

# Initial Conditions for Inflation in an FRW Universe

@ Thursday Seminar, IIT Madras

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(St. Stephens, Delhi), Satadru Bag (IUCAA), Ujjaini Alam (ISI, Kolkata)

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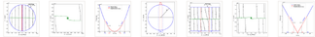
### Initial conditions for inflation in an FRW universe

Swagat S. Mishra, Varun Sahni, and Alexey V. Toporensky  
Phys. Rev. D **98**, 083538 – Published 31 October 2018

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#### ABSTRACT

We examine the class of initial conditions that give rise to inflation. Our analysis is carried out for several popular models including Higgs inflation, Starobinsky inflation, chaotic inflation, axion-monomodular inflation, and noncanonical inflation. In each case we determine the set of initial conditions that give rise to sufficient inflation, with at least 60  $e$ -foldings. A phase-space analysis is performed for each of these models and the effect of the initial inflationary energy scale on inflation is studied numerically. This paper discusses two scenarios of Higgs inflation: (i) the Higgs is coupled to the scalar curvature, and (ii) the Higgs Lagrangian contains a noncanonical kinetic term. In both cases we find Higgs inflation to be very robust since it can arise for a large class of initial conditions. One of the central results of our analysis is that, for plateau-like potentials associated with the Higgs and Starobinsky models, inflation can be realized even for initial scalar field values that lie close to the minimum of the potential. This dispels a misconception related to plateau potentials prevailing in the literature. We also find that inflation in all models is more robust for larger values of the initial energy scale.



20 More  
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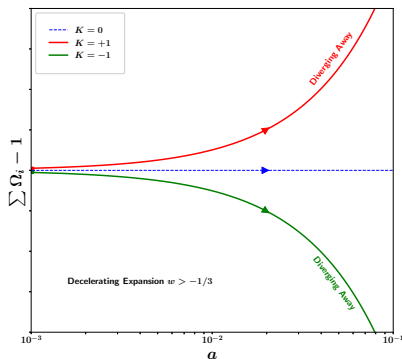
**So Cosmic Inflation should better happen starting from generic Initial Conditions!**

# Need for Cosmic Inflation

## 1) Flatness Problem :

$$\sum_i \Omega_i(a) - 1 = \frac{K}{a^2 H^2}$$

$\sum_i \Omega_i(a)$  diverges away from 1 if  $\ddot{a} < 0$



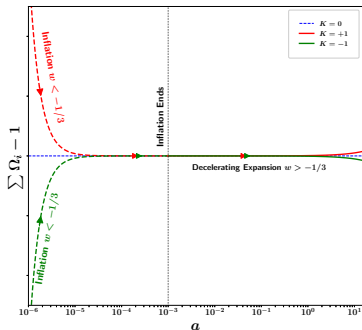
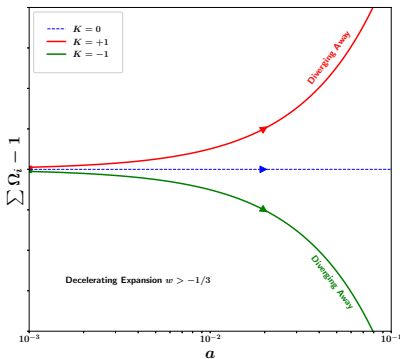
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Long enough period of accelerated expansion drives  $\sum_i \Omega_i(a) \rightarrow 1$



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# Need for Cosmic Inflation

## 2) Horizon Problem and Super-Hubble

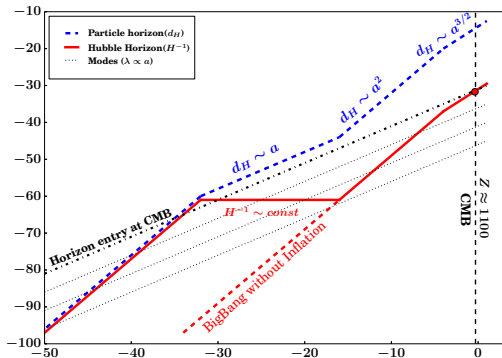
Correlations:

Why CMB has the same T on angular scales greater than  $1^\circ$  ?

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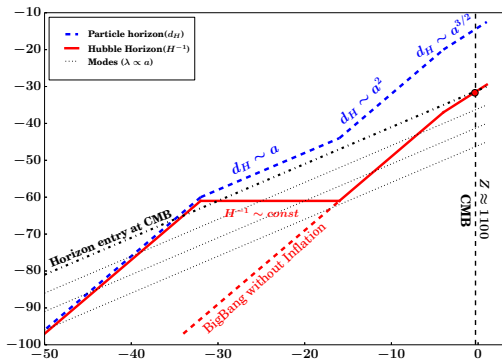
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Why CMB has the same T on angular scales greater than  $1^\circ$  ? Why CMB fluctuations are correlated beyond Hubble scale?

3) Generating almost (**but not exactly**) scale invariant predominantly Gaussian adiabatic primordial fluctuations



$$\Delta_{\mathcal{R}}^2 = A_s \left( \frac{K}{K_*} \right)^{n_s - 1}$$

$$A_s = 2.2 \times 10^{-9} \text{ and } r \leq 0.06.$$



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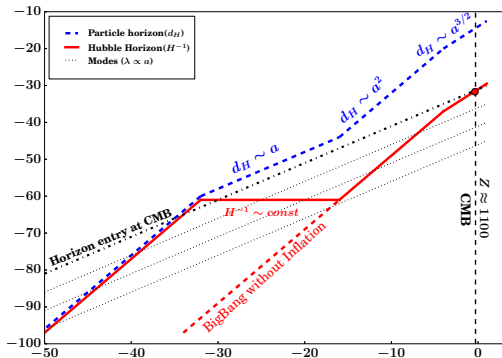
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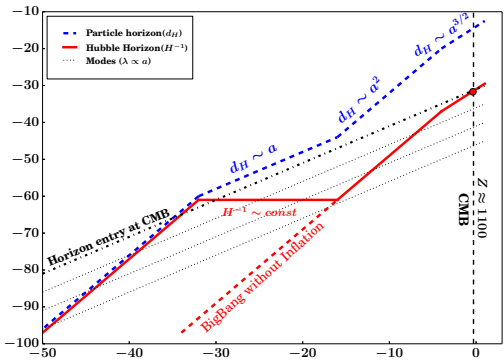
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4) Getting rid of unobserved relics of phase transitions from earlier epochs.

**A period of 50 – 60 e-foldings of accelerated expansion (inflation) is required.**

How to achieve a brief period of Inflation?



# Inflationary Dynamics of a Scalar Field

Action of a scalar field minimally coupled to gravity

$$S[\phi] = \int d^4x \sqrt{-g} \mathcal{L}(F, \phi)$$

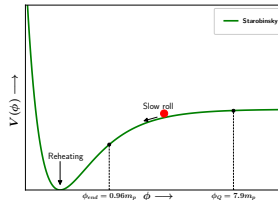
with  $F = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi$  which for a canonical kinetic term leads to

$$\rho_\phi = \frac{1}{2} \dot{\phi}^2 + V(\phi), \quad p_\phi = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

And Einstein's equations imply

$$H^2 = \left( \frac{\dot{a}}{a} \right)^2 = \left( \frac{8\pi G}{3} \right) \rho_\phi = \frac{1}{3m_p^2} \left( \frac{1}{2} \dot{\phi}^2 + V(\phi) \right),$$

$$\frac{\ddot{a}}{a} = - \left( \frac{4\pi G}{3} \right) (\rho_\phi + 3p_\phi) = - \frac{1}{3m_p^2} \left( \dot{\phi}^2 - V(\phi) \right)$$



The dynamics of the scalar field is governed by

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

while the equation of state is

$$w_\phi = \frac{\frac{1}{2} \dot{\phi}^2 - V(\phi)}{\frac{1}{2} \dot{\phi}^2 + V(\phi)}$$

For an extended period of inflation,

$$\epsilon_H = -\frac{\dot{H}}{H^2} < 1, \quad \eta_H = -\frac{\ddot{\phi}}{H\dot{\phi}} < 1$$

Primordial Power-spectra

$$\Delta_{\mathcal{R}}^2 = A_s \left( \frac{K}{K_*} \right)^{n_s - 1}$$

$$\Delta_t^2 = A_t \left( \frac{K}{K_*} \right)^{n_t - 1}$$

with

$$A_s = \frac{1}{8\pi^2} \left( \frac{H_*}{m_p} \right)^2 \frac{1}{\epsilon_H}, \quad A_t = \frac{2}{\pi^2} \left( \frac{H_*}{m_p} \right)^2$$

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Scalar Spectral Index

$$n_s = 1 + 2\eta_H - 4\epsilon_H$$

Tensor to Scalar Ratio

$$r = 16\epsilon_H$$

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Potential slow-roll parameters

$$\epsilon_V(\phi) = \frac{m_p^2}{2} \left( \frac{V'}{V} \right)^2$$

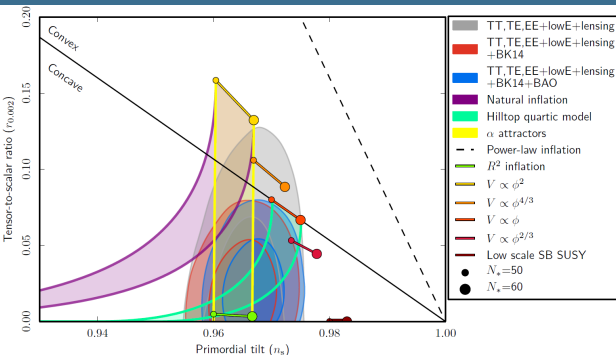
$$\eta_V(\phi) = m_p^2 \left( \frac{V''}{V} \right)$$

Slow-roll conditions corresponds to

$\epsilon_H, \eta_H \ll 1$ , where

$$\epsilon_H \simeq \epsilon_V, \quad \eta_H \simeq \eta_V - \epsilon_V$$

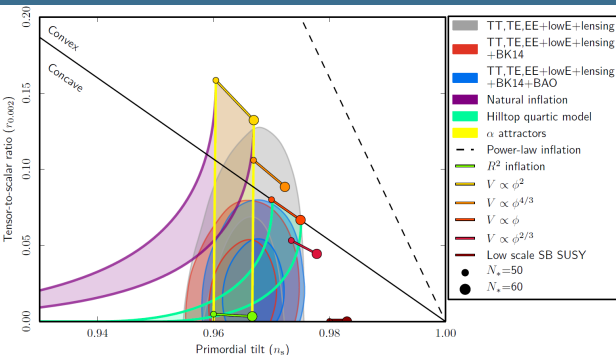
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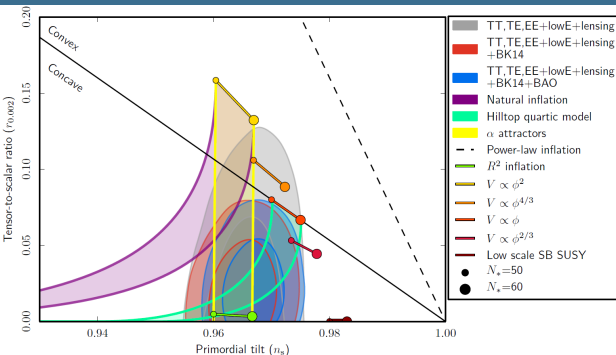
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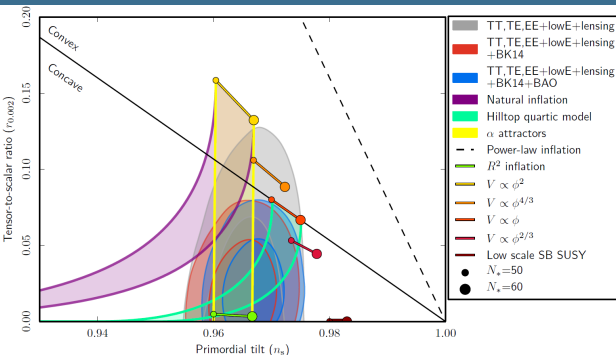
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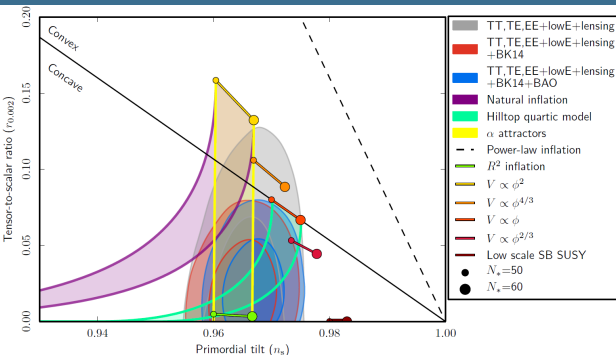
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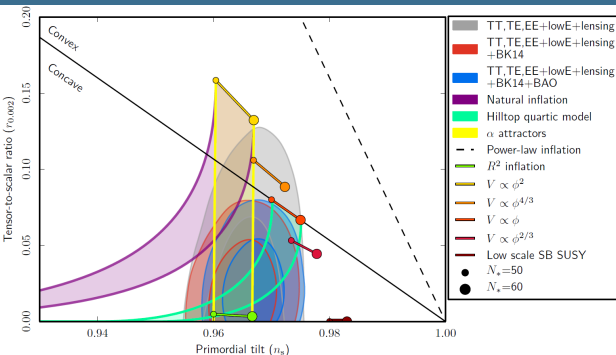
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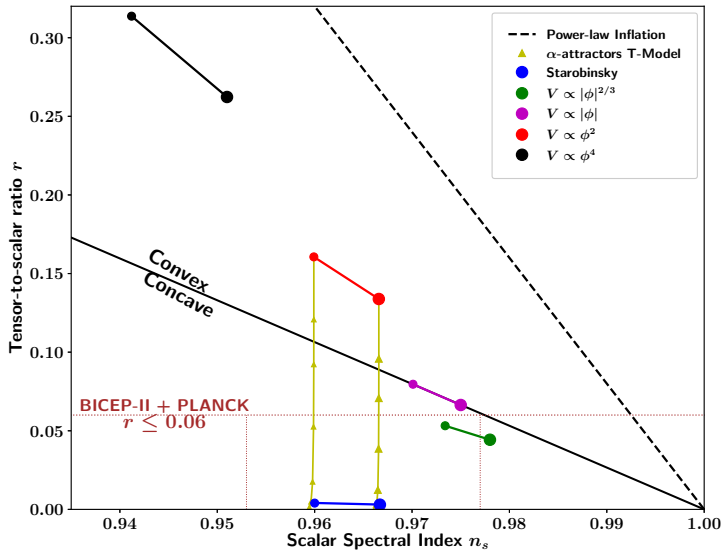
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**CMB Observations favour concave potentials over convex potentials. Tight constraints on the upper bound of  $r$  imply asymptotically flat plateau like potentials are favoured.**

**Issue of initial conditions for Inflation becomes more important!!** In this talk, we are not going to discuss about inhomogeneous initial conditions.



# Initial Conditions for Inflation in an FRW Universe

Inflation  $\Rightarrow$  Accelerated Expansion  $\Rightarrow \epsilon_H < 1$ . When the energy budget of the universe is dominated by the inflaton field, this implies,

$$w_\phi < -\frac{1}{3} \quad \text{or} \quad \dot{\phi}^2 < V(\phi)$$

Even though this condition seems restrictive, it is actually quite generic and can be quantified, at least for monotonically increasing potentials.

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

**Role of Friction term  $H\dot{\phi}$  and existence of inflationary separatrix** (indicating inflationary trajectories are local attractors at least for large field inflation) [[Brandenberger 2016](#)]

Hamilton-Jacobi Formalism:  $H_{,\phi}^2 - \frac{3}{2}H^2 = -\frac{1}{2}V(\phi)$ ,  $dN = \frac{|d\phi|}{\sqrt{2\epsilon_V}}$

$$\delta H(\phi) = \delta H(\phi_i) e^{-3(N-N_i)}$$

- We explicitly calculate the set of initial conditions that yield **adequate inflation** i.e  $N_e \geq 60$ , where  $a(t_e) = a(t_i)e^{N_e}$  so  $N_e = \int_{t_i}^{t_e} H dt$
- For a given fixed initial energy scale  $\rho(\phi_i)$  of inflation (or equivalently  $H_i$ ), the first Friedmann equation becomes the equation of a circle

$$R^2 = X^2 + Y^2$$

where

$$R = \sqrt{6} \frac{H}{m_p}, \quad X = \hat{\phi} \frac{\sqrt{2V(\phi)}}{m_p^2}, \quad Y = \frac{1}{m_p^2} \frac{d\phi}{dt}$$

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# Initial Conditions for Power-law Potentials: Quadratic Chaotic

We begin explaining our methodology for power-law potentials through the example of simple Quadratic Chaotic Inflation

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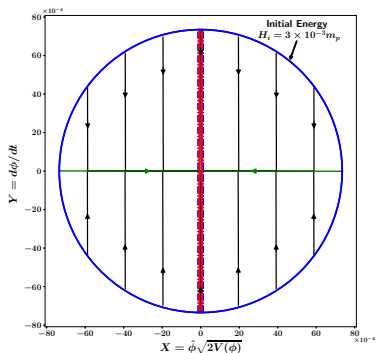
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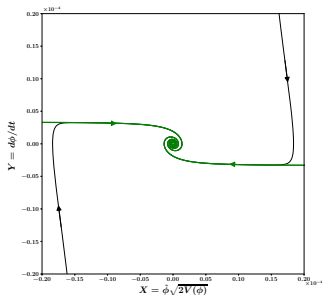
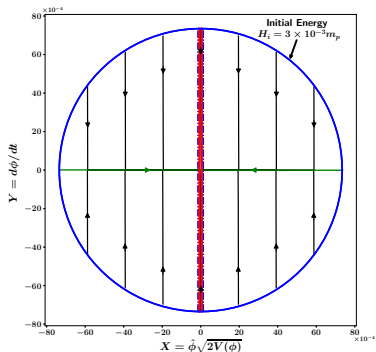


## Phase-space Analysis

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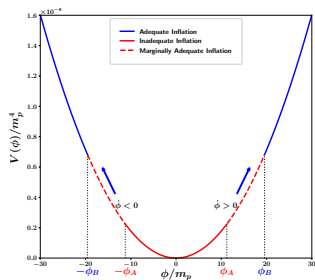
**Zoomed View: Attractor  
Slow-roll Trajectories**

**Phase-space Analysis**

# Initial Conditions for Power-law Potentials: Quadratic Chaotic

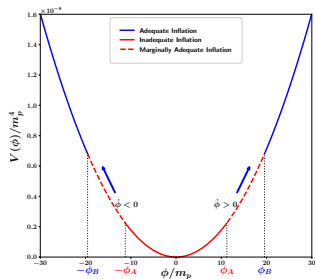
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## Range of Initial Conditions in the Field Space

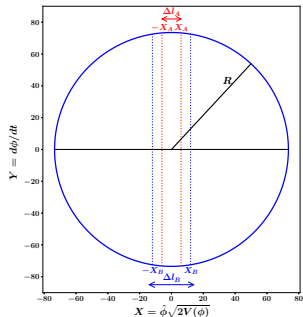


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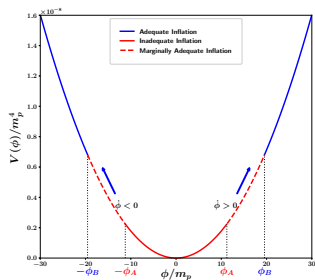
## Defining the 'Measure'



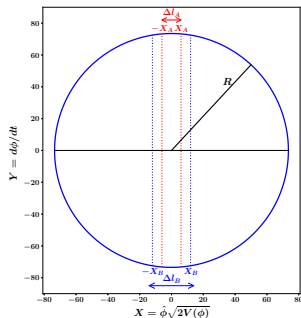


# Initial Conditions for Power-law Potentials: Quadratic Chaotic

## Range of Initial Conditions in the Field Space



## Defining the 'Measure'



$H_i$ (in $m_p$ )	$\phi_A$ (in $m_p$ )	$\phi_B$ (in $m_p$ )	$f_A = 2 \frac{\Delta_A}{l}$	$f_B = 2 \frac{\Delta_B}{l}$
$3 \times 10^{-3}$	11.22	19.55	$5.80 \times 10^{-3}$	$1.01 \times 10^{-2}$
$3 \times 10^{-2}$	9.33	21.38	$4.83 \times 10^{-4}$	$1.11 \times 10^{-3}$
$3 \times 10^{-1}$	7.47	23.27	$3.86 \times 10^{-5}$	$1.20 \times 10^{-4}$

# Initial Conditions for Power-law Potentials: Axion-Monodromy

Axion-Monodromy potential is given by  
[Silverstein, Westphal, McAllister  
2008-09]

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Monotonicity  $\Rightarrow$

$$b \left| \frac{\phi}{m_p} \right|^{1-p} \sin \frac{\phi}{f} < 1 ,$$

where  $b = \frac{1}{p} \frac{\Lambda^4}{V_0} \frac{m_p}{f} < 1$ .

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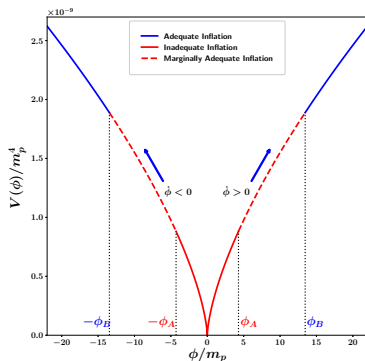
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Fractional Monodromy Inflation  
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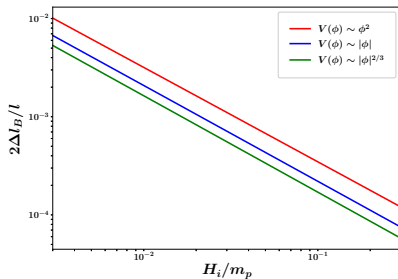
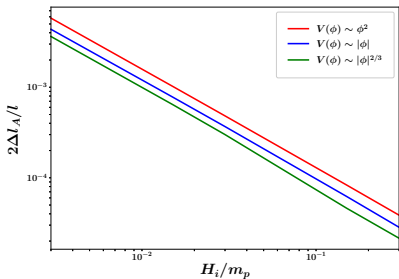


Range of Initial field values

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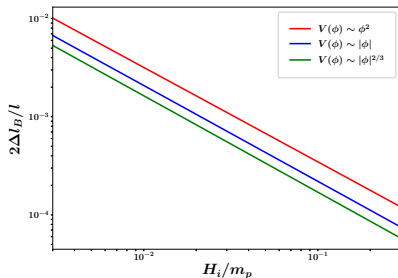
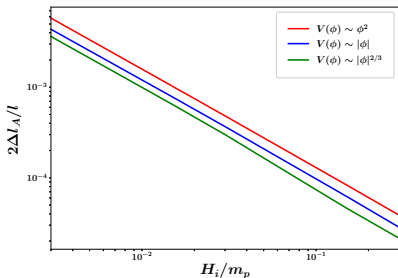
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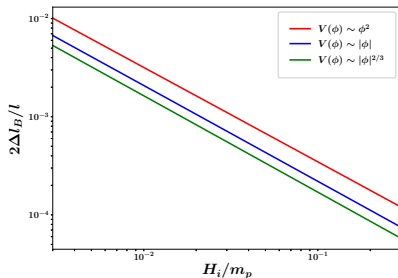
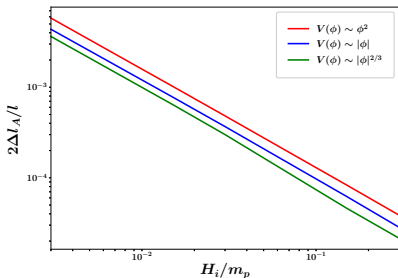
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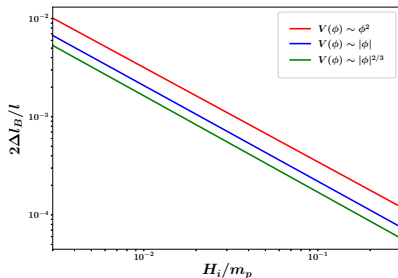
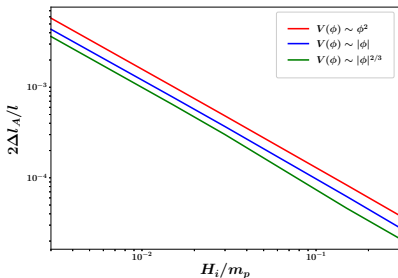


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But [Planck2018] favours **Asymptotically Flat (Plateau-like)**

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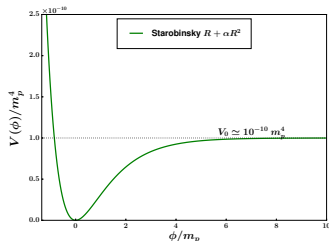
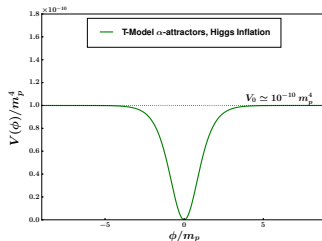
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In both cases Standard Model Higgs can act like the inflaton field generating necessary initial conditions for structure formation.

# Non-minimal Higgs Inflation

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$$V(\chi) = \frac{U[\phi(\chi)]}{\Omega^4} \quad \text{and} \quad \frac{\partial \chi}{\partial \phi} = \pm \frac{1}{\Omega^2} \sqrt{\Omega^2 + \frac{6\xi^2\phi^2}{m_p^2}}$$

# Higgs Potential in the Einstein frame

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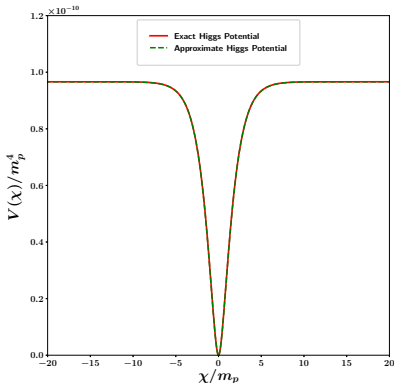
$$V(\chi) \simeq V_0 \left( 1 - \exp \left[ - \sqrt{\frac{2}{3}} \frac{|\chi|}{m_p} \right] \right)^2$$

where  $V_0 = \frac{\lambda m_p^4}{4\xi^2} = 9.6 \times 10^{-11} m_p^4$ ,  
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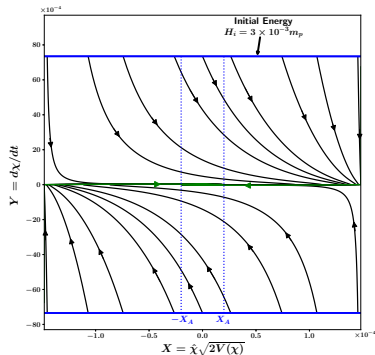
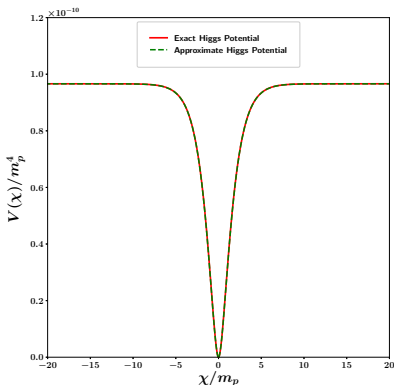
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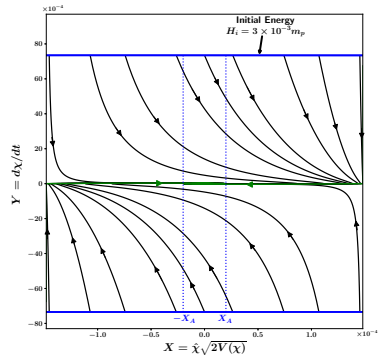
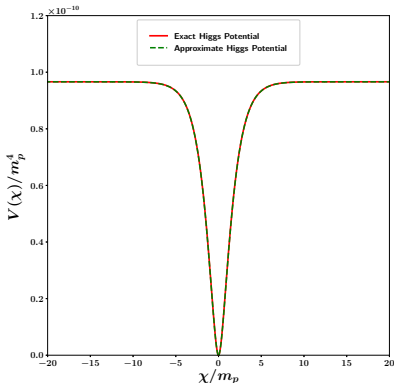
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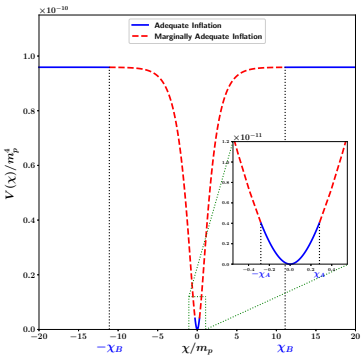
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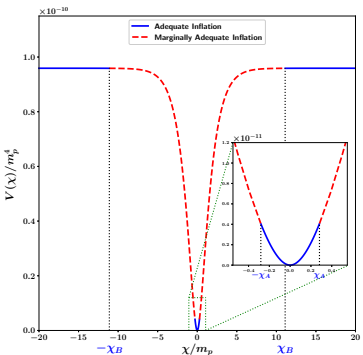


**Slanted trajectories in the phase-space indicate that starting from  $\phi = 0$ , it is possible to converge on to the inflationary separatrix to obtain adequate inflation.**

# Range of initial field values



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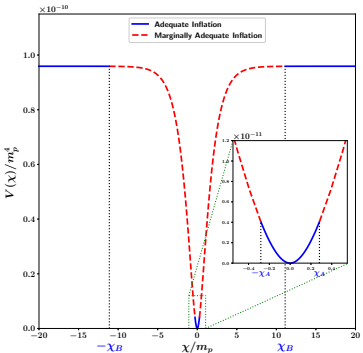


Also applicable to the  $T$ -Model of  $\alpha$ -attractors [Kallosh, Linde and Roest JCAP(2013)]

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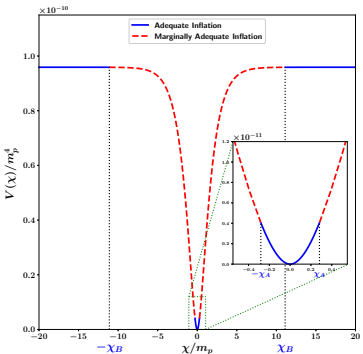


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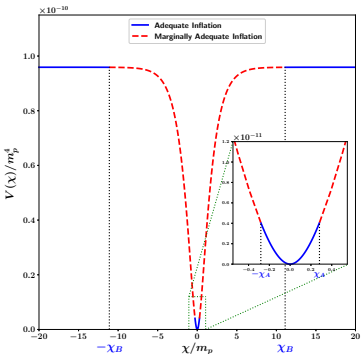
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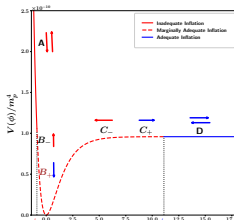
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$$\text{with } V(\phi) = \frac{3}{4} m^2 m_p^2 \left( 1 - e^{-\sqrt{\frac{2}{3}} \frac{\phi}{m_p}} \right)^2$$

Also applicable to the  $T$ -Model of  $\alpha$ -attractors [Kallosh, Linde and Roest JCAP(2013)]

$$V(\phi) = V_0 \tanh^2 \left( \lambda \frac{\phi}{m_p} \right)$$



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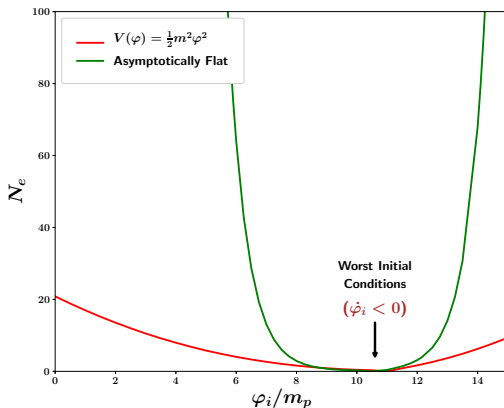
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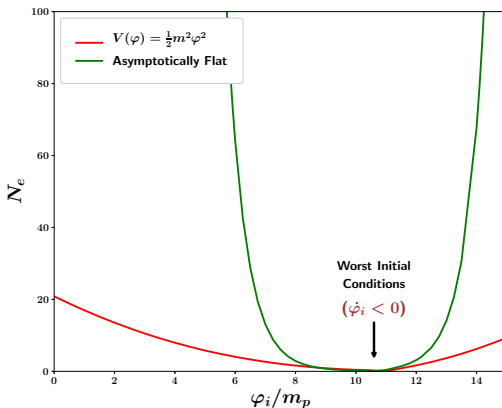
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**WHY!!**

**However Positive Spatial Curvature is a serious problem for Asymptotically Flat potentials [Steinhardt, Ijjas and Loeb 2014]**

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Turn over point is described by  $H = 0$  and  $a = a_b$ . Collapse happens when  $a < a_b$  ( $\frac{\ddot{a}}{a} < 0$ ) while bounce happens when  $a > a_b$  ( $\frac{\ddot{a}}{a} > 0$ ) where

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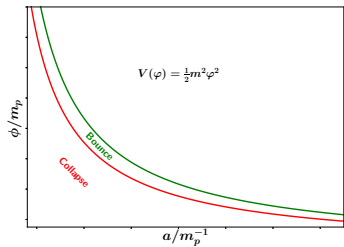
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So starting from  $H_i = 0$  at the Planck boundary  $\rho_\phi = m_p^4$ ,  $a_i m_p = \sqrt{3}$ , we have

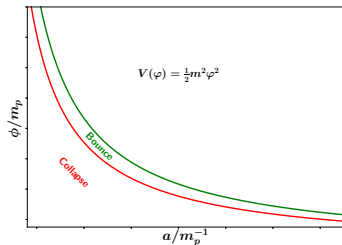
**Collapse:**  $\frac{V(\phi)}{m_p^4} < \frac{2}{a^2 m_p^2}$     **Bounce:**  $\frac{2}{a^2 m_p^2} < \frac{V(\phi)}{m_p^4} \leq \frac{3}{a^2 m_p^2}$



# Trouble with asymptotically flat potentials for $K = +1$

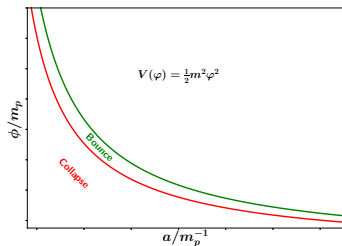


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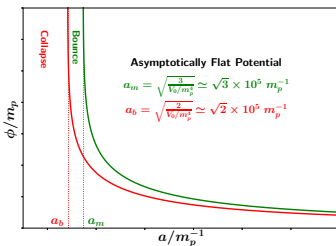
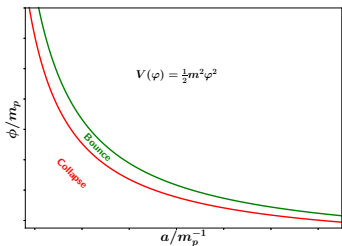
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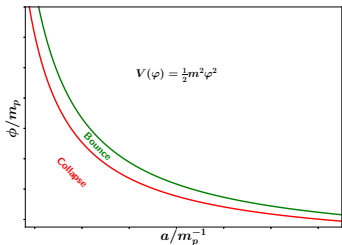
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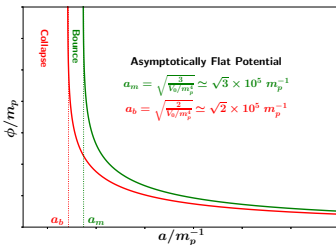


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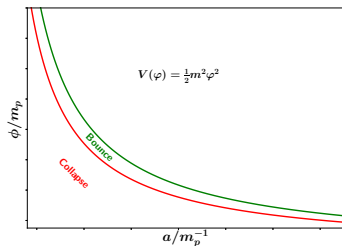


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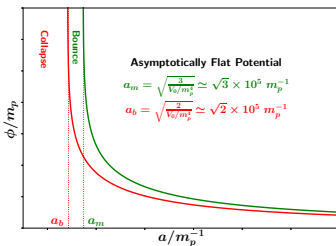
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**This is a serious issue and needs to be resolved!** [Mishra, Sahni and Toporensky (in preparation)]



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# Possible Resolution

Consider the Margarita Potential  
[Bag, Mishra and Sahni JCAP 2017]

$$V(\phi) = V_0 \tanh\left(\frac{\lambda_1 \phi}{m_p}\right) \cosh\left(\frac{\lambda_2 \phi}{m_p}\right), \quad \lambda_1 > \lambda_2$$

which has three asymptotes

Exponential wing  $V(\varphi) \simeq \frac{V_0}{2} \exp(\lambda_2 |\varphi|/m_p), \quad \frac{|\varphi|}{m_p} \gg \frac{1}{\lambda_2},$

Flat wing:  $V(\varphi) \simeq V_0 + \frac{1}{2} m_2^2 \varphi^2, \quad \frac{1}{\lambda_1} \ll \frac{|\varphi|}{m_p} \ll \frac{1}{\lambda_2},$

Oscillatory region:  $V(\varphi) \simeq \frac{1}{2} m_1^2 \varphi^2, \quad \frac{|\varphi|}{m_p} \ll \frac{1}{\lambda_1},$

where  $m_1^2 = \frac{2V_0 \lambda_1^2}{m_p^2}$  and  $m_2^2 = \frac{V_0 \lambda_2^2}{m_p^2}$

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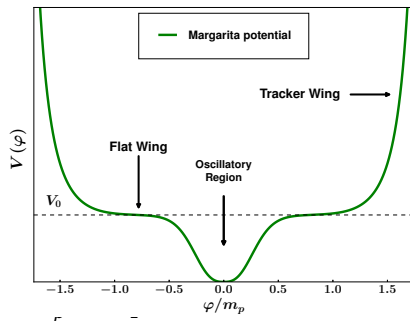
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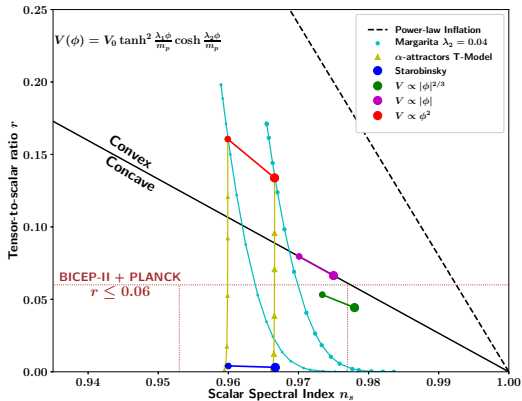
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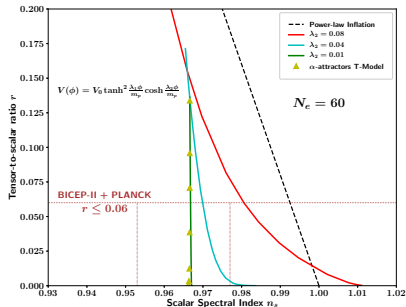
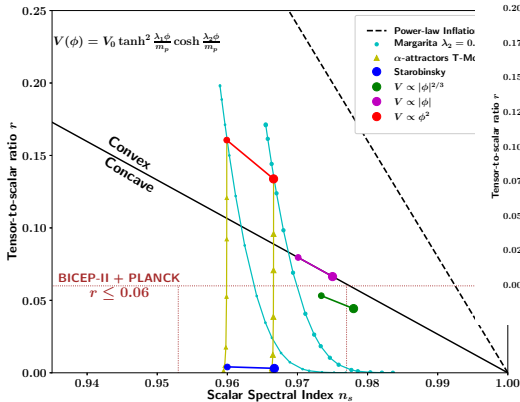
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- Initial conditions should also be thoroughly analysed for alternatives to Inflation like String Gas Cosmology, Matter Bounce Models, Ekpyrotic Models, Emergent Scenarios etc.

- 1 Initial Conditions for Inflation in an FRW Universe, [Mishra, Sahni and Toporensky, PRD 98, 083538\(2018\)](#)  
[\[arXiv:1801:04948\]](#)
- 2 Initial Conditions for inflation: A short Review, [Robert Brandenberger, Int. J. Mod. Phys. D 26, 1740002\(2017\)](#)
- 3 Beginning of Inflation in an inhomogeneous universe, [East, Kleban, Linde, Senatore, JCAP 09, \(2016\) 010](#)
- 4 [Guth and Nomura, Phys. Lett. B 733, 112 \(2014\)](#)
- 5 [Carrasco, Kallosh and Linde, PRD 92, 063519\(2015\)](#)
- 6 Initial Conditions for inflation in an FRW Universe - II [Mishra, Sahni and Toporensky \(in preparation\)](#).