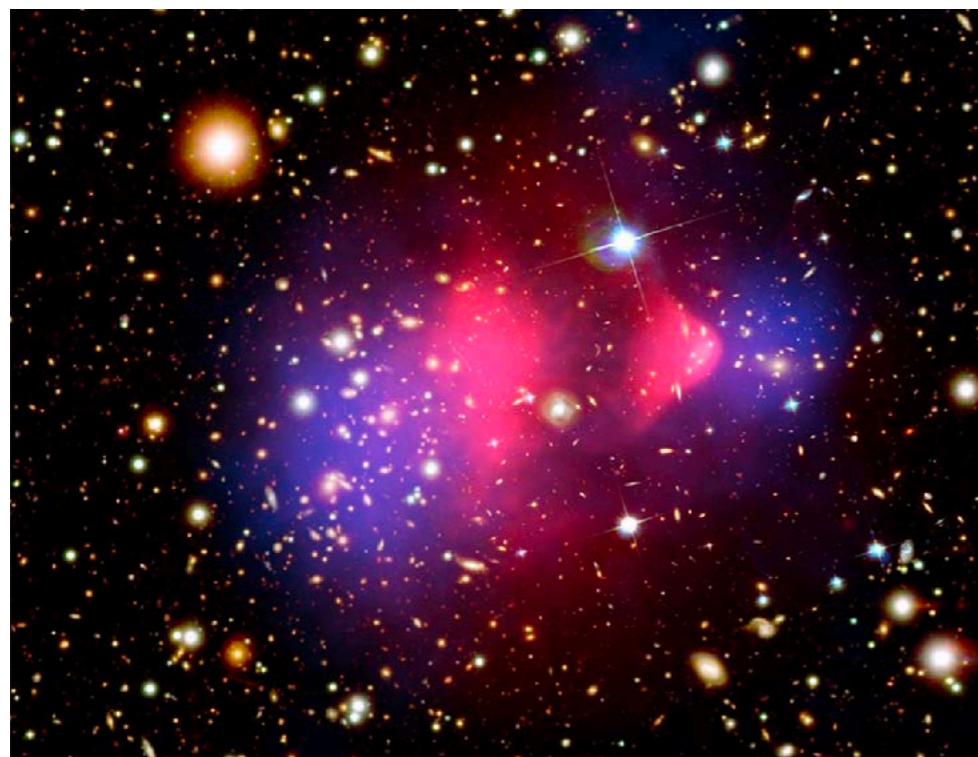
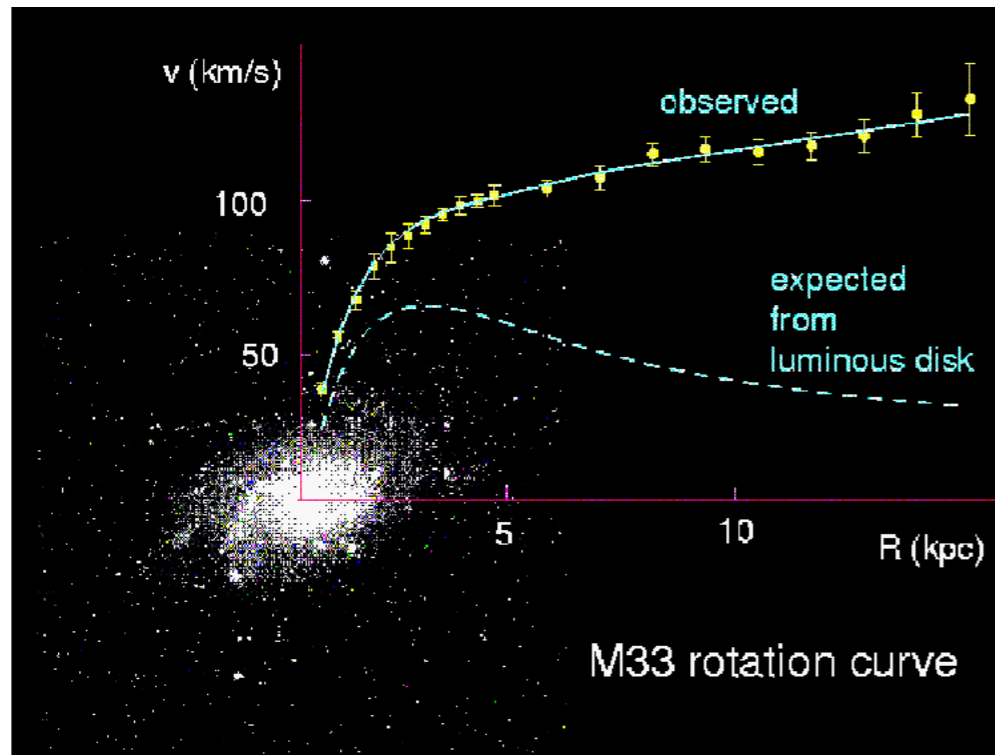


After Planck

Dark matter — observational evidence



Dark matter — observational evidence



DM: A known *Unknown* !

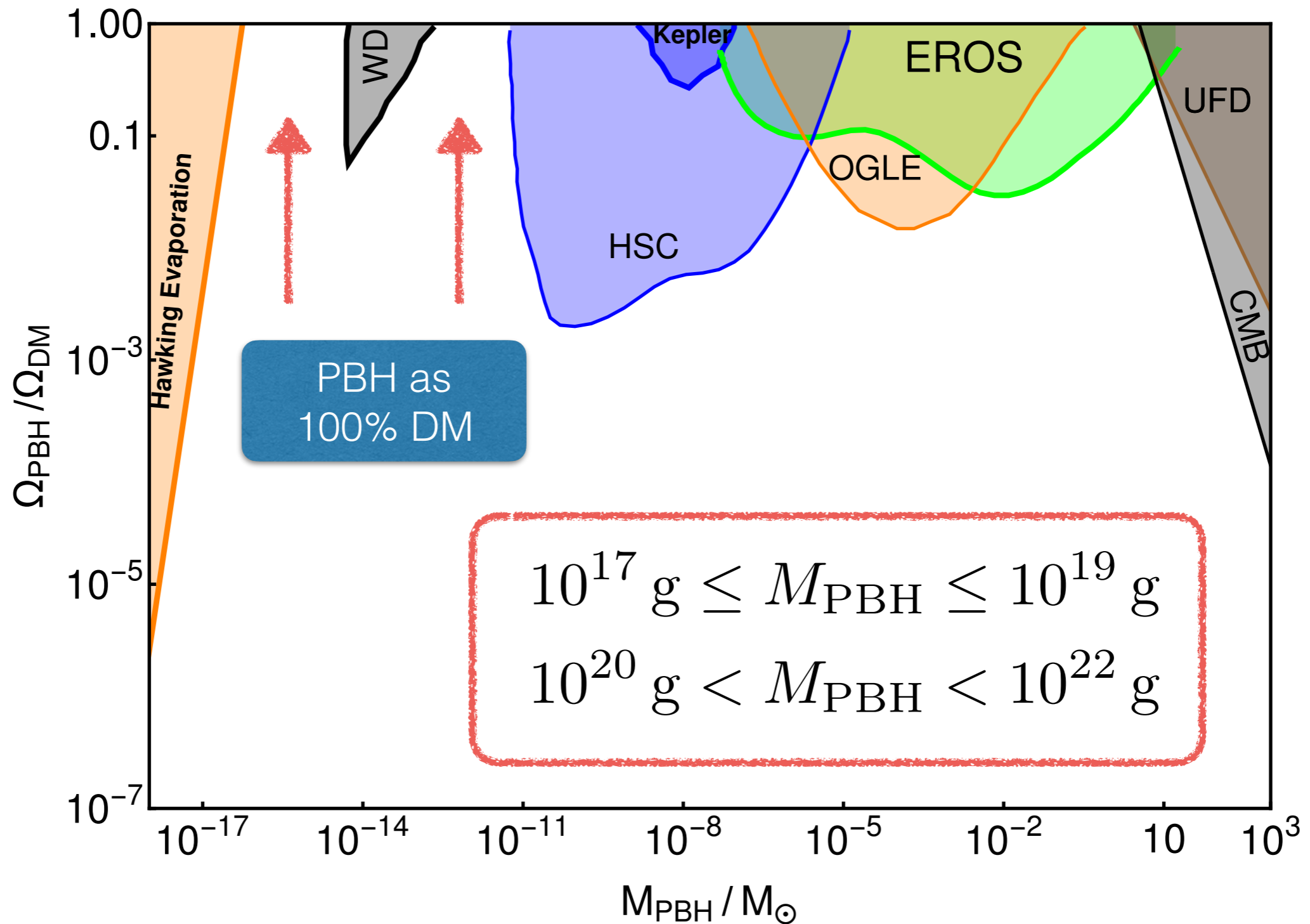
Dark matter candidates



Why Primordial Black Holes (PBH) ?

- A novel and promising candidate for cold dark matter
- Inflation can generate PBH abundantly
- Non-baryonic, non-relativistic and nearly collisionless
- No new physics required !
- LIGO detection of GWs from supermassive black holes — seeds from PBHs

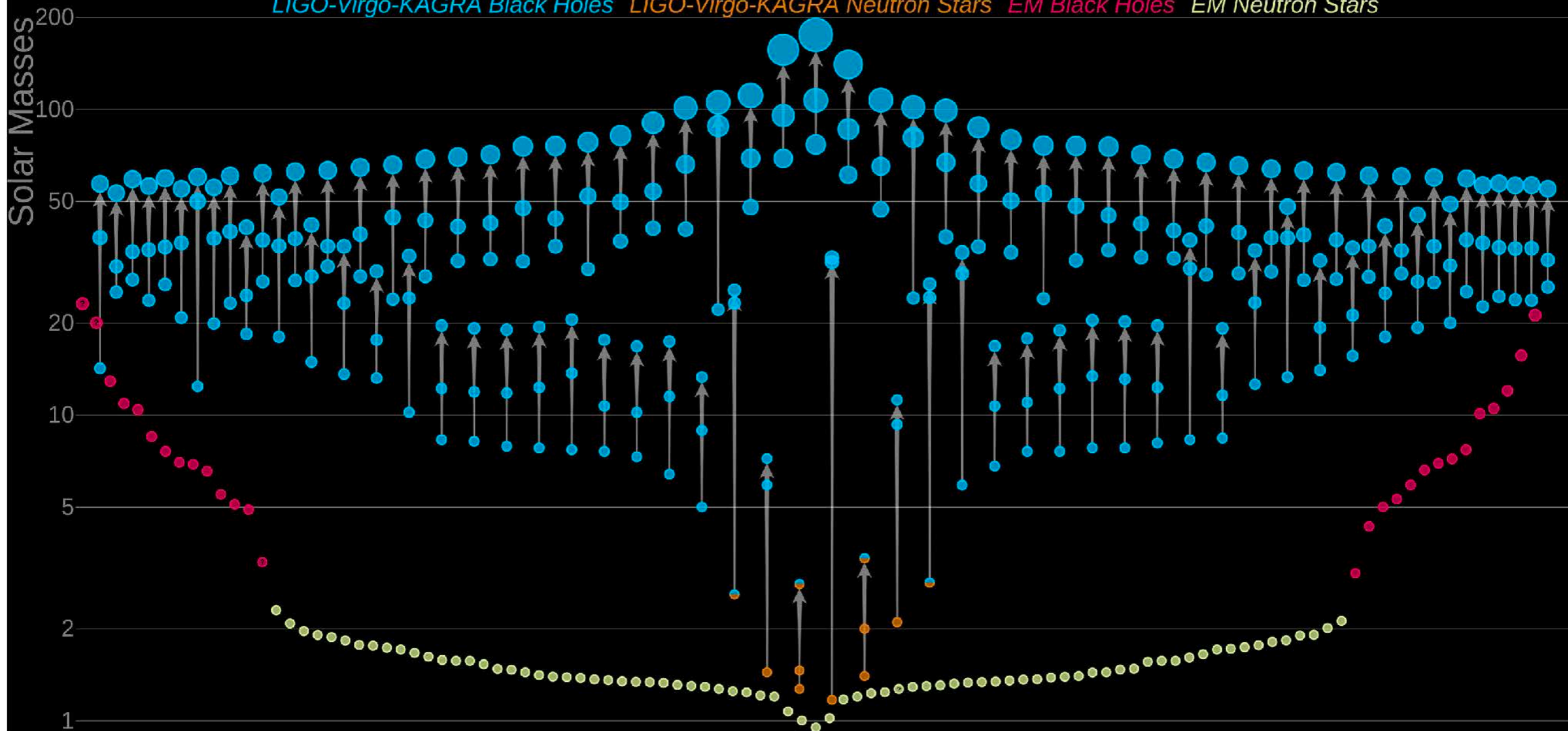
PBH as DM — Current constraints



Dark matter — LIGO GWs

Masses in the Stellar Graveyard

LIGO-Virgo-KAGRA Black Holes LIGO-Virgo-KAGRA Neutron Stars EM Black Holes EM Neutron Stars



Dark matter — LIGO GWs

Masses in the Stellar Graveyard

LIGO-Virgo-KAGRA Black Holes LIGO-Virgo-KAGRA Neutron Stars EM Black Holes EM Neutron Stars

Solar Masses

200
100
50
20
10
5
2
1

Did LIGO detect dark matter?

Simeon Bird,* Ilias Cholis, Julian B. Muñoz, Yacine Ali-Haïmoud, Marc Kamionkowski, Ely D. Kovetz, Alvise Raccanelli, and Adam G. Riess¹

¹*Department of Physics and Astronomy, Johns Hopkins University,
3400 N. Charles St., Baltimore, MD 21218, USA*

We consider the possibility that the black-hole (BH) binary detected by LIGO may be a signature of dark matter. Interestingly enough, there remains a window for masses $20 M_{\odot} \lesssim M_{\text{bh}} \lesssim 100 M_{\odot}$ where primordial black holes (PBHs) may constitute the dark matter. If two BHs in a galactic halo

Can LIGO black holes be primordial ?

- LIGO observations consistent with no spin (hard to produce astrophysically !)
- Black holes with masses $M > 10^{-17} M_{\odot} \sim 10^{16} \text{ g}$ can survive the age of the universe without Hawking evaporating

$$t_{\text{ev}}(M) \sim \frac{G^2 M^3}{\hbar c^4} \sim 10^{63} \left(\frac{M}{M_{\odot}} \right)^3 \text{ yr.}$$

- Observations of below Chandrasekhar mass black holes would be a smoking gun proof of the existence of PBH !

PBH formation from inflation — in a nutshell

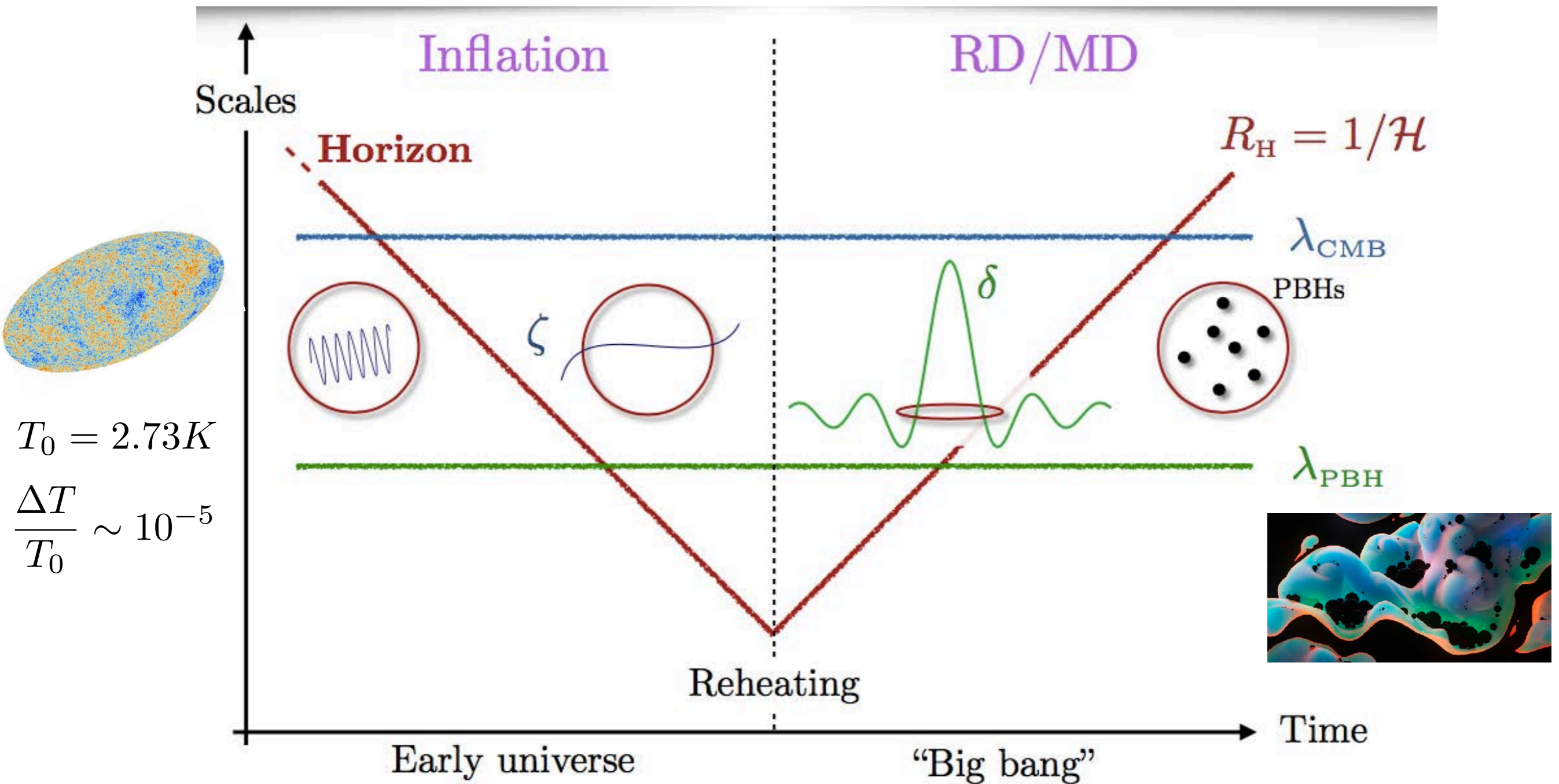
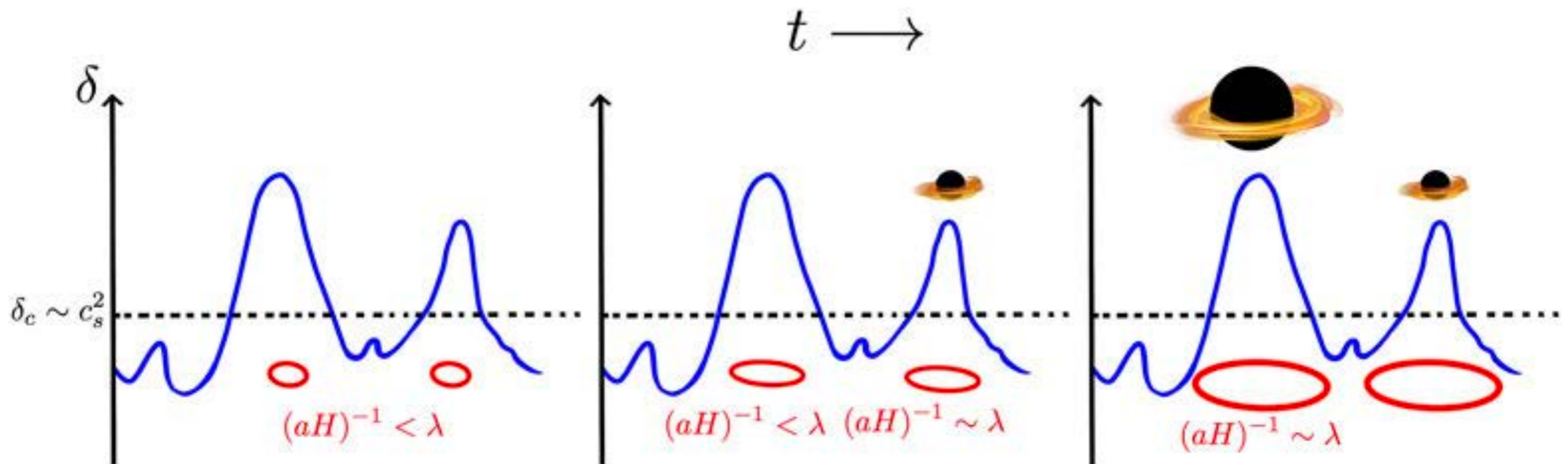


Fig. credit: G. Franciolini

PBH formation from inflation — in a nutshell



Collapse of overdense fluctuations during radiation domination

Fig. credit: Front. Astron. Space Sci., 2021

PBH formation from inflation — in a nutshell

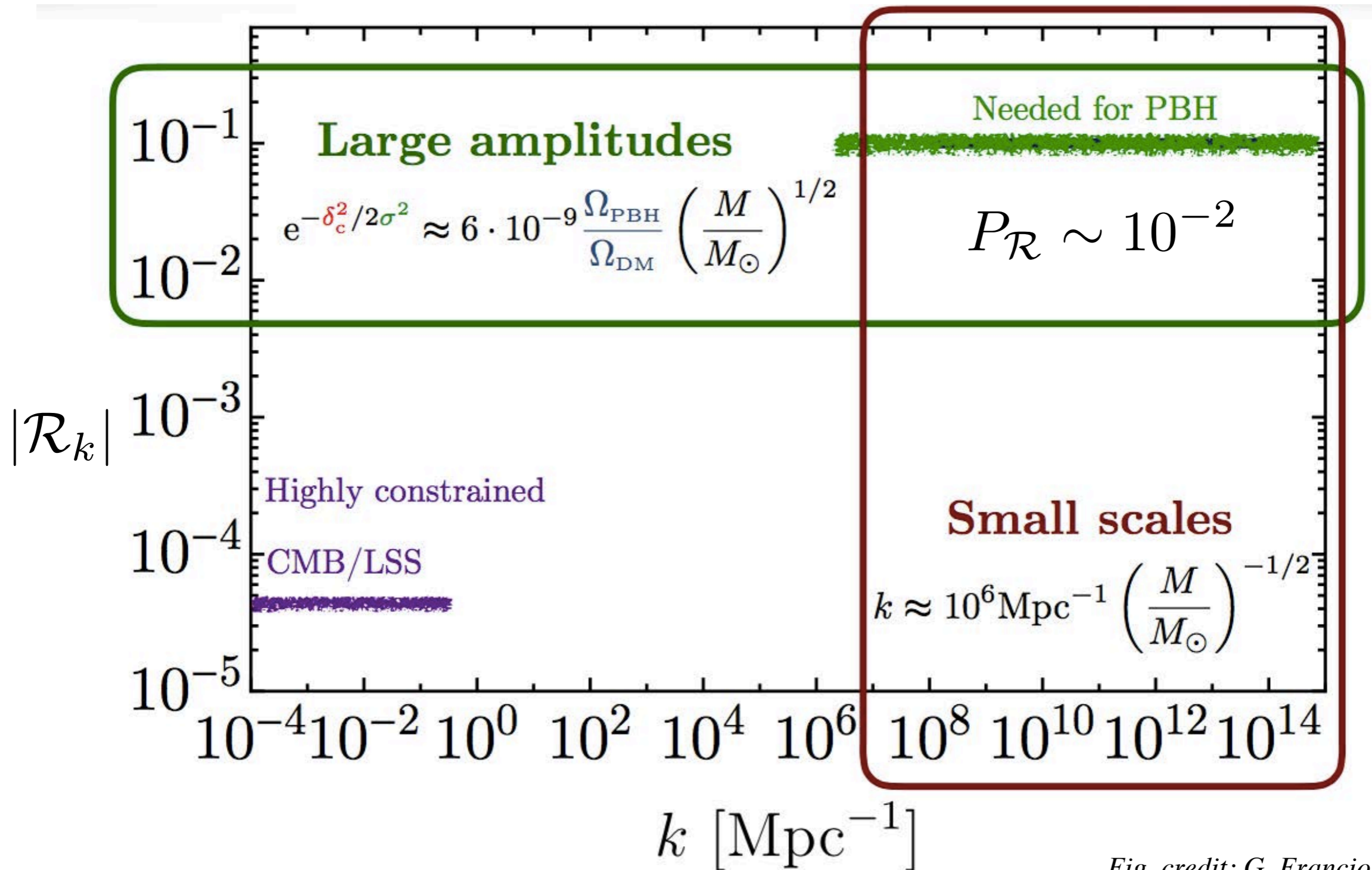
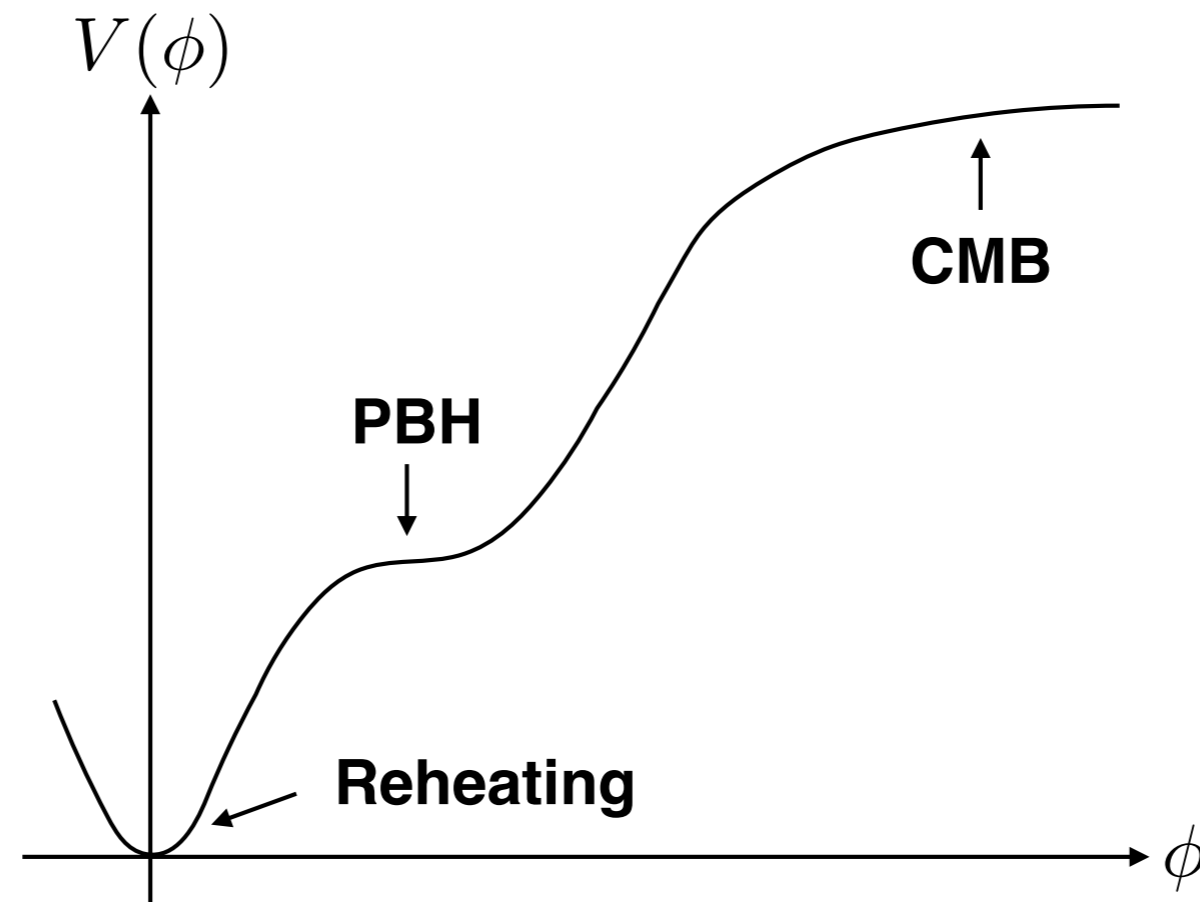


Fig. credit: G. Franciolini

PBH formation from inflation

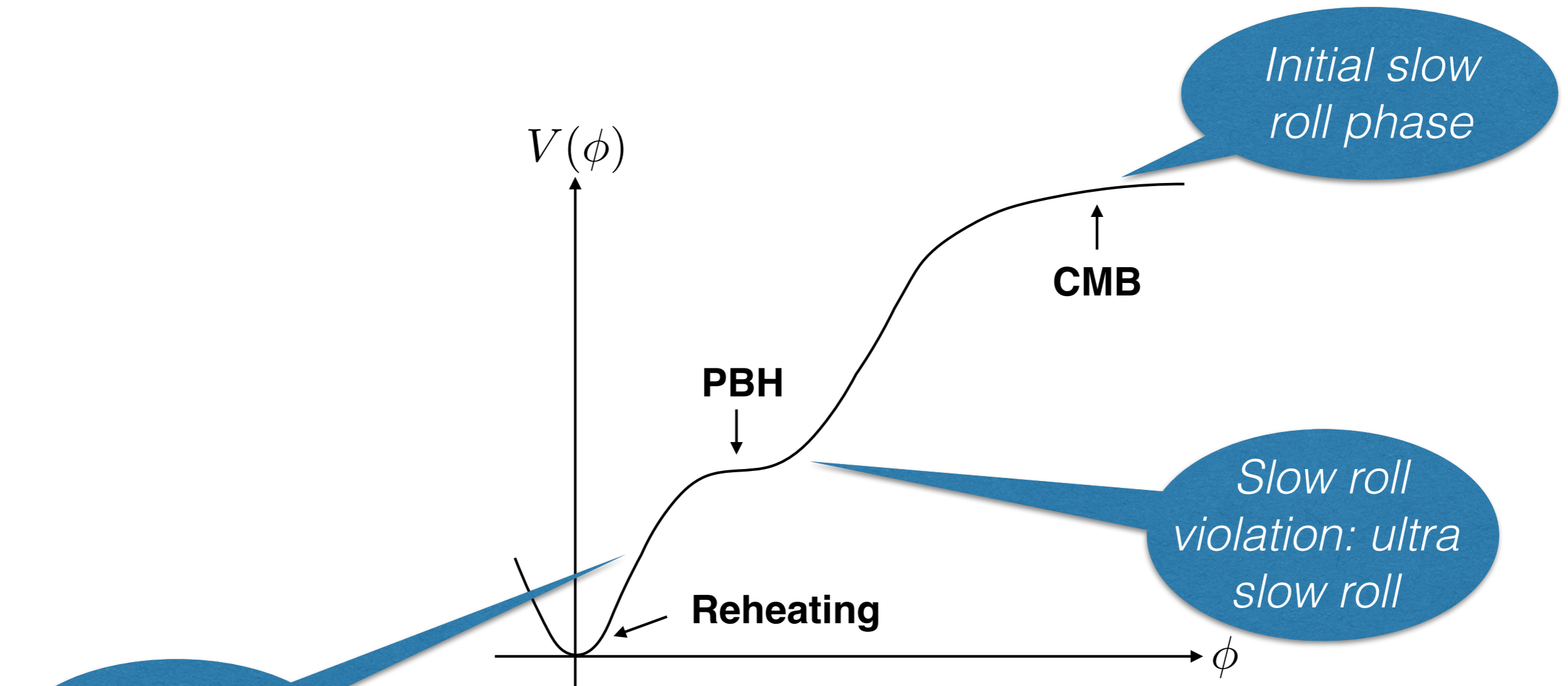
- Single field inflation with polynomial inflection point models
- Inflation with running spectral index — but running is small !
- Preheating after inflation
- Hybrid inflation
- Inflating/axionlike curvaton
- Particle production during inflation
- Critical Higgs inflation, string inflation, thermal inflation....

Inflation — inflection point models



$$P_{\mathcal{R}} \sim \frac{H^2}{\epsilon}$$

Inflation — inflection point models



Final slow roll phase

Slow roll violation: ultra slow roll

Initial slow roll phase

$$P_{\mathcal{R}} \sim \frac{H^2}{\epsilon}$$

An inflection point scenario

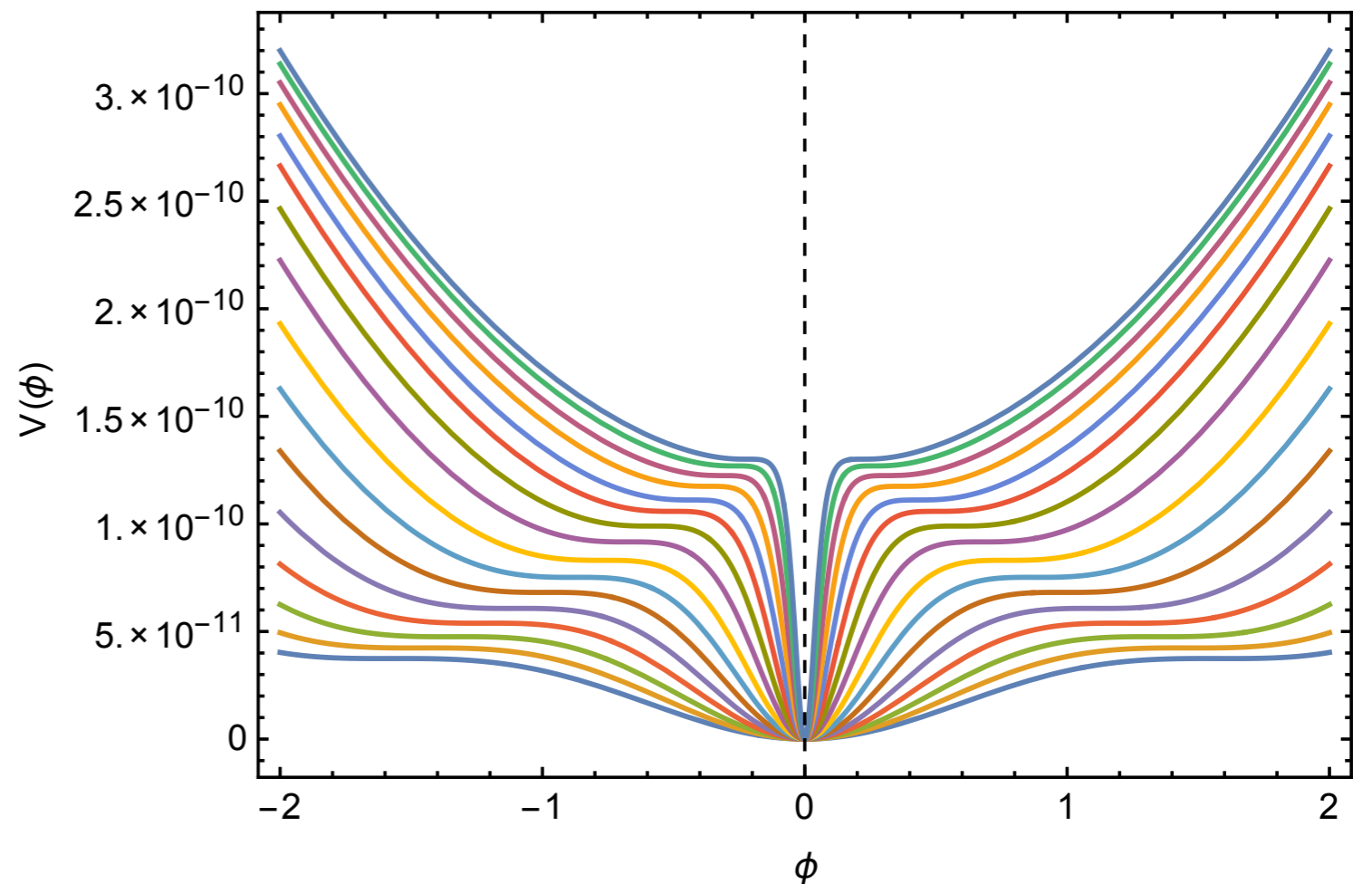
$$V(x) = V_0 \frac{ax^2 + bx^4 + cx^6}{(1 + dx^2)^2}, \quad x = \phi/v$$

$$x \gg 1 : V(x) \simeq \frac{V_0 c}{d^2} x^2$$

$$x \ll 1 : V(x) \simeq V_0 a x^2$$

Quadratic for both large
& small field values

$$r \sim 0.05$$



N. Bhaumik & **RKJ**, JCAP 01, 037 (2020)

Slow roll, ultra slow roll and all that...

Background evolution

$$H^2 = \frac{V(\phi)}{M_{\text{Pl}}^2(3 - \epsilon)},$$

$$\frac{d^2\phi}{dN^2} + (3 - \epsilon)\frac{d\phi}{dN} + \frac{1}{H^2}V'(\phi) = 0,$$

$$\text{SR: } \frac{d\phi}{dN} + \frac{1}{3H^2}V'(\phi) \simeq 0,$$

$$\text{USR: } \frac{d^2\phi}{dN^2} + 3\frac{d\phi}{dN} \simeq 0,$$

$$\epsilon \sim \exp[-6(N - N_i)]$$

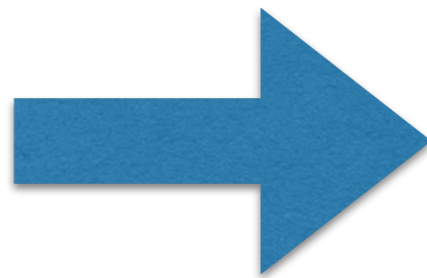
$$\eta \simeq \epsilon + (3 - \epsilon) \sim 3$$

Curvature perturbations

$$\mathcal{R}_k'' + 2\left(\frac{z'}{z}\right)\mathcal{R}_k' + k^2\mathcal{R}_k = 0.$$

$$\frac{z'}{z} = aH(1 + \epsilon - \eta)$$

$$\mathcal{R}_k(\tau) \simeq C_1 + C_2 \int \frac{d\tau}{z^2}$$



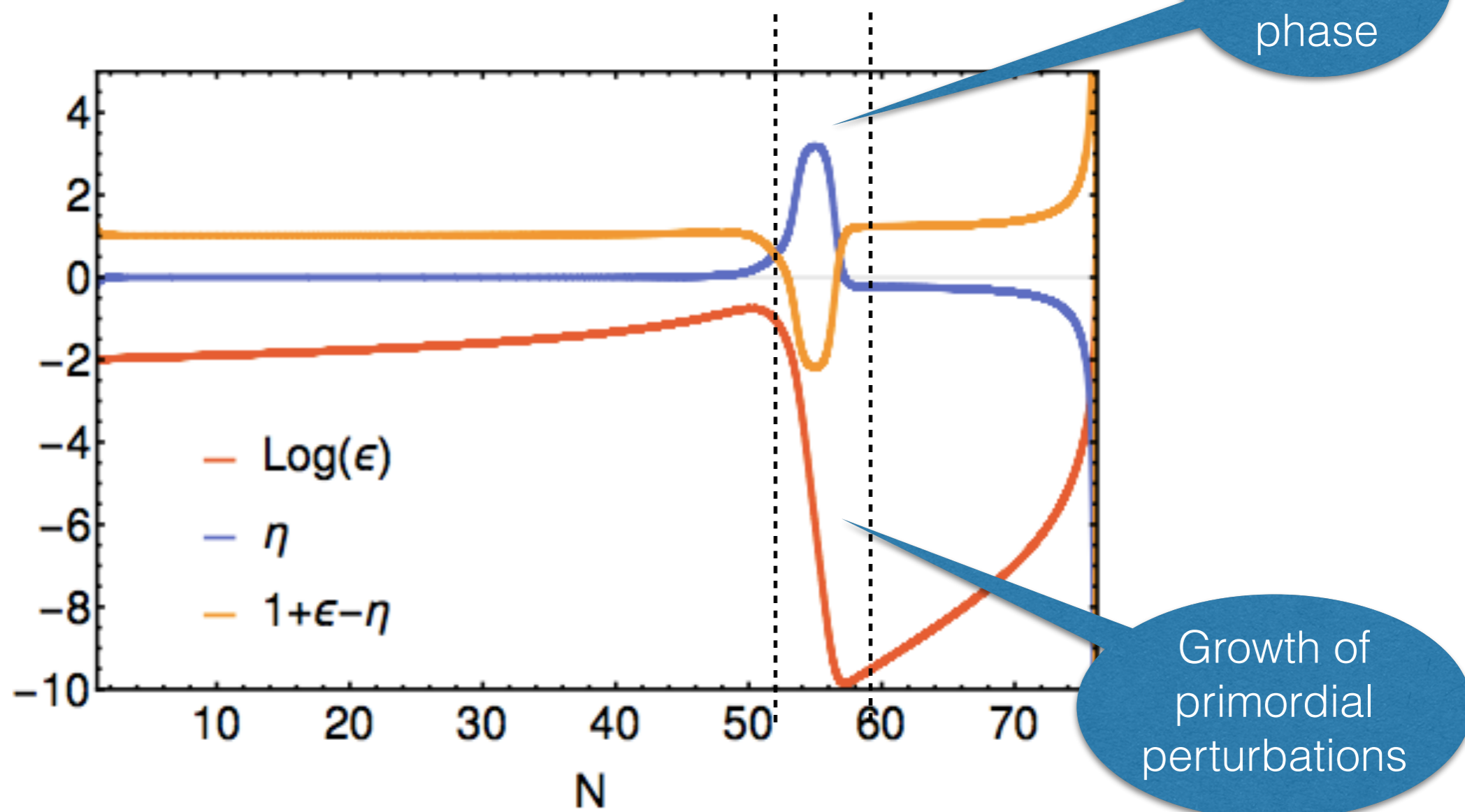
$$P_{\mathcal{R}} = \frac{k^3}{2\pi^2} |\mathcal{R}_k|^2$$

$$P_{\mathcal{R}} \sim \frac{H^2}{\epsilon}$$

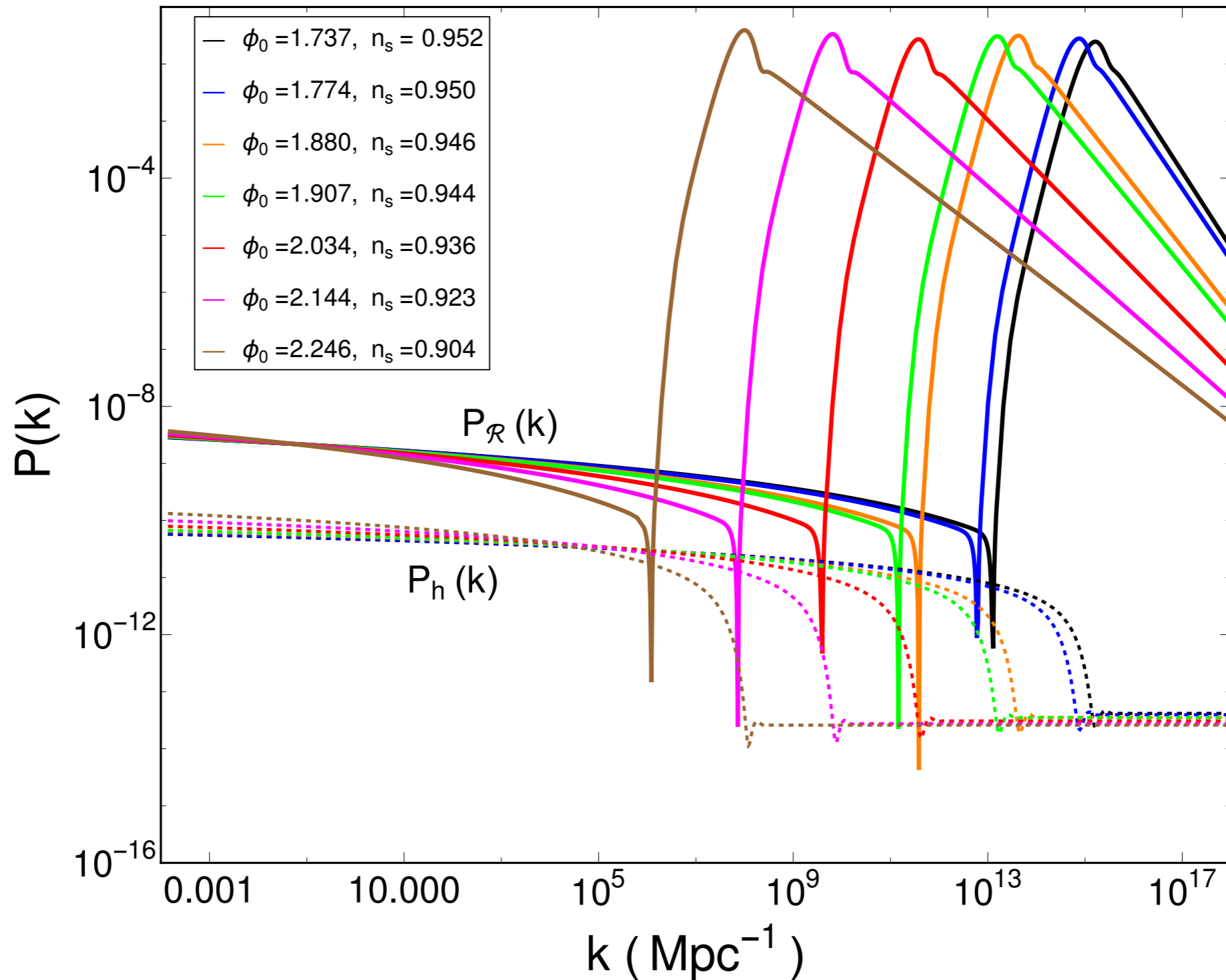
Slow roll, ultra slow roll and all that...

$$\epsilon \sim \exp[-6(N - N_i)]$$

$$\eta \simeq \epsilon + (3 - \epsilon) \sim 3$$



Primordial power spectra



N. Bhaumik & **RKJ**, JCAP 01, 037 (2020)

PBH mass fraction: Press-Schechter formalism

$$\delta(k, t) \simeq \frac{2(w+1)}{(3w+5)} \left(\frac{k}{aH}\right)^2 \mathcal{R}_k.$$

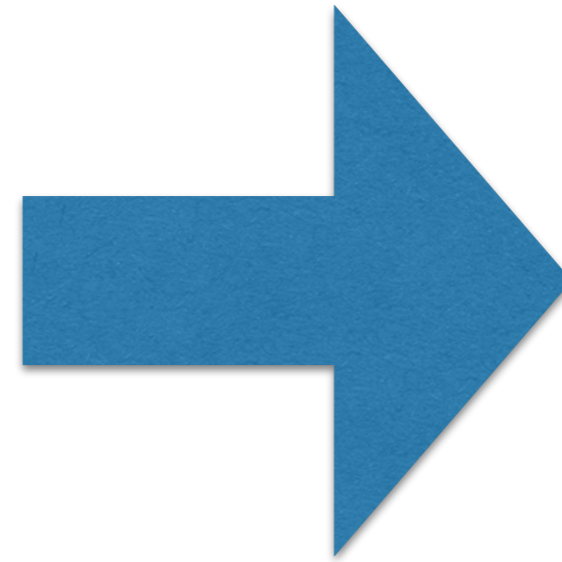
$$\sigma_\delta^2(R) \simeq \frac{16}{81} \int \frac{dk}{k} (kR)^4 P_{\mathcal{R}}(k) W^2(k, R).$$

$$\beta_f(M) \simeq \sqrt{\frac{1}{2\pi}} \frac{\sigma_\delta(M(R))}{\delta_c} \exp\left(-\frac{\delta_c^2}{2\sigma_\delta^2(M(R))}\right)$$

$$\beta(M) \equiv \frac{\rho_{\text{PBH}}(M)}{\rho_{\text{tot}}}.$$

$$\beta_{\text{eq}}(M) \simeq \beta_f(M) \left(\frac{a_{\text{eq}}}{a_f}\right)$$

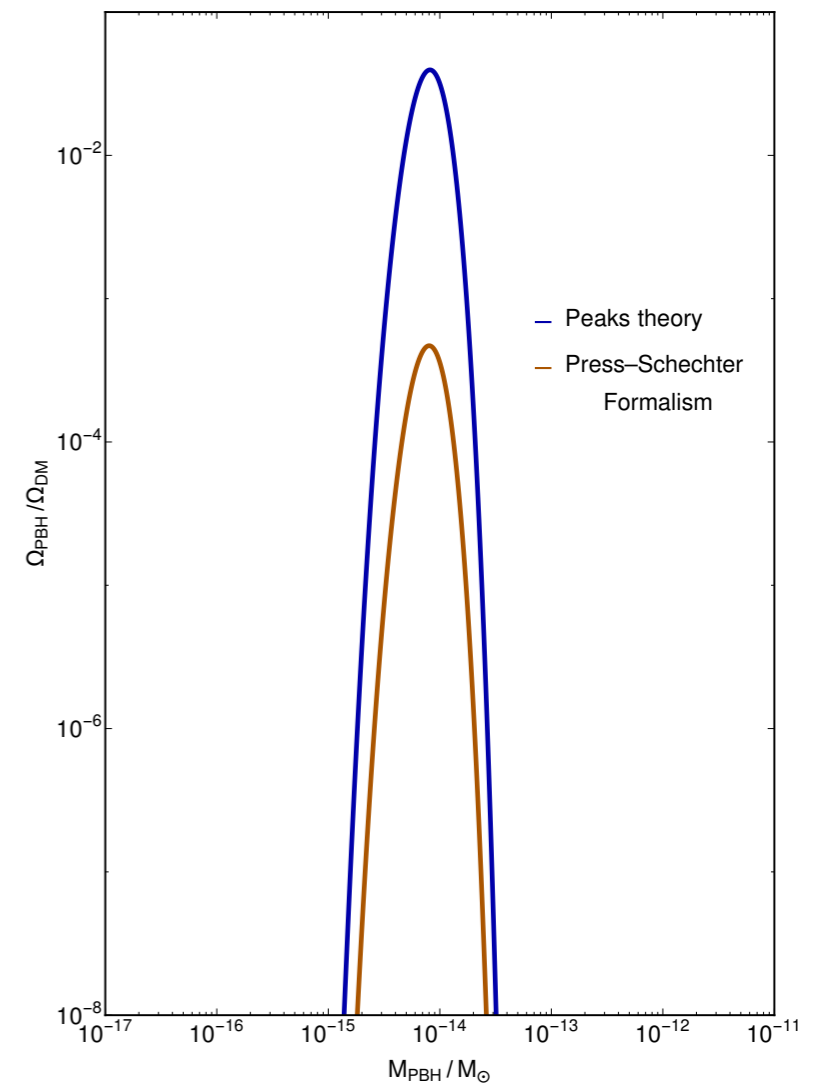
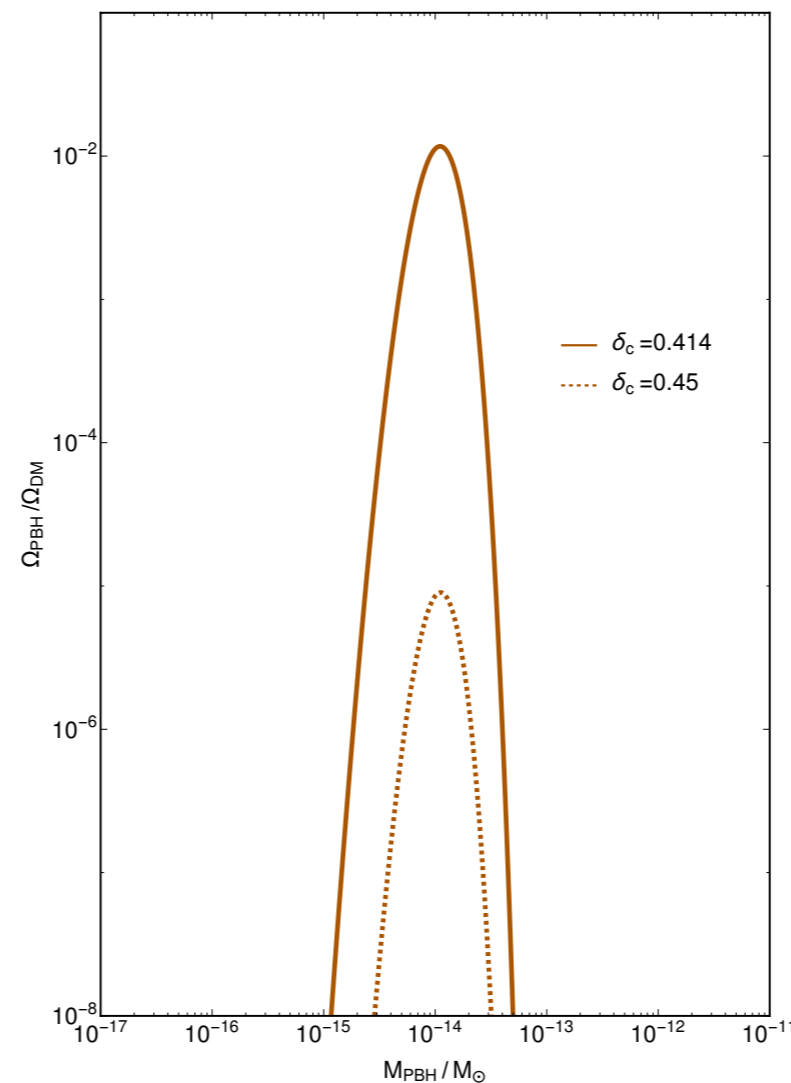
$$f_{\text{PBH}}(M) \equiv \frac{\Omega_{\text{PBH}}(M)}{\Omega_{\text{DM}}} = \frac{\beta(M)}{8 \times 10^{-16}} \left(\frac{\gamma}{0.2}\right)^{3/2} \left(\frac{g_*}{106.75}\right)^{-1/4} \left(\frac{M}{10^{18} \text{ g}}\right)^{-1/2}$$



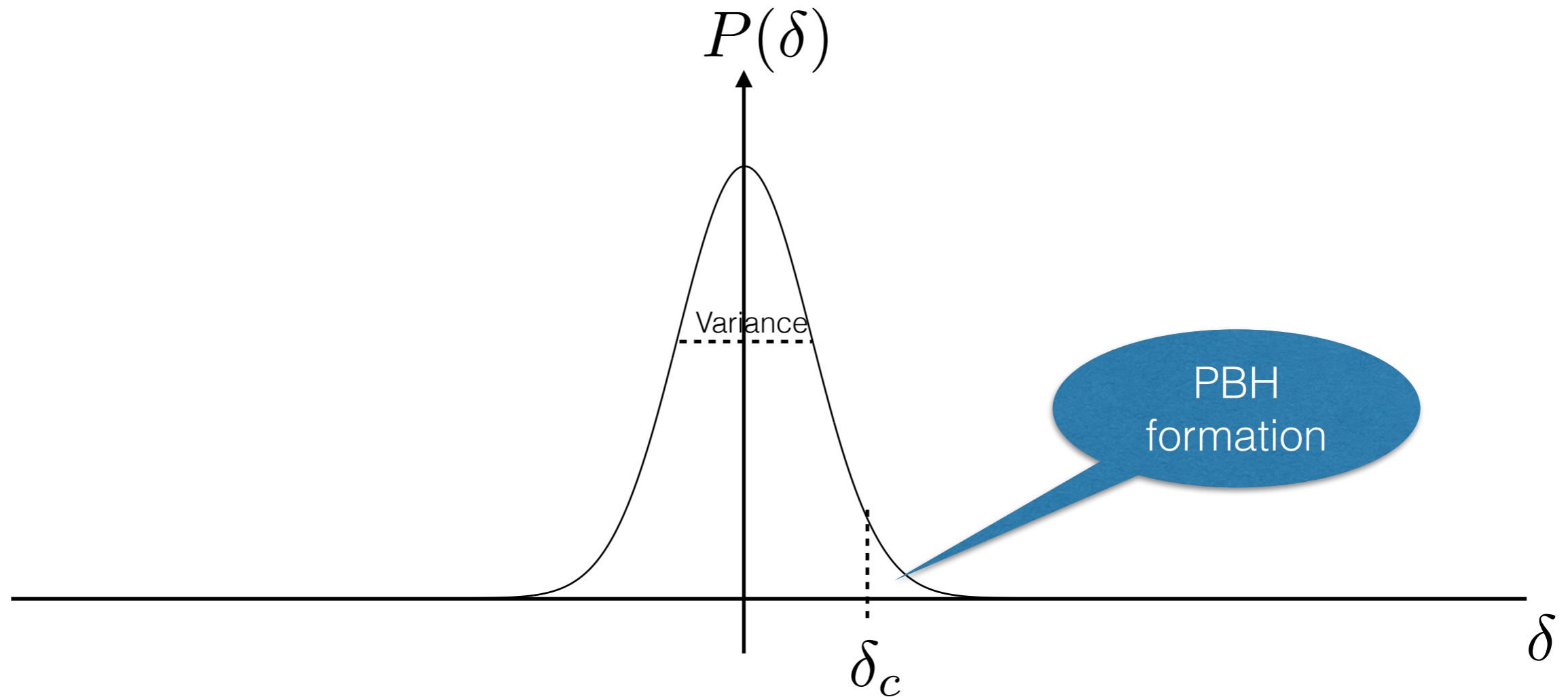
*PBH
Recipe*

PBH mass fraction: Some uncertainties

- Peaks theory vs. Press-Schechter
- Choice of the window function
- Value of the critical density contrast



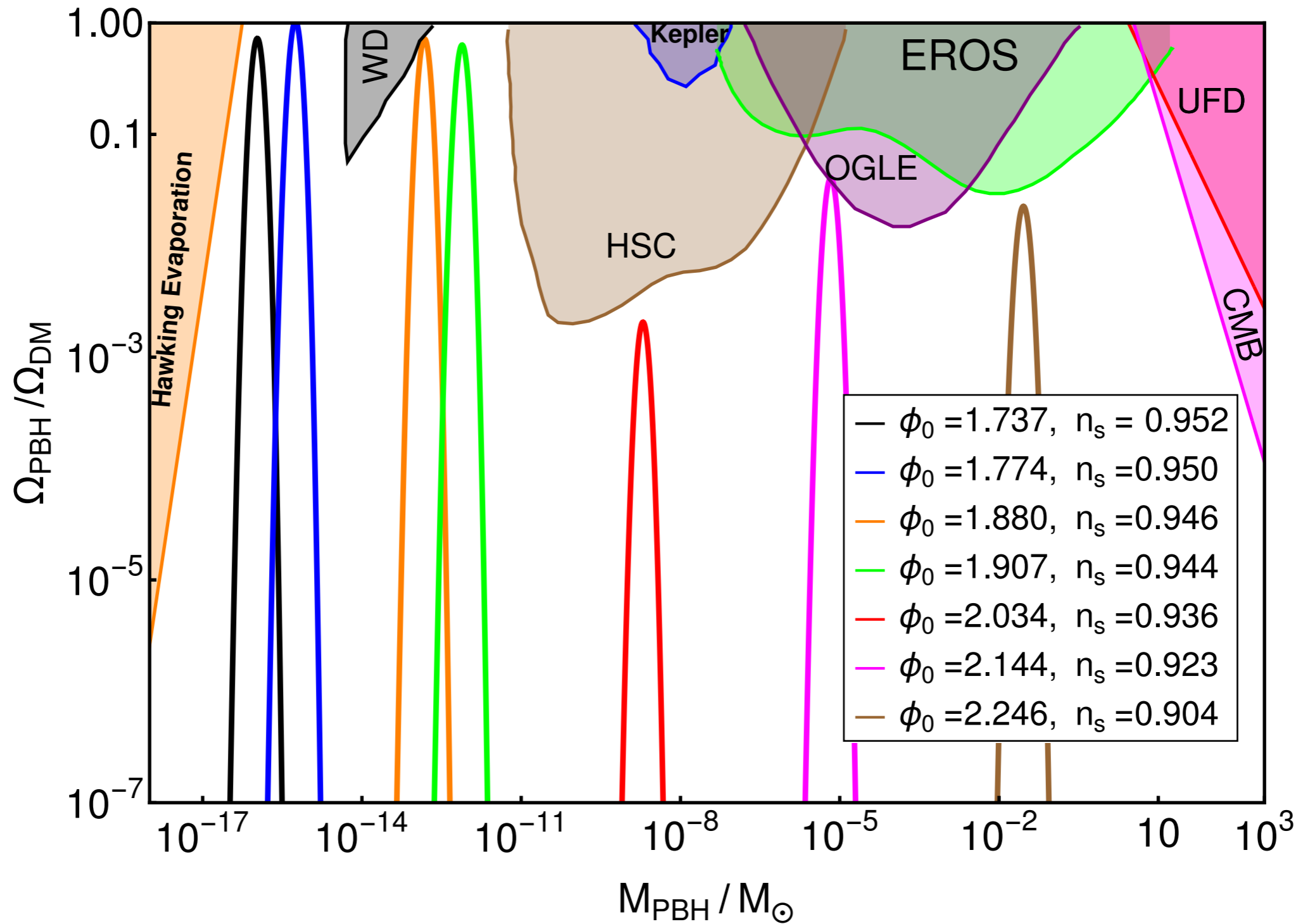
PBH mass fraction: Press-Schechter formalism



$$\beta_f(M) = \frac{1}{\sqrt{2\pi\sigma_\delta^2(M(R))}} \int_{\delta_c}^{\infty} d\delta \exp\left(-\frac{\delta^2}{2\sigma_\delta^2(M(R))}\right)$$

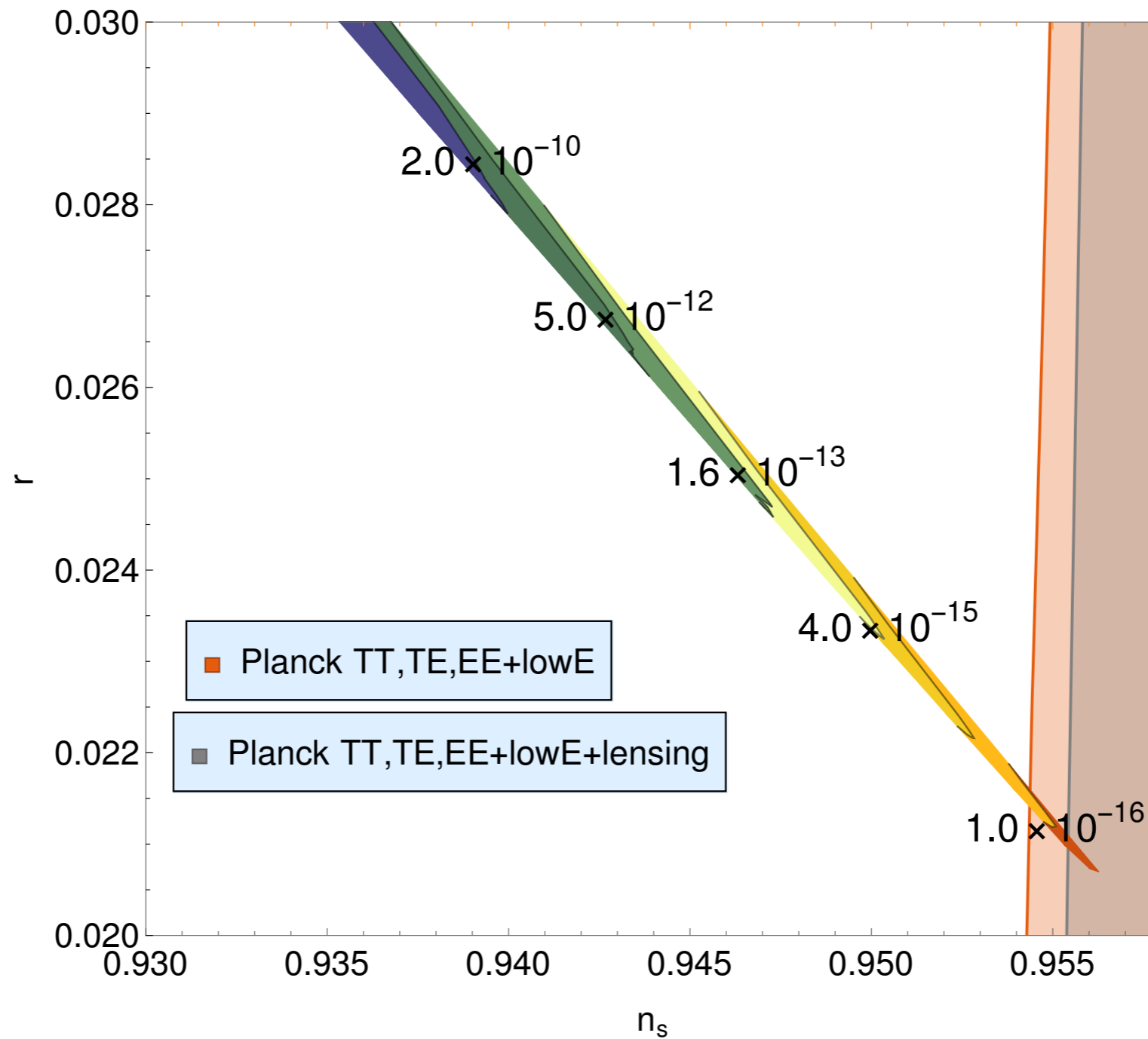
Non-gaussianities play very important role in PBH formation !

PBH mass fraction



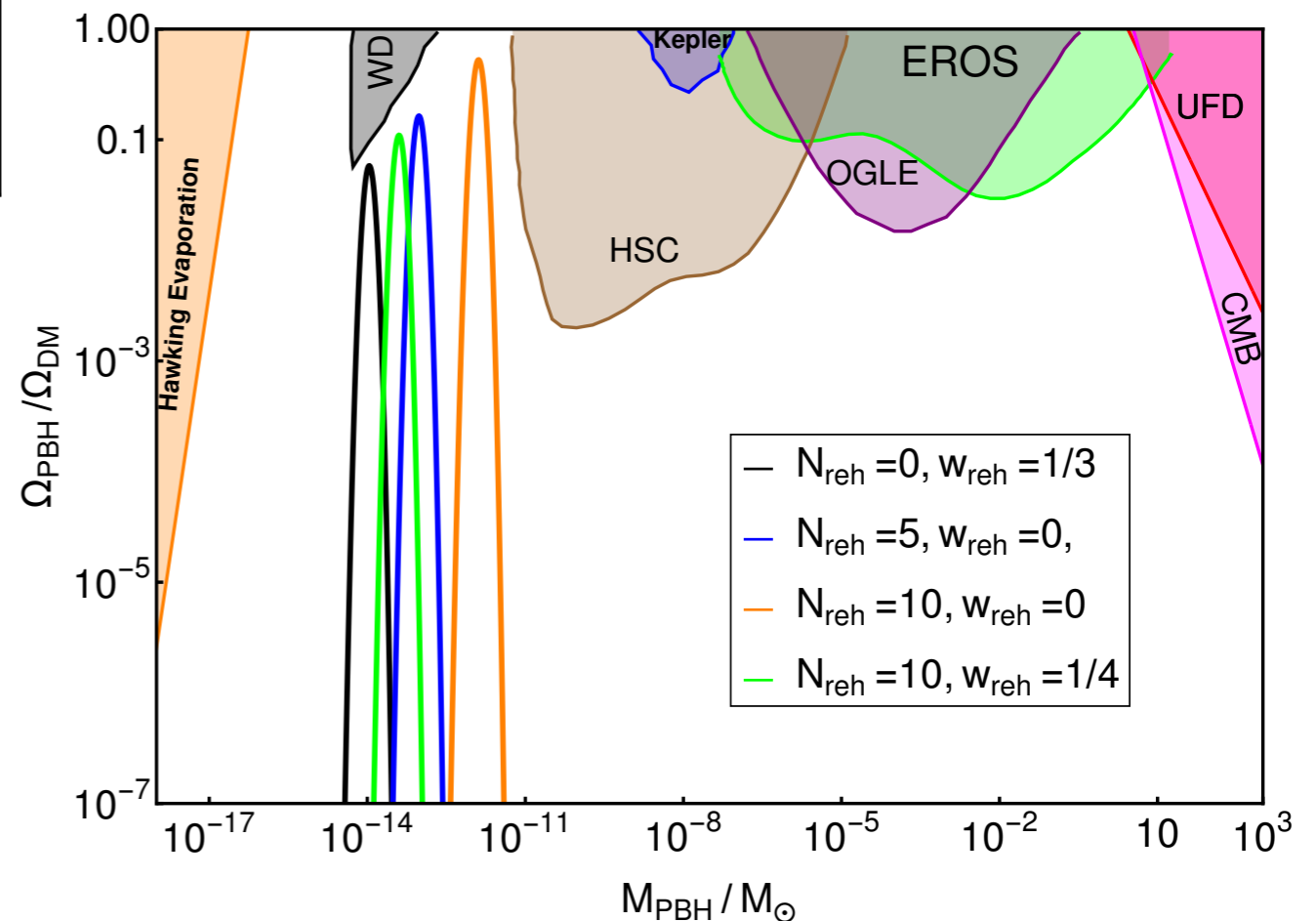
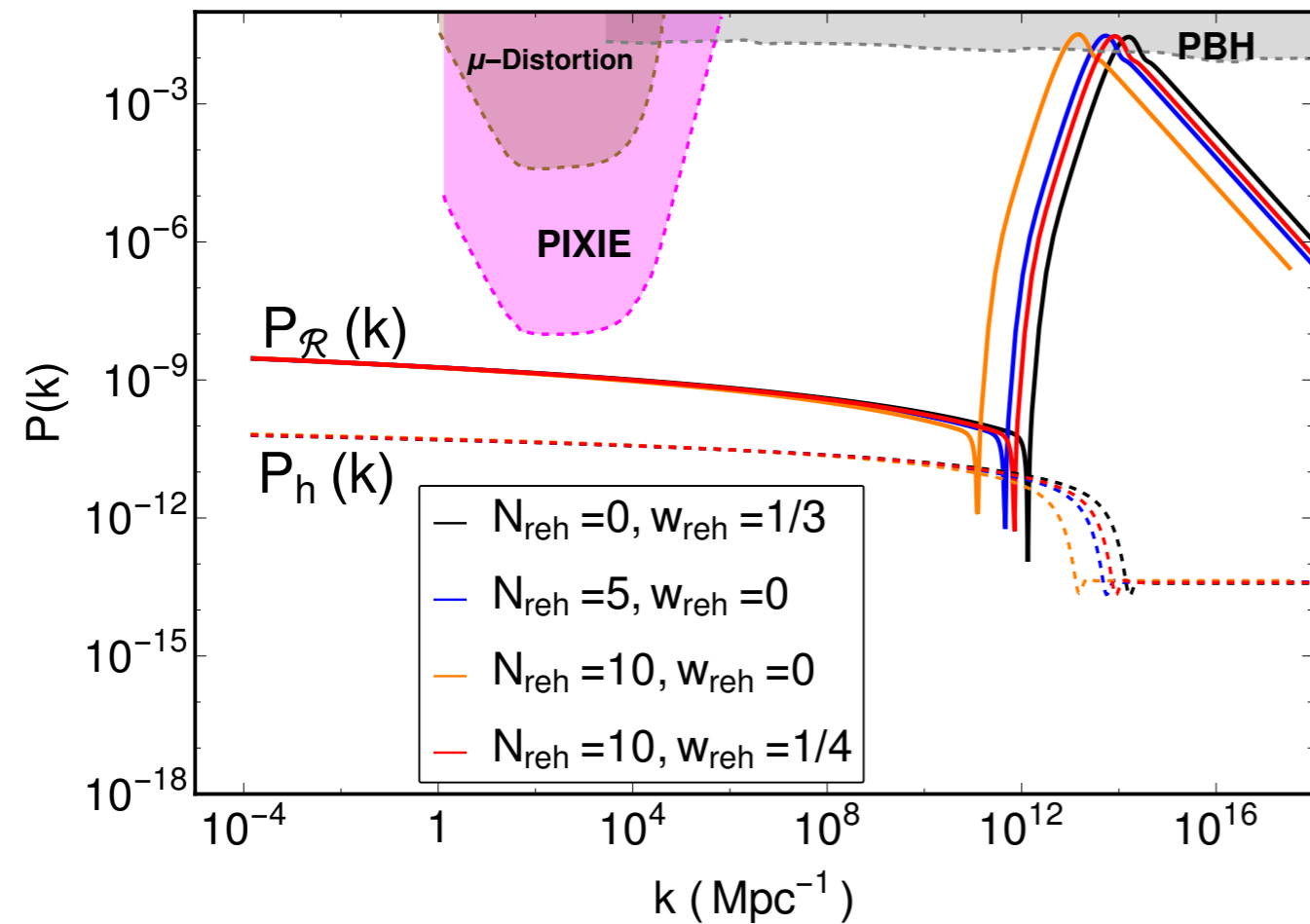
N. Bhaumik & **RKJ**, JCAP 01, 037 (2020)

PBH mass contours: n_s vs. r



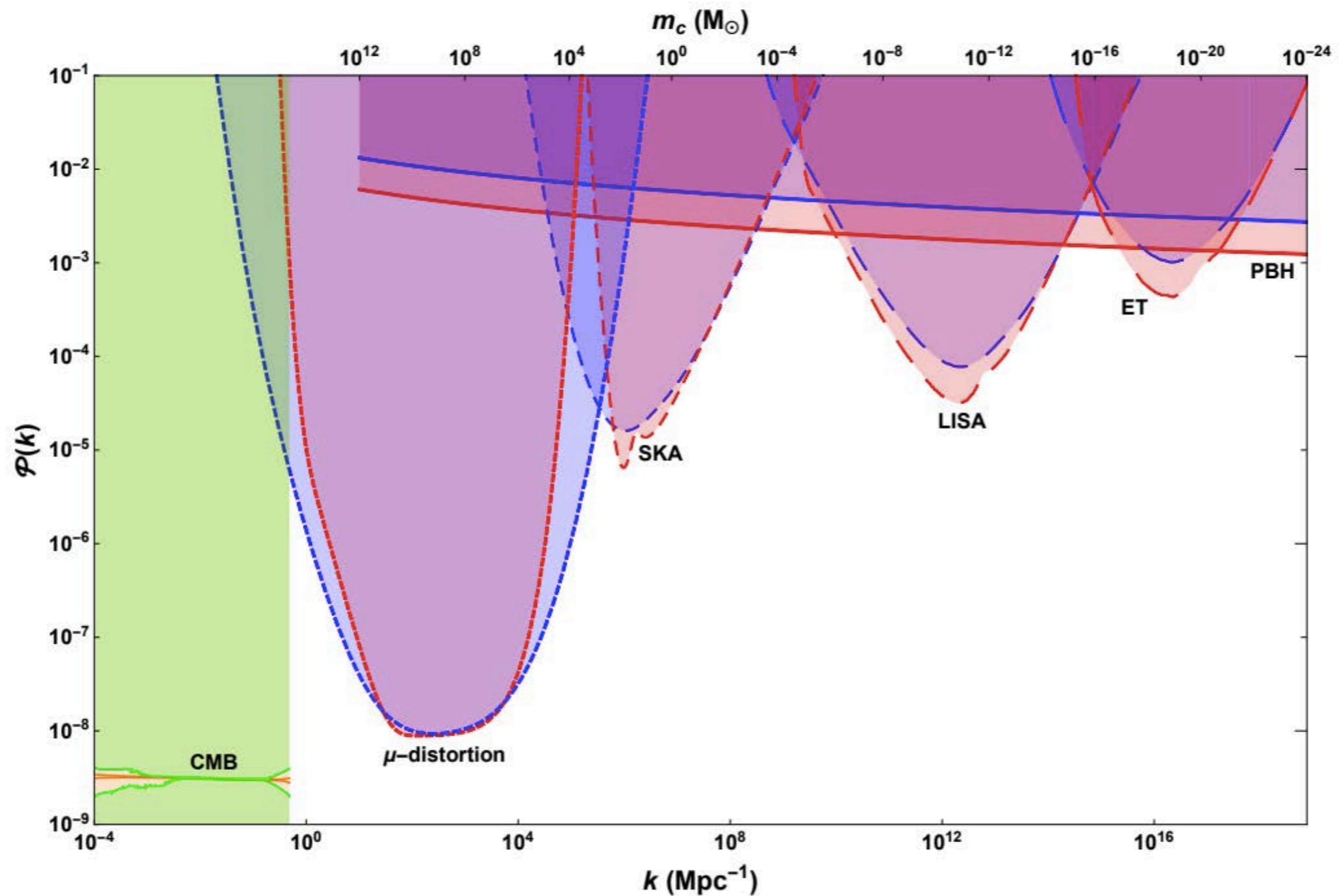
N. Bhaumik & **RKJ**, JCAP 01, 037 (2020)

Effects of reheating



N. Bhaumik & **RKJ**, JCAP 01, 037 (2020)

(future) constraints on small scales



Gow et.al., 2020

*PBH observational imprints:
Induced secondary GWs*

Induced secondary GWs

Tensor modes sourced by scalar perturbations

$$h''_{\mathbf{k}}(\tau) + 2\mathcal{H}h'_{\mathbf{k}}(\tau) + k^2 h_{\mathbf{k}}(\tau) = 4S_{\mathbf{k}}(\tau),$$

$$S_{\mathbf{k}} = \int \frac{d^3q}{(2\pi)^{3/2}} e_{ij}^{\lambda}(\mathbf{k}) q_i q_j [2\Phi_{\mathbf{q}}\Phi_{\mathbf{k}-\mathbf{q}} + (\mathcal{H}^{-1}\Phi'_{\mathbf{q}} + \Phi_{\mathbf{q}})(\mathcal{H}^{-1}\Phi'_{\mathbf{k}-\mathbf{q}} + \Phi_{\mathbf{k}-\mathbf{q}})].$$

$$\Phi_{\mathbf{k}}(\tau) = \frac{2}{3}\mathcal{T}(k\tau)\mathcal{R}(\mathbf{k}). \quad \mathcal{T}(k\tau) = \frac{9}{(k\tau)^2} \left[\frac{\sqrt{3}}{k\tau} \sin\left(\frac{k\tau}{\sqrt{3}}\right) - \cos\left(\frac{k\tau}{\sqrt{3}}\right) \right].$$

$$\frac{k^3}{2\pi^2} \langle h_{\mathbf{k}}^{\lambda}(\tau) h_{\mathbf{k}'}^{\lambda'}(\tau) \rangle = \delta_{\lambda\lambda'} \delta^3(\mathbf{k} + \mathbf{k}') \mathcal{P}_h(\tau, k),$$

$$\Omega_{\text{GW}}(\tau, k) \equiv \frac{1}{\rho_c} \frac{d\rho_{\text{GW}}}{d \ln k} = \frac{\rho_{\text{GW}}(\tau, k)}{\rho_{\text{tot}}(\tau)} = \frac{1}{24} \left(\frac{k}{\mathcal{H}} \right)^2 \overline{\mathcal{P}_h(\tau, k)},$$

Induced secondary GWs

$$\Omega_{\text{GW}}(\tau_0, k) h^2 \simeq 2.4 \times 10^{-5} \left(\frac{\Omega_{r,0} h^2}{4.0 \times 10^{-5}} \right) \left(\frac{k}{\mathcal{H}(\tau_f)} \right)^2 \int_{-\frac{1}{\sqrt{3}}}^{\frac{1}{\sqrt{3}}} dd \int_{\frac{1}{\sqrt{3}}}^{\infty} ds \left[\frac{(d^2 - 1/3)(s^2 - 1/3)}{s^2 - d^2} \right]^2$$

$$\times \mathcal{P}_{\mathcal{R}} \left(\frac{k\sqrt{3}}{2}(s+d) \right) \mathcal{P}_{\mathcal{R}} \left(\frac{k\sqrt{3}}{2}(s-d) \right) \left[\mathcal{I}_c^2(d, s) + \mathcal{I}_s^2(d, s) \right].$$

$$\mathcal{I}_c(d, s) = -36\pi \frac{(s^2 + d^2 - 2)^2}{(s^2 - d^2)^3} \theta(s - 1),$$

$$\mathcal{I}_s(d, s) = -36 \frac{(s^2 + d^2 - 2)}{(s^2 - d^2)^2} \left[\frac{(s^2 + d^2 - 2)}{(s^2 - d^2)} \log \frac{(1 - d^2)}{|s^2 - 1|} + 2 \right]$$

$$d = (u - v)/\sqrt{3}$$

$$v = p/k, \quad u = |\mathbf{k} - \mathbf{p}|/k$$

$$s = (u + v)/\sqrt{3},$$

The 'three' peaks

An interesting and useful relation between the 'three' peaks

$$\left(\frac{M_{\text{PBH}}}{10^{17} \text{ g}}\right)^{-1/2} \simeq \frac{k}{2 \times 10^{14} \text{ Mpc}^{-1}} = \frac{f}{0.3 \text{ Hz}},$$



f_{PBH}



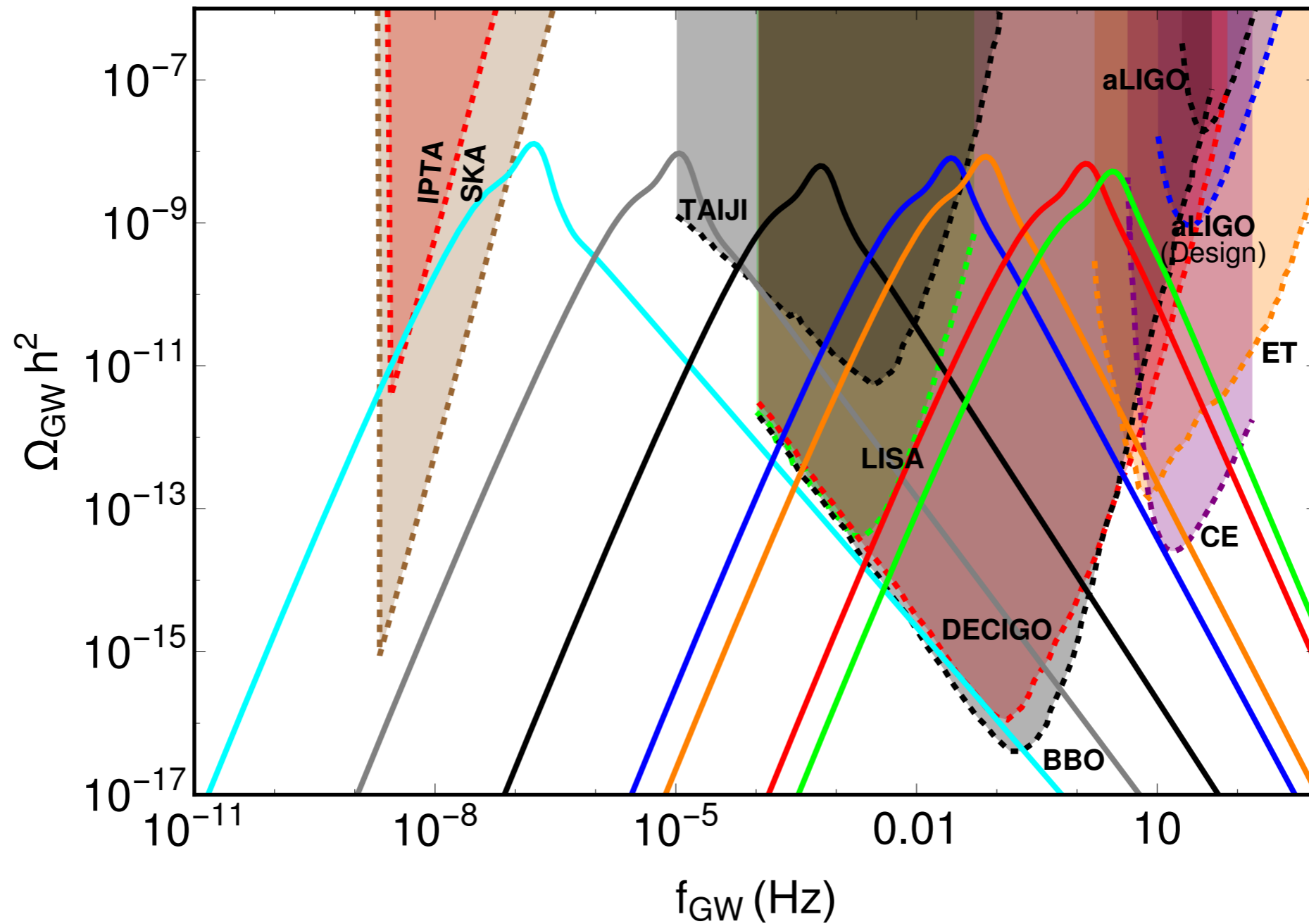
$P_{\mathcal{R}}$



Ω_{GW}

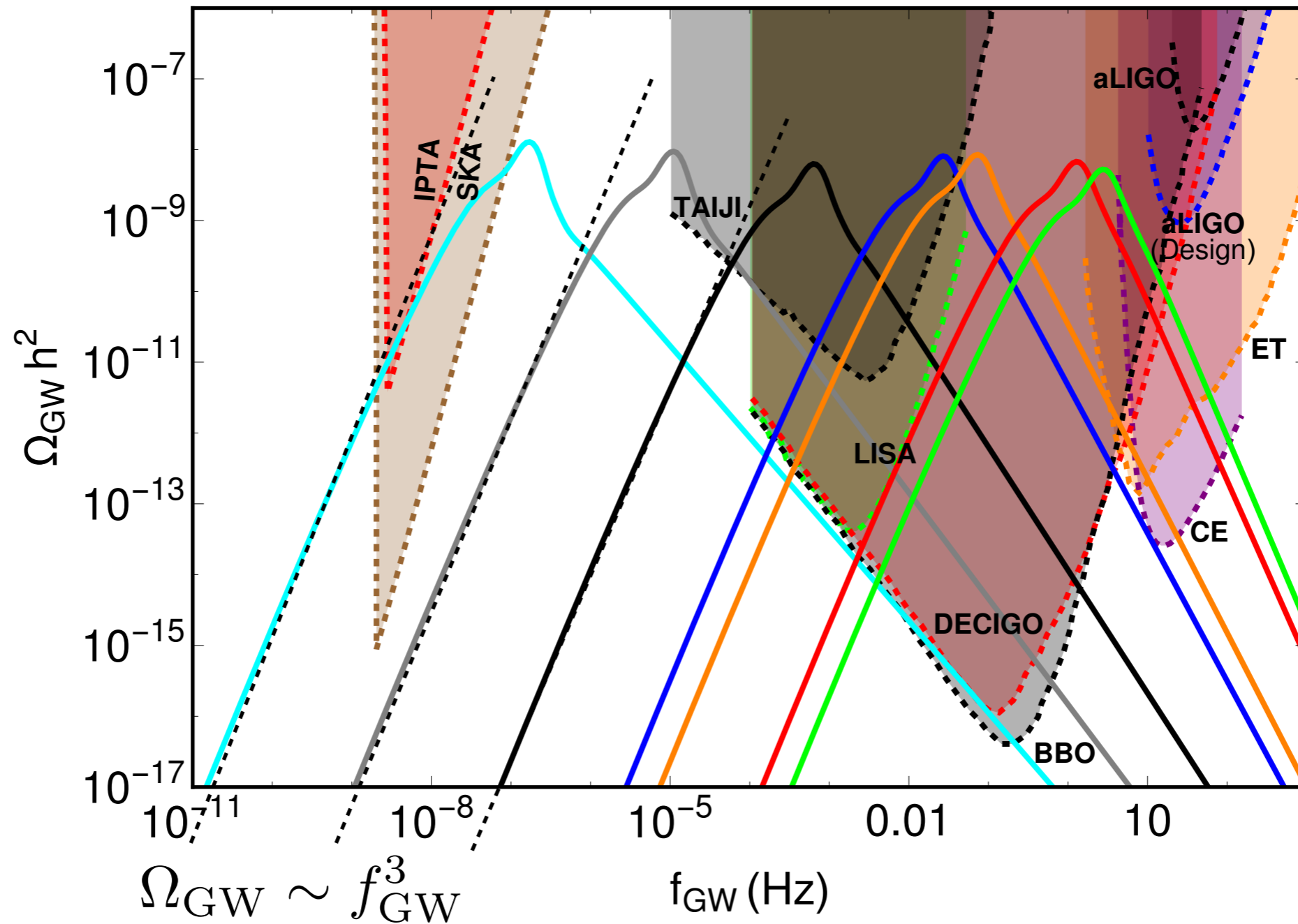
- LISA: $f \sim \text{mHz}$ \rightarrow $k \sim 10^{12} \text{ Mpc}^{-1}$ \rightarrow $M_{\text{PBH}} \sim 10^{-12} M_{\odot}$
- Advanced LIGO: $f \sim 30 \text{ Hz}$ \rightarrow $M_{\text{PBH}} \sim 10^{13} \text{ g} \sim 10^{-20} M_{\odot}$

Induced secondary GWs



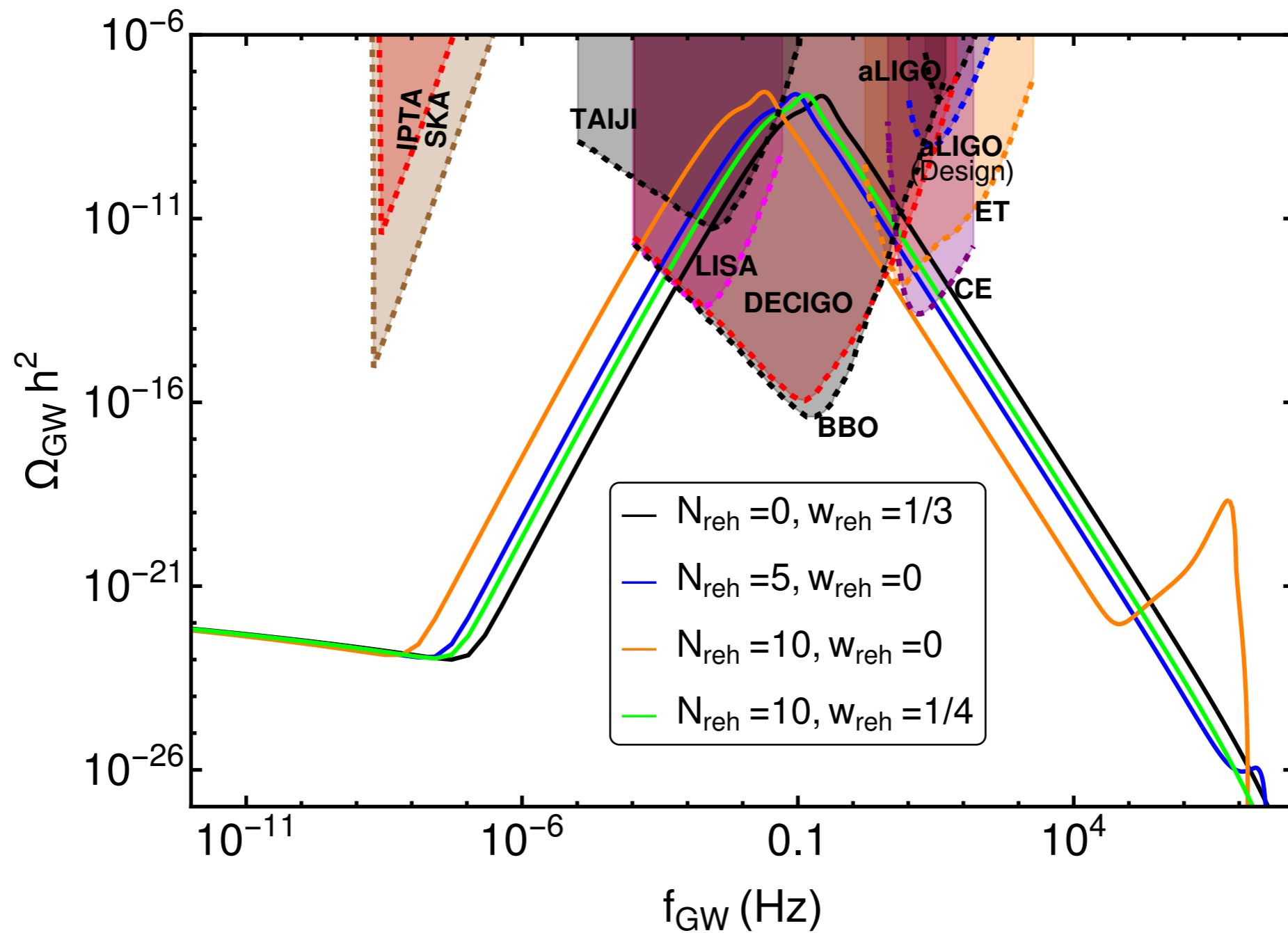
N. Bhaumik & **RKJ**, Phys. Rev. D 104, 023531 (2021)

Induced secondary GWs



- A universal IR scaling of GW spectrum, Cai, Pi & Sasaki, 2019
- IR scaling with log corrections, Yuan, Chen & Huang, 2019

Induced secondary GWs — effects of reheating



N. Bhaumik & **RKJ**, Phys. Rev. D 104, 023531 (2021)

*PBH observational imprints:
early domination and evaporation*

Ultralight PBHs — Hawking evaporation

- **Non-spinning PBH**

$$T_{\text{PBH}}^{\text{S}} = \frac{M_{\text{Pl}}^2}{M_{\text{PBH}}} \simeq 1.053 \text{ GeV} \left(\frac{10^{13} \text{ g}}{M_{\text{PBH}}} \right)$$

$$\Delta t_{\text{PBH}}^{\text{S}} \approx \frac{160 M_{\text{PBH}}^3}{\pi \mathcal{G} \overline{g_{*,H}} M_{\text{Pl}}^4}$$

- **Spinning PBH**

$$T_{\text{PBH}} = \frac{M_{\text{Pl}}^2}{M_{\text{PBH}}} \left(\frac{2\sqrt{1-a_*^2}}{1+\sqrt{1-a_*^2}} \right)$$

$$a_* = JM_{\text{Pl}}^2/M_{\text{PBH}}^2$$

$$\frac{dM(t)}{dt} = -\varepsilon(M(t), a(t)) \frac{M_{\text{Pl}}^4}{M(t)^2},$$

$$\Delta t_{\text{PBH}} = \Delta t_{\text{PBH}}^{\text{S}} \mathcal{F}(a_*, M_{\text{PBH}})$$

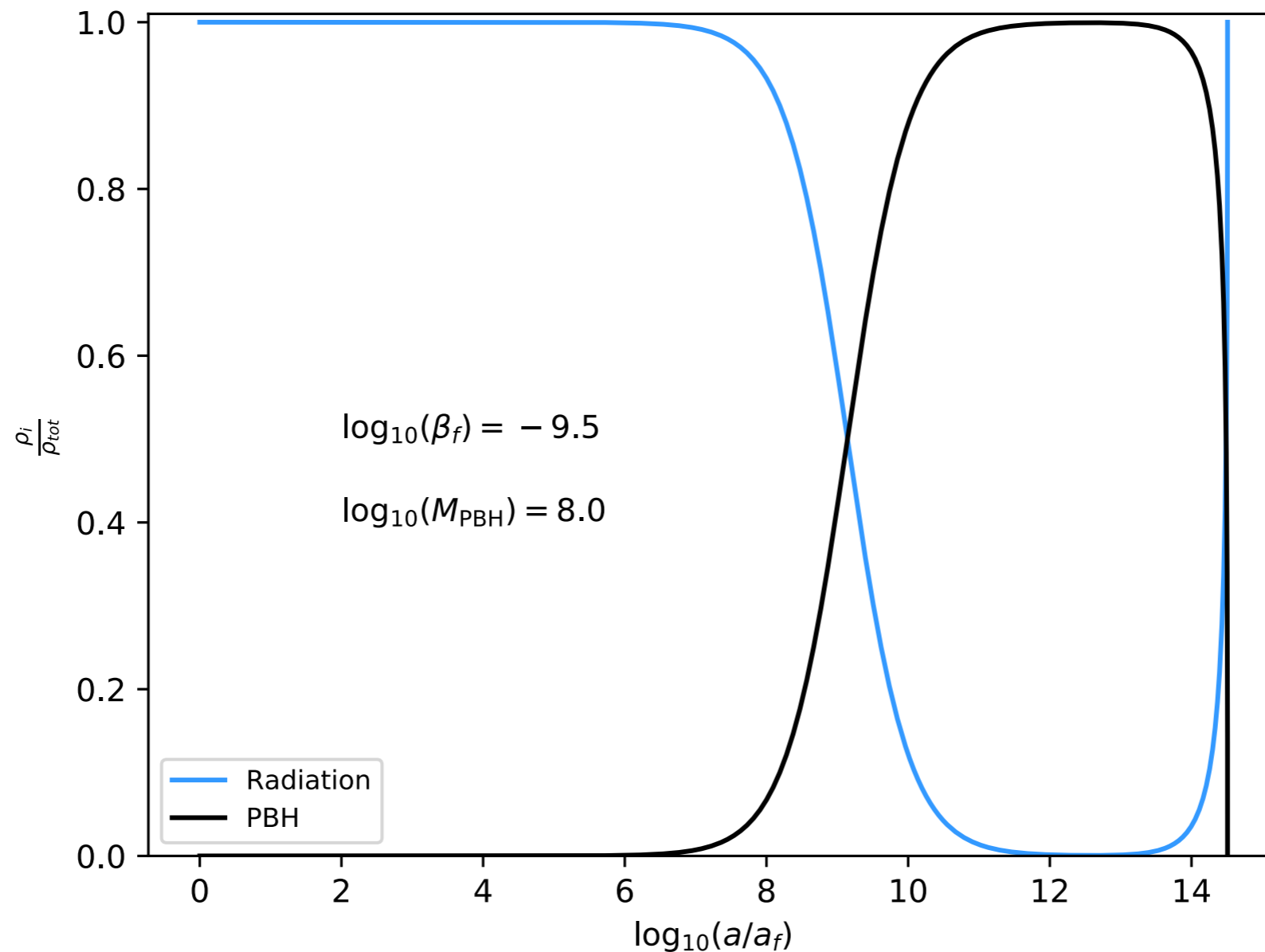
$$\frac{da(t)}{dt} = -a(t) \left[\gamma(M(t), a(t)) - 2\varepsilon(M(t), a(t)) \right] \frac{M_{\text{Pl}}^4}{M(t)^3}$$

BlackHawk

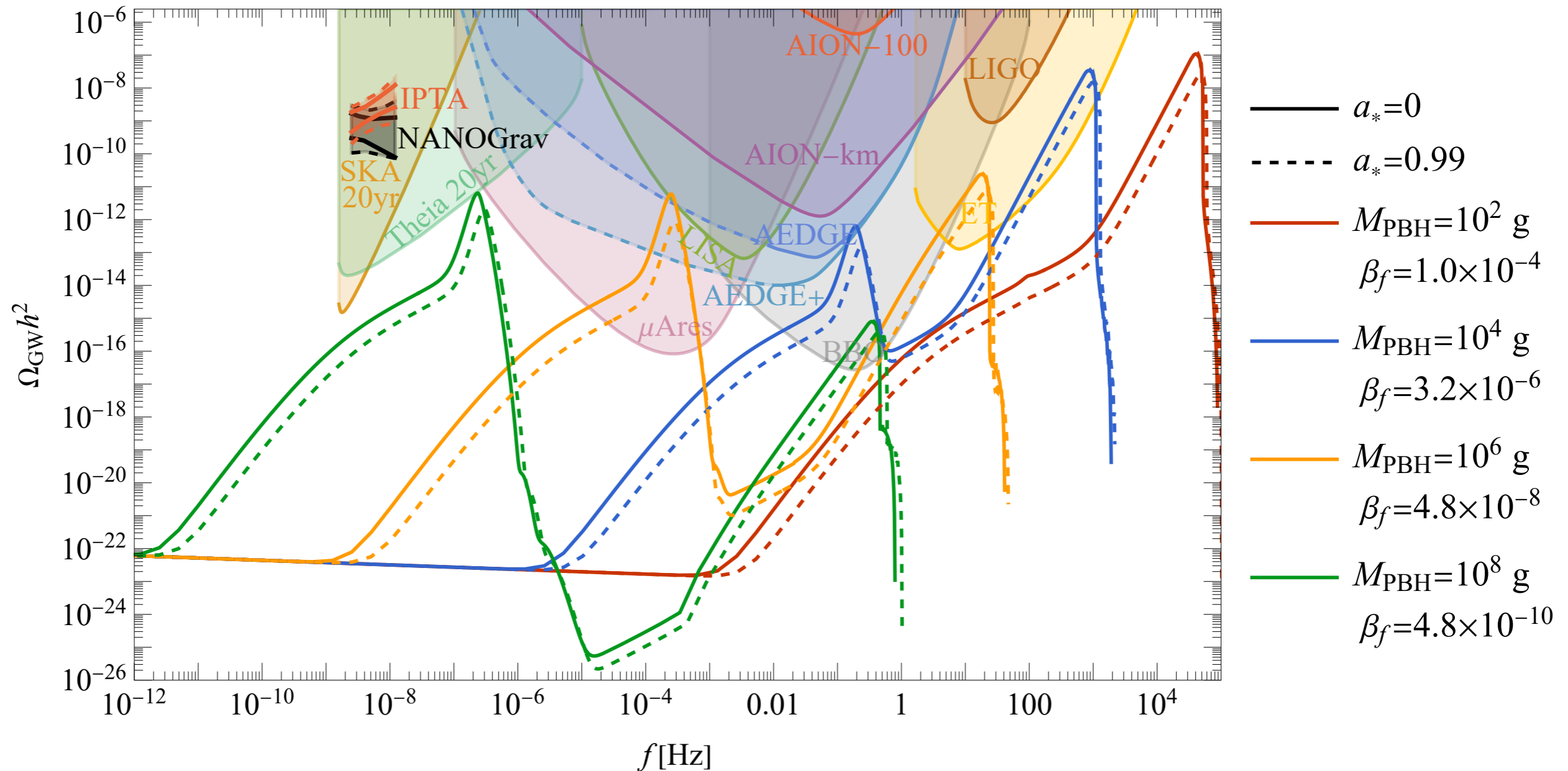
N. Bhaumik, A. Ghoshal, **RKJ** & M. Lewicki, JHEP 05, 169 (2023)

Ultralight PBHs — early matter dominated epoch

$$a_{eMD}(\tau) = \frac{a_f(\tau + \tau_m)^2}{4\tau_f\tau_m}, \quad a_{RD}(\tau) = \frac{a_f(\tau_r + \tau_m)(2\tau - \tau_r + \tau_m)}{4\tau_f\tau_m} \simeq a(\tau_r) \left(2\frac{\tau}{\tau_r} - 1 \right)$$

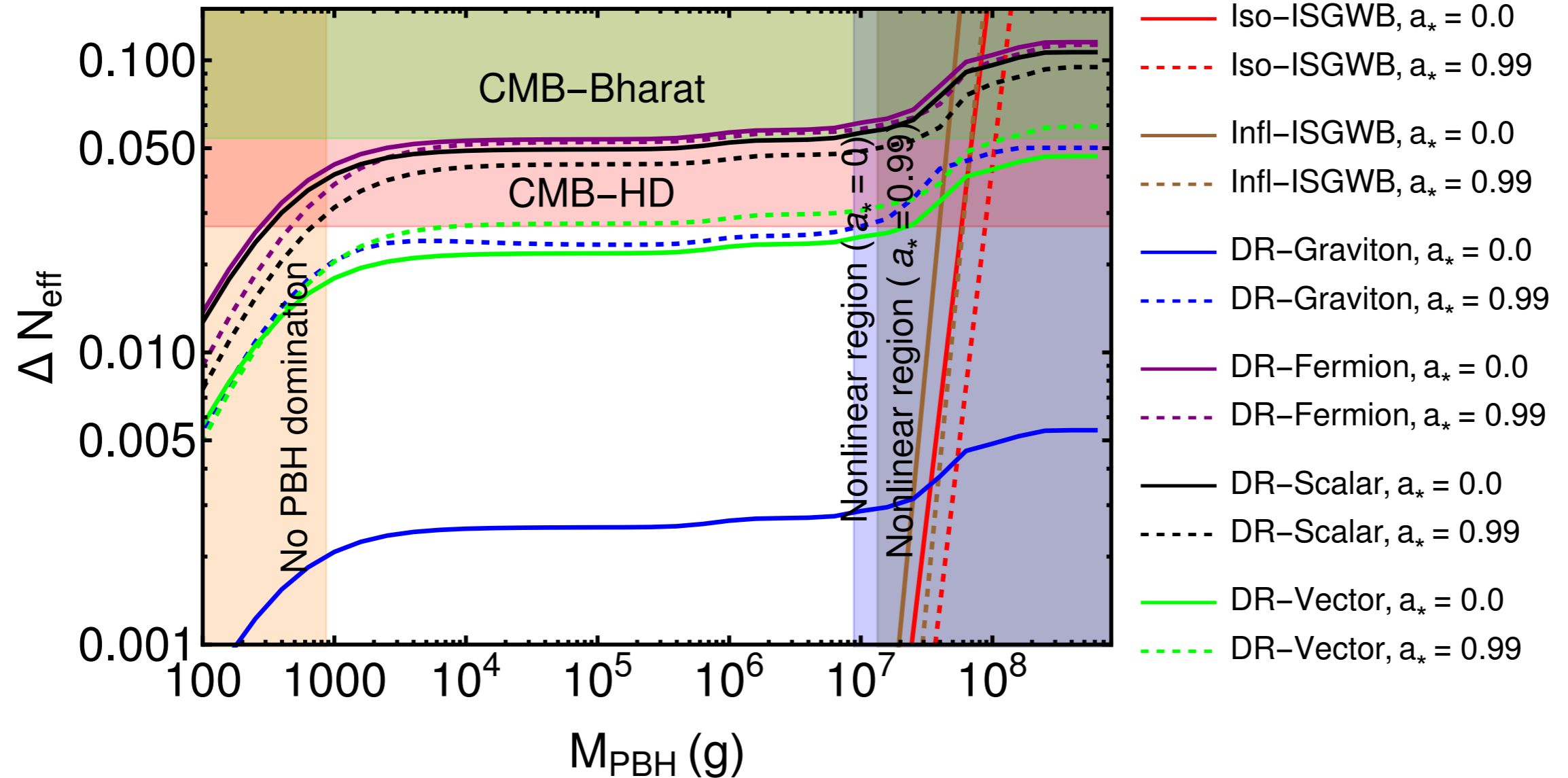


Doubly peaked GWs — with and without PBH spin



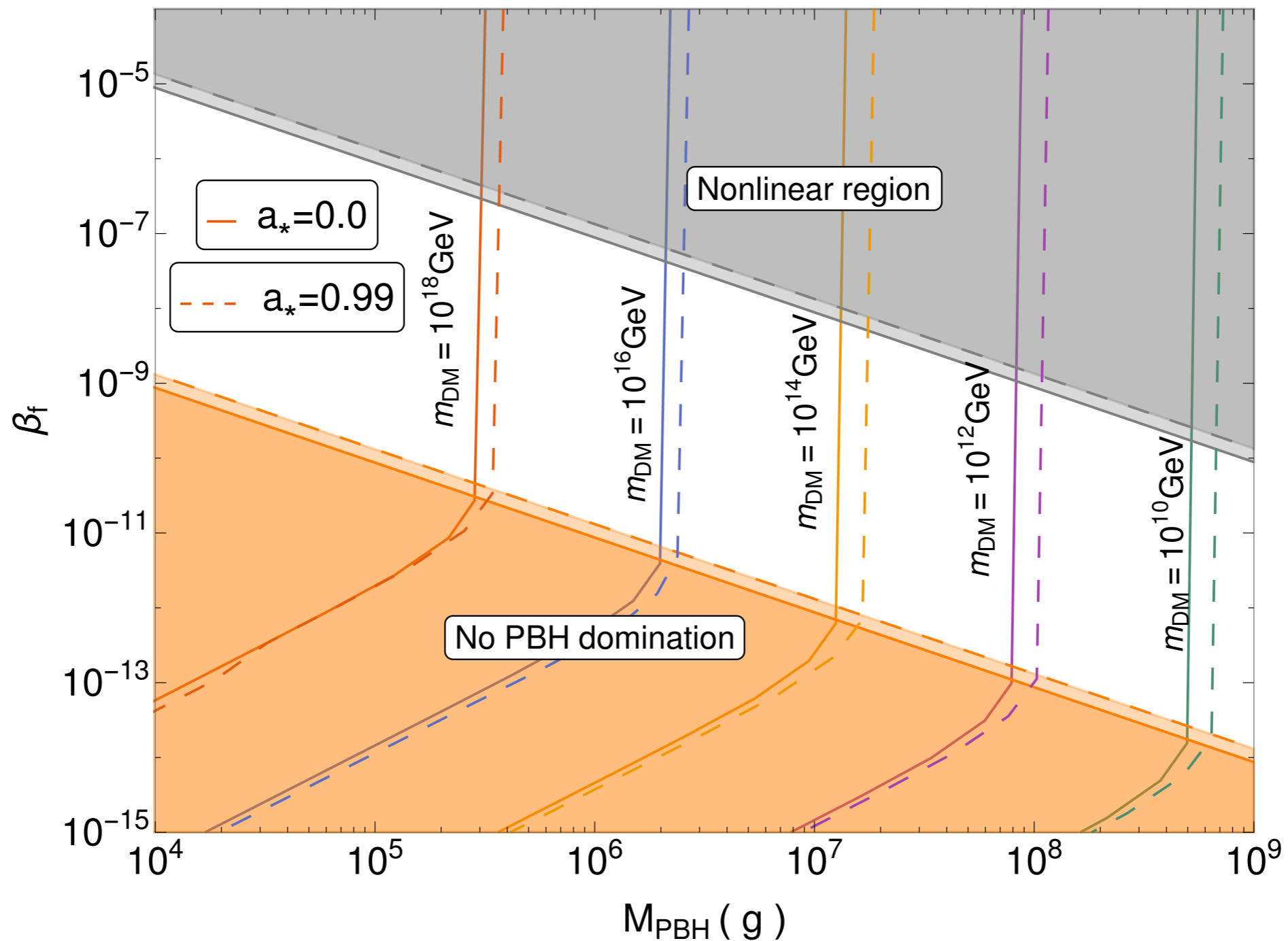
N. Bhaumik, A. Ghoshal, **RKJ** & M. Lewicki, JHEP 05, 169 (2023)

Extra relativistic dof from PBH evaporation



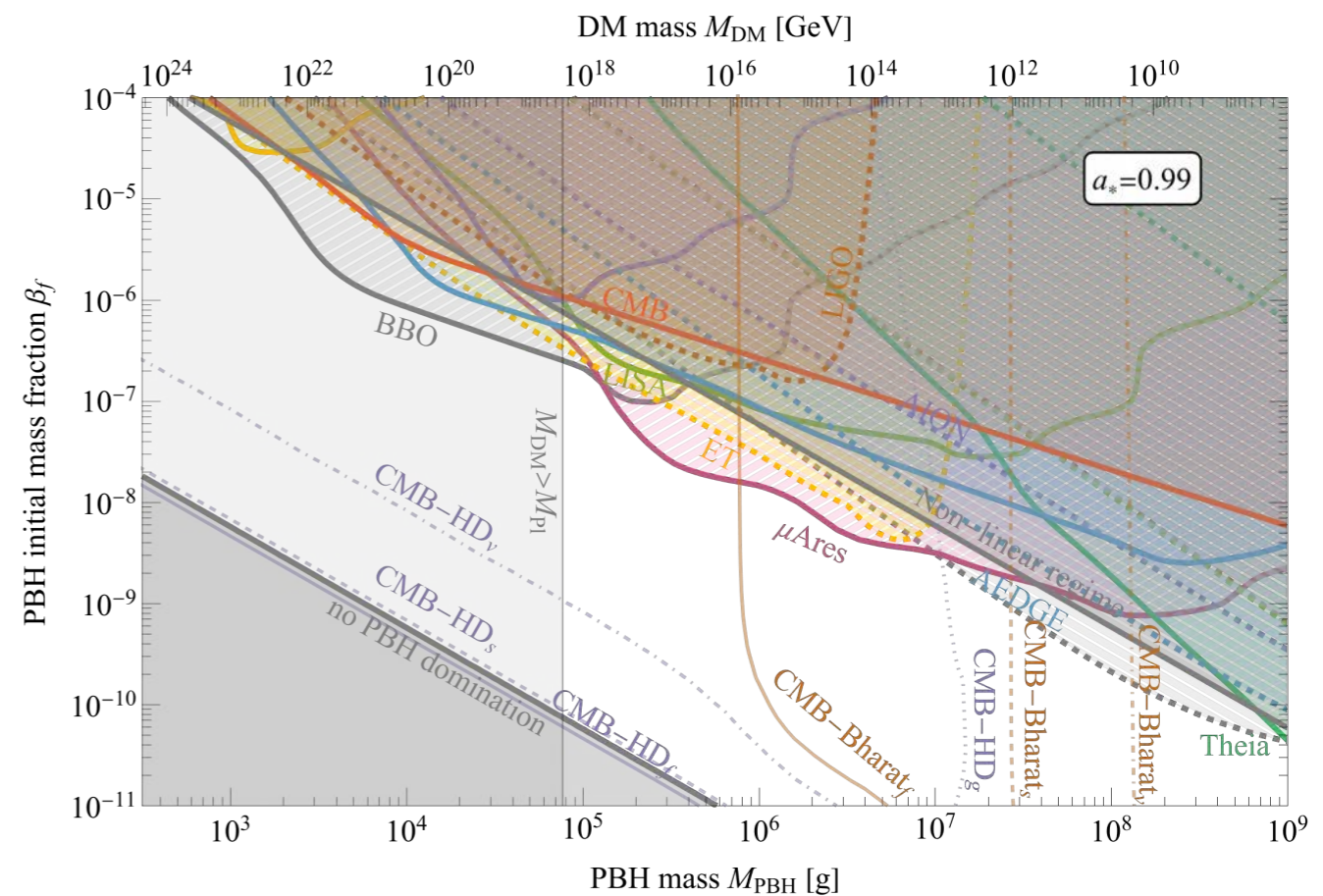
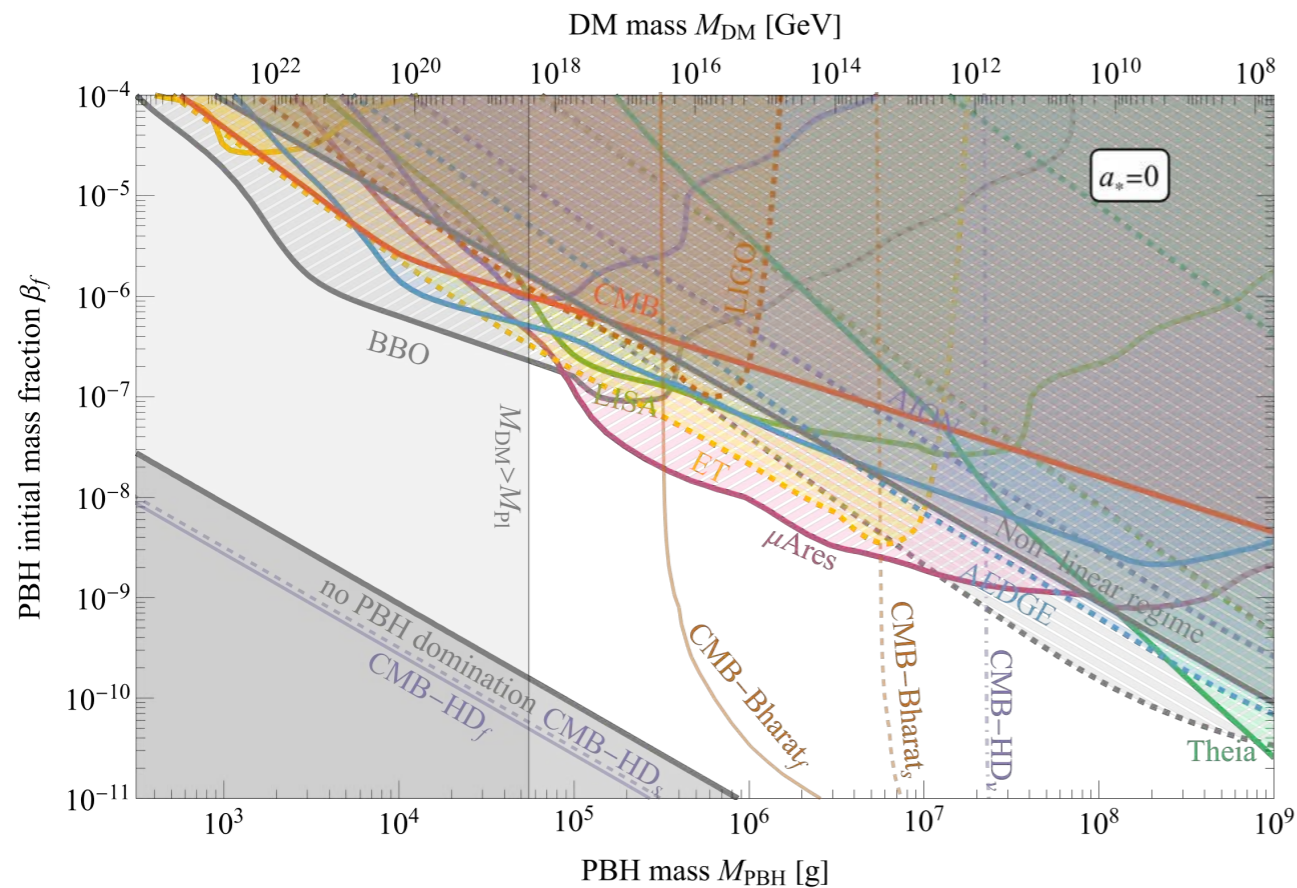
N. Bhaumik, A. Ghoshal, **RKJ** & M. Lewicki, JHEP 05, 169 (2023)

Heavy dark matter from PBH evaporation



N. Bhaumik, A. Ghoshal, **RKJ** & M. Lewicki, JHEP 05, 169 (2023)

Future GWs detector sensitivities



N. Bhaumik, A. Ghoshal, **RKJ** & M. Lewicki, JHEP 05, 169 (2023)

Stochastic GWs — PTA detection

THE ASTROPHYSICAL JOURNAL LETTERS, 951:L11 (56pp), 2023 July 1

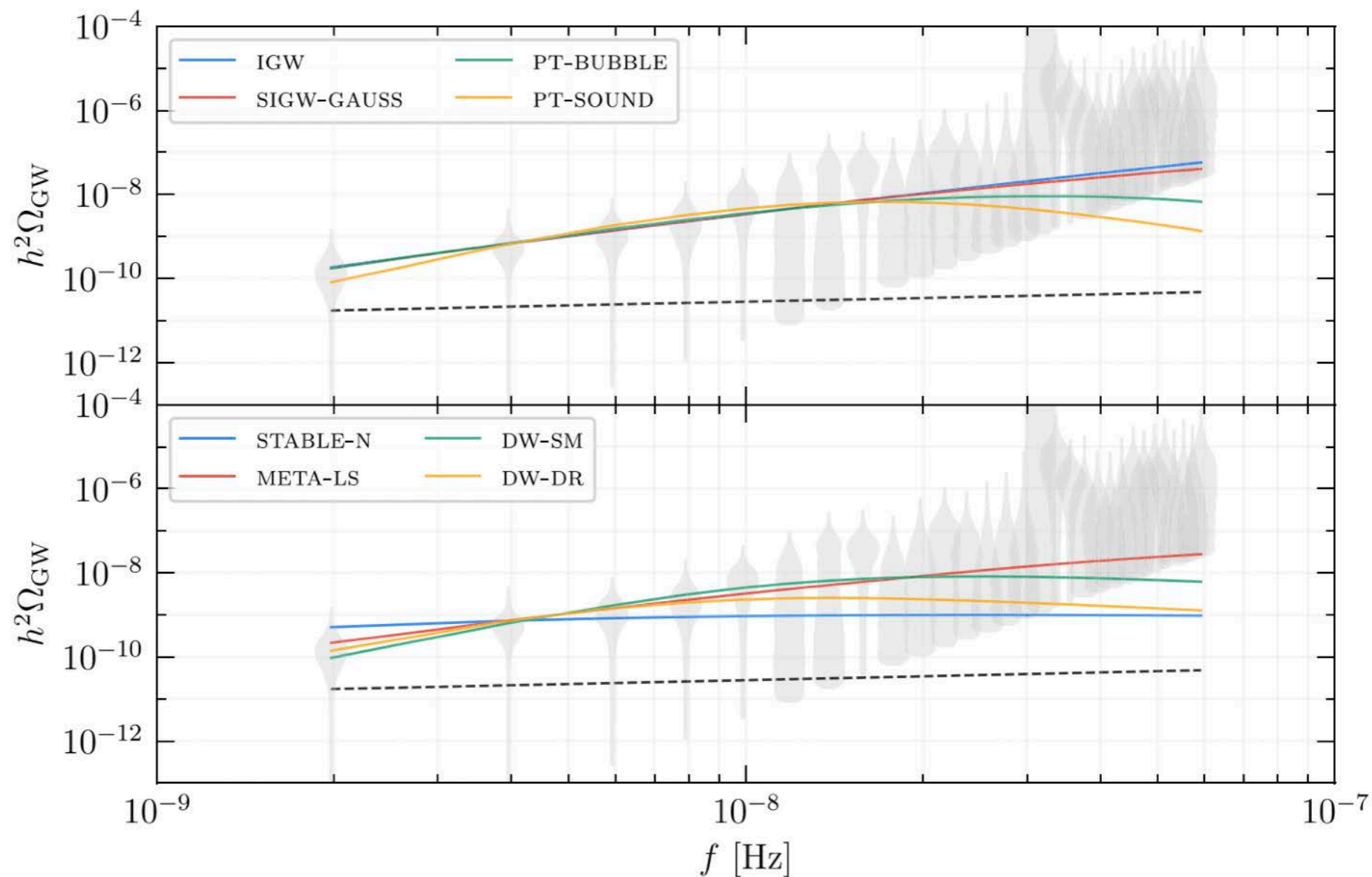
<https://doi.org/10.3847/2041-8213/acdc91>

© 2023. The Author(s). Published by the American Astronomical Society.

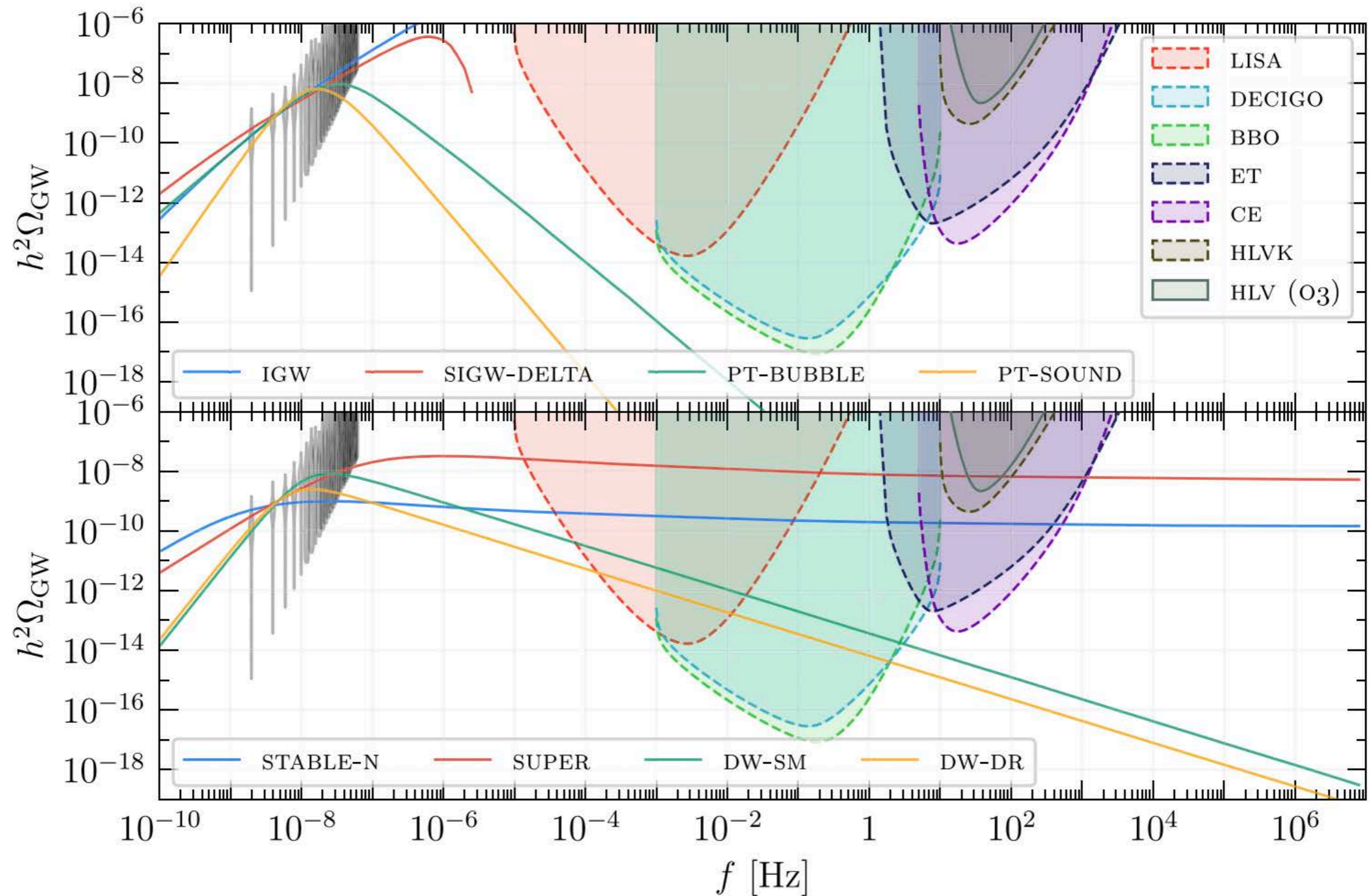
OPEN ACCESS



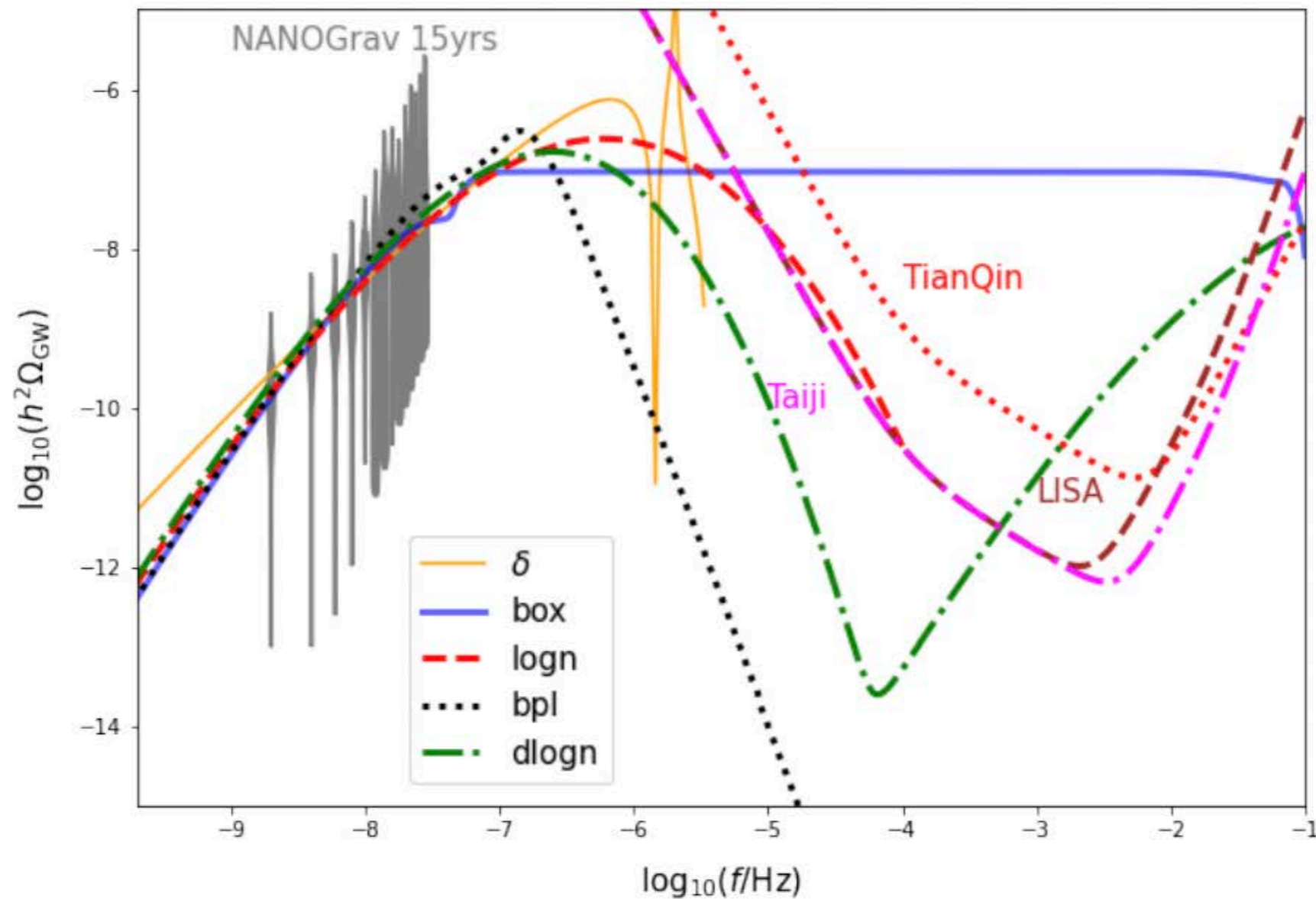
The NANOGrav 15 yr Data Set: Search for Signals from New Physics



Stochastic GWs — PTA detection

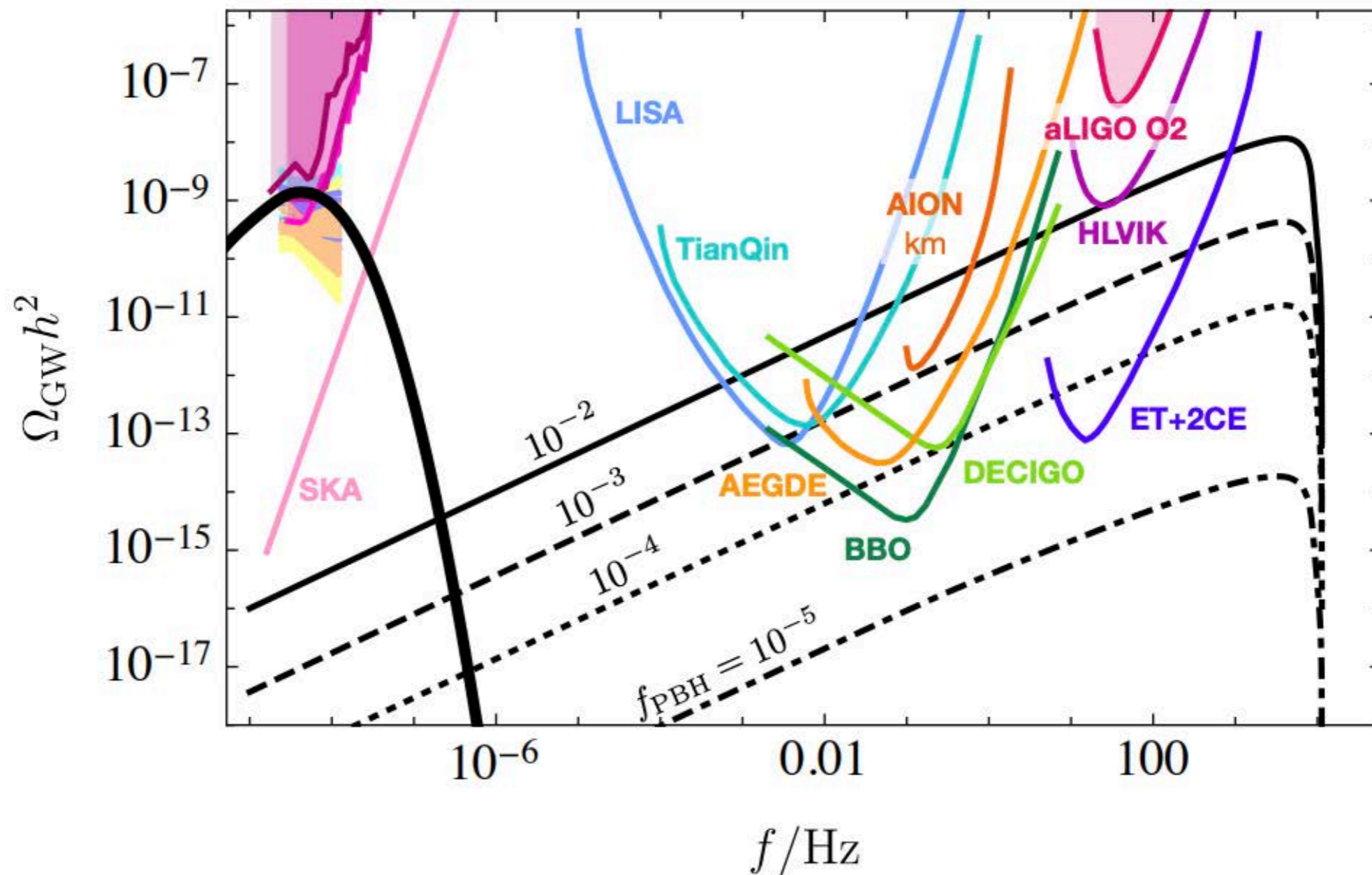


Stochastic GWs — PTA detection



You, Yi & Wu, 2307.04419

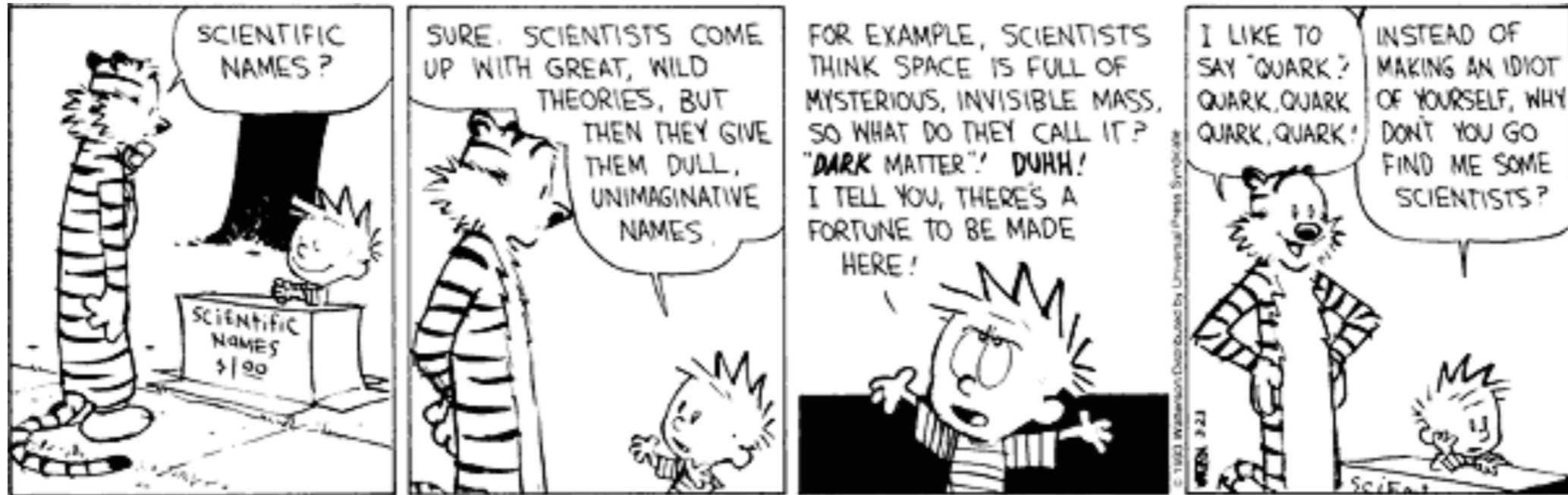
Stochastic GWs — PTA detection



Kohri & Tarada, 2009.11853

Conclusions

- PBHs are novel candidates for the cold DM in the universe — an important probe of small scale dynamics during inflation
- Inflation can produce significant abundance of PBHs — inflection point models are very useful — model dependent results
- Interesting observational implications — induced GWs on scales probed by LISA, DECIGO or BBO
- A testable prediction with LISA — non-observation of such GWs at LISA may rule out PBHs as DM
- Hawking evaporation can lead to dark radiation and dark matter — constraints from future observations.
- NANOGrav PTA results — stochastic induced GW — one of the most probable explanation.



Thank you.

Acknowledgments:

