

# PBH and ISGWB from USR model of inflation with different reheating histories

(Based on JCAP01(2020)037 and arxiv: 2009.10424)

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**IISc Bangalore**

# Overview

Primordial Black Holes from USR models as CDM

Induced Stochastic GW Background (ISGWB)

Lowest Mass PBHs for USR models

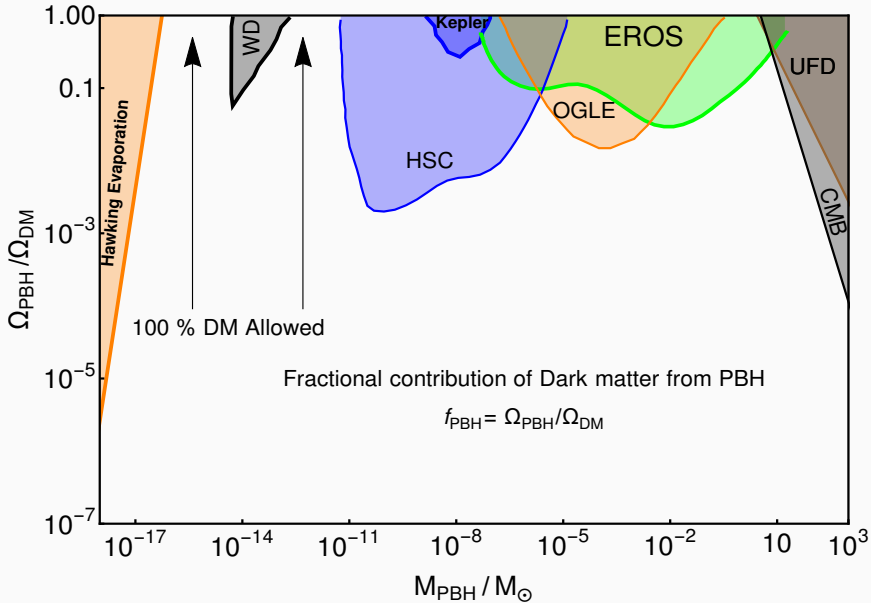
Effects of reheating

Summary

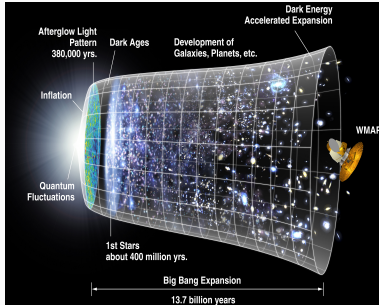
# Primordial Black Holes from USR models as CDM

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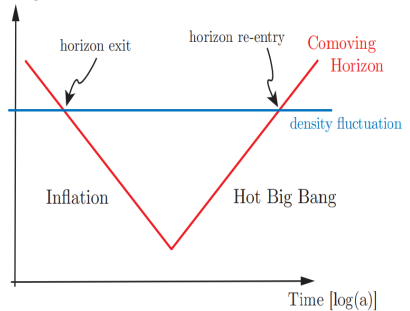
## Primordial Black Holes as CDM and constraints



# Inflation to form PBHs



## Comoving Scales



## Conditions on curvature perturbation

- $\mathcal{R} \sim 0.1$  ;  $P_{\mathcal{R}} \sim 10^{-2}$  at PBH scale ( $\delta_c \sim w$ )
- $\mathcal{R} \sim 0.0001$  ;  $P_{\mathcal{R}} \sim 2.25 \times 10^{-9}$  at CMB Scale

# Inflationary model

## Inflection point model Potential

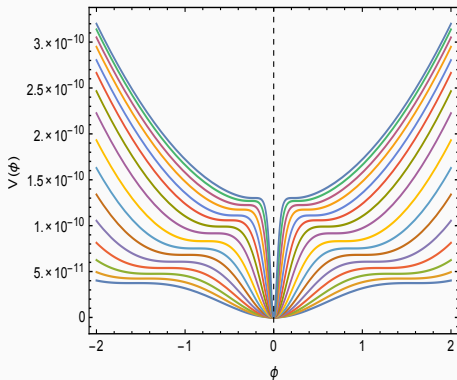
- $V'(x_0) = 0$
- $V''(x_0) = 0$
- $V'''(x_0) \neq 0$

## Chosen Single field Potential of our Model

$$V(x) = V_0 \frac{ax^2 + bx^4 + cx^6}{(1+dx^2)^2}$$

## Behaviour in different regimes

- Large field limit :  $V(x) \simeq \frac{V_0 c}{d^2} x^2$
- Small field limit :  $V(x) \simeq V_0 a x^2$



Bhaumik, Jain 2019

# Dynamics of inflation in our model

## Friedmann equations

- $\frac{d^2\phi}{dN^2} + (3 - \epsilon)\frac{d\phi}{dN} + \frac{1}{H^2} V'(\phi) = 0$
- $\frac{dH}{dN} = -\frac{H}{2M_{\text{Pl}}^2} \left(\frac{d\phi}{dN}\right)^2$ ,       $\epsilon = \text{First Hubble slow Roll parameter}$

## Slow Roll Phase

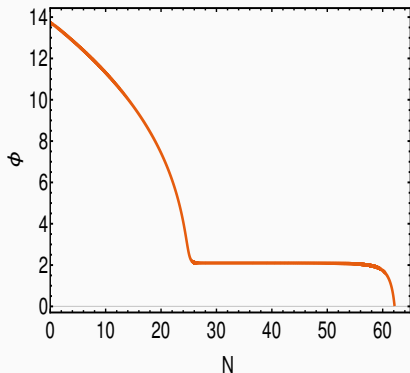
- $\frac{d\phi}{dN} + \frac{1}{3H^2} V'(\phi) \simeq 0$

$$\phi(N) \simeq \phi_i - \sqrt{2\epsilon_V} M_{\text{Pl}}(N - N_i)$$

## Ultra Slow Roll Phase

- $\frac{d^2\phi}{dN^2} + 3\frac{d\phi}{dN} \simeq 0$

$$\frac{d\phi(N)}{dN} \sim \exp[-3(N - N_i)]$$



# Scalar Power Spectra

## Mukhanov sasaki equations

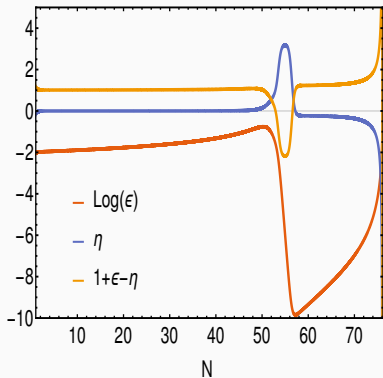
- $\mathcal{R}_k'' + 2 \left( \frac{z'}{z} \right) \mathcal{R}_k' + k^2 \mathcal{R}_k = 0; \quad z = a\dot{\phi}/H = a\phi_N$
- $P_{\mathcal{R}} = \frac{k^3}{2\pi^2} |\mathcal{R}_k|^2 \quad \mathcal{R} = \text{Comoving curvature perturbation}$

## Growing and Decaying mode

- $\mathcal{R}_k(\tau) \simeq C_1 + C_2 \int \frac{d\tau}{z^2}$

## Ultra Slow Roll and need of numerical Study

- $\frac{z'}{z} = aH(1 + \epsilon - \eta)$

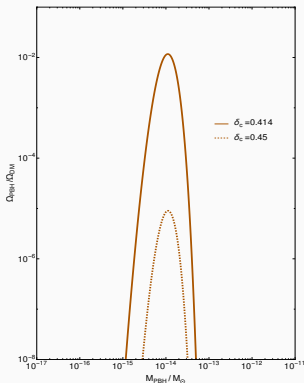
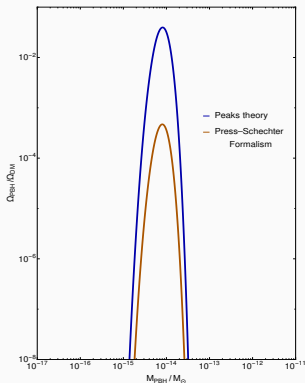




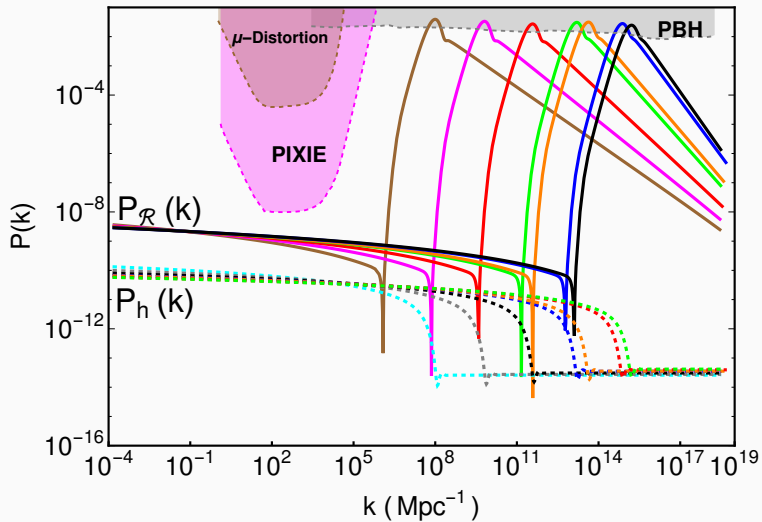
# PBH mass fraction and uncertainties

## Uncertainties in the computation of mass fraction

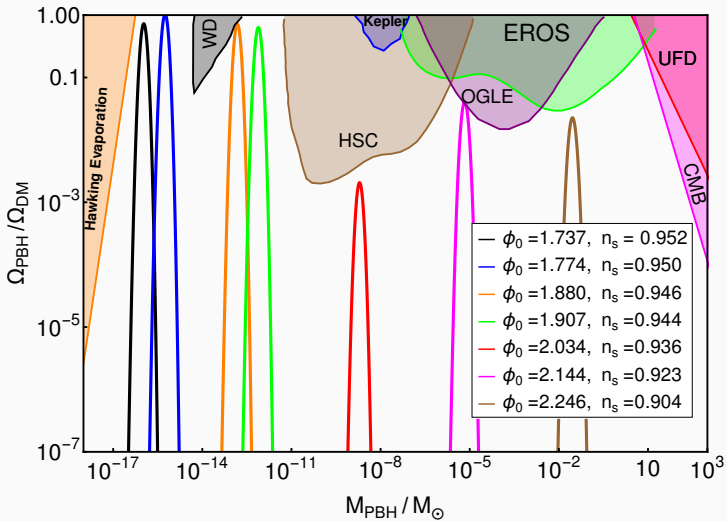
- Peaks theory and Press Schechter : Press Schechter
- Critical density contrast  $\delta_c = .414$
- The window Function  $W(k, R) = \exp\left(-\frac{k^2 R^2}{2}\right)$



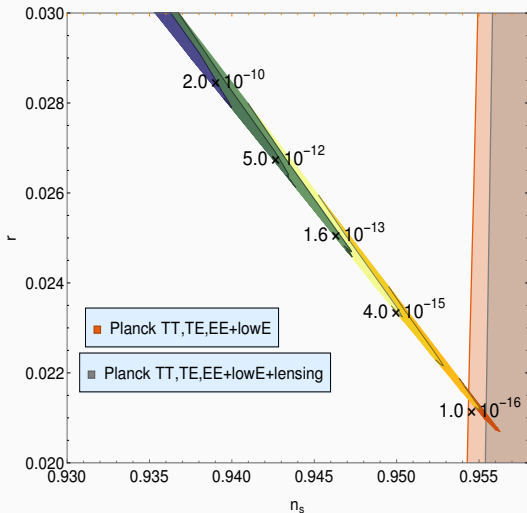
# Scalar power spectra



# PBH mass fraction



# Scalar index and tensor to scalar ratio



# Induced Stochastic GW Background (ISGWB)

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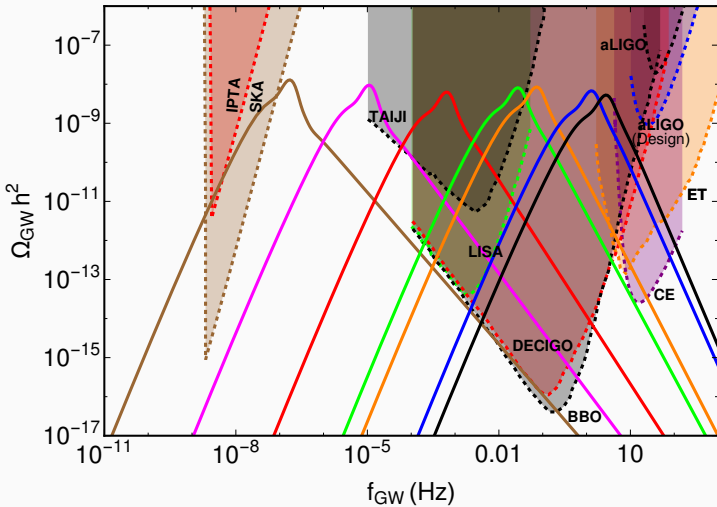
## Induced Stochastic GW Background (ISGWB)

$$h_{\mathbf{k}}''(\tau) + 2\mathcal{H}h_{\mathbf{k}}'(\tau) + k^2 h_{\mathbf{k}}(\tau) = 4S_{\mathbf{k}}(\tau)$$

$$\Omega_{\text{GW}}(\tau, k) = \frac{1}{6} \left( \frac{k}{\mathcal{H}} \right)^2 \int_0^\infty dv \int_{|1-v|}^{1+v} du \left( \frac{4v^2 - (1 + v^2 - u^2)^2}{4uv} \right)^2 \\ \times \overline{I_{\text{RD}}^2(v, u, x)} \mathcal{P}_{\mathcal{R}}(kv) \mathcal{P}_{\mathcal{R}}(ku)$$

$$I_{\text{RD}}(u, v, x) = \int_0^x d\bar{x} \frac{a(\bar{x})}{a(x)} f(u, v, \bar{x}) k G(\bar{x}, x)$$

# ISGWB from our model



## **Lowest Mass PBHs for USR models**

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# Lowest Mass PBHs for USR models ( with instantaneous transition to SR)

## Limits on efold number

\* Assuming  $|\eta| \leq 1$  in SR:

$$N_{end} - N_{peak} \geq 10.4$$

$$* N_{end} - N_{pivot} \leq 58.92 + \frac{\ln(\epsilon)}{4}$$

(agrees with **Liddle, Leach 2003**)

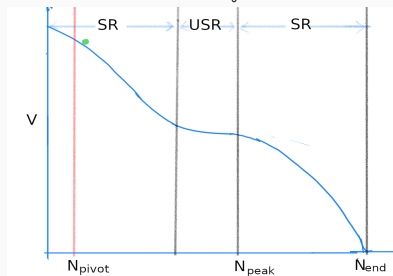
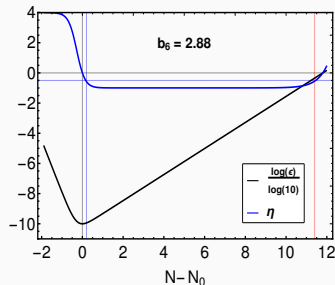
$$* N_{peak} - N_{pivot} \geq 47.41$$

$$\mathbf{N} \rightarrow \mathbf{k} \rightarrow \mathbf{M}_{PBH}, \mathbf{f}_{SGWB}$$

$$\mathbf{M}_{PBH} \geq 6.14 \times 10^{-23} M_{\odot}$$

$$\mathbf{f}_{SGWB} \leq 2.91 \times 10^2 \text{ Hz}$$

[Bhaumik, Jain 2020]

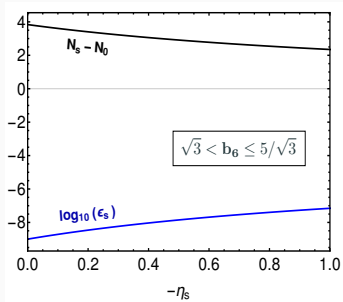
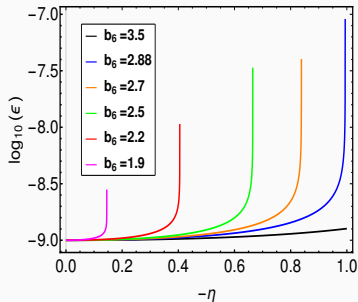


# Non-instantaneous transition to SR

## Smooth transition to SR:

$$\text{Potential: } V(x) = b_0 + b_1(\phi - \phi_0) + b_2(\phi - \phi_0)^2$$

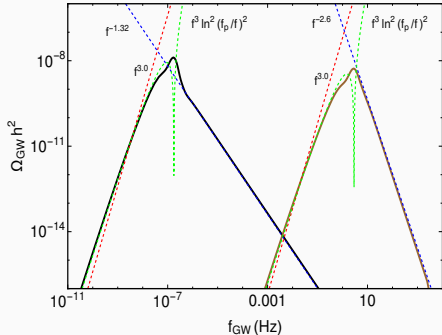
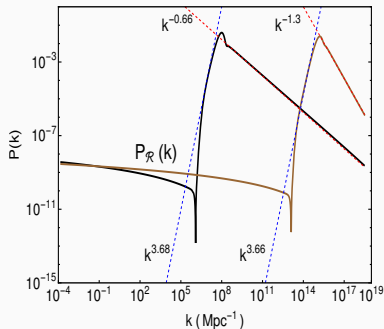
$$\text{2nd Slow Roll parameter: } \eta = \epsilon - \phi_{NN}/\phi_N = \eta(N, b_6)$$



## Minimum efold interval:

$$N_{end} - N_{peak} = \Delta N_{transition} + \Delta N_{SR} \geq 10.58$$

# Effects on the slope of SGWB peak



## Slope in red tilted regimes

- $P_\zeta \sim k^{-\gamma} \rightarrow \Omega_{SGWB} \sim f^{-2\gamma}$  ( $\gamma \leq 4.0$ ) [Xu et al, Phys.Rev.D 2020]
- $N_{end} - N_{peak} \geq 10.4 \rightarrow \gamma \leq 2.0$
- **Maximum slope of SGWB  $\leq 4.0$  .**

## Effects of reheating

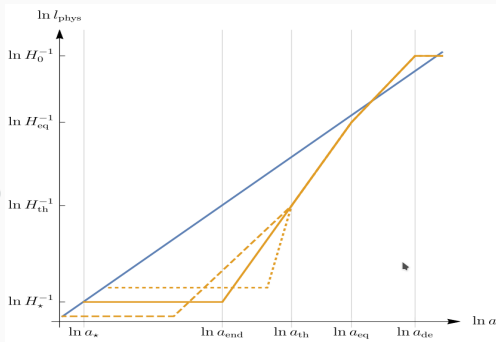
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## Effects of reheating

We assume reheating phase with a constant equation of state  $w_{reh}$ , and duration  $N_{reh}$ .

Remapping of scales :

$$k_e = k_{no-reheating} \times e^{-\frac{1}{4} N_{reh}(1-3w_{reh})}$$



$$N_e - N_p = \ln \left( \frac{k_e}{k_p} \right) = 58.92 + \frac{1}{4} \ln(\epsilon) - \frac{1}{4} N_{reh}(1 - 3w_{reh})$$

# Effects of reheating on lowest mass limit

## Non-instantaneous reheating history

- $N_{peak} - N_{pivot} \simeq 47.41 - \frac{1}{4} N_{reh}(1 - 3w_{reh})$
- $M_{PBH} \geq 6.14 \times 10^{-23} e^{\frac{1}{2} N_{reh}(1-3w_{reh})} M_{\odot}$
- $f_{SGWB} \leq 2.91 \times 10^2 e^{-\frac{1}{4} N_{reh}(1-3w_{reh})} \text{ Hz.}$

## Matter dominated reheating

(  $w=0$ ,  $N_{reh} = 10$  ) :

- $M_{PBH} \geq 9.09 \times 10^{-21} M_{\odot}$
- $f_{SGWB} \leq 23.94 \text{ Hz.}$

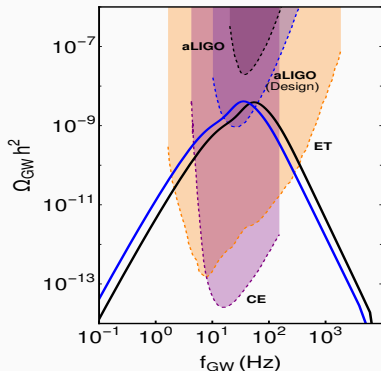
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## Non-instantaneous reheating history

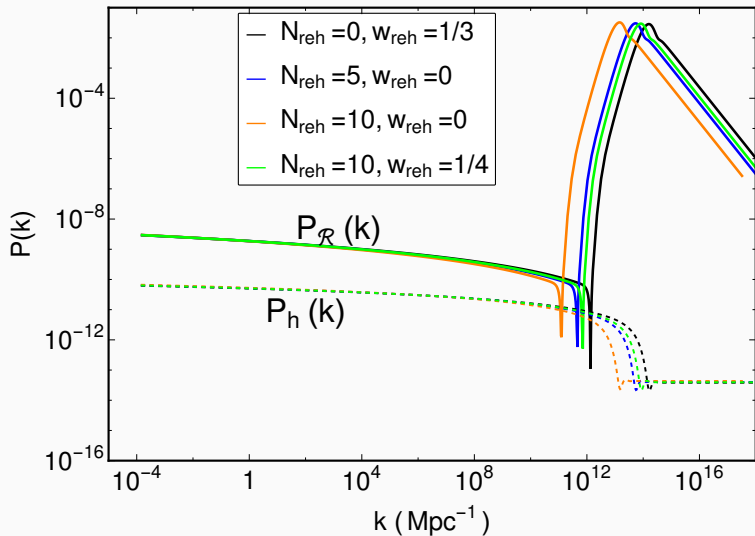
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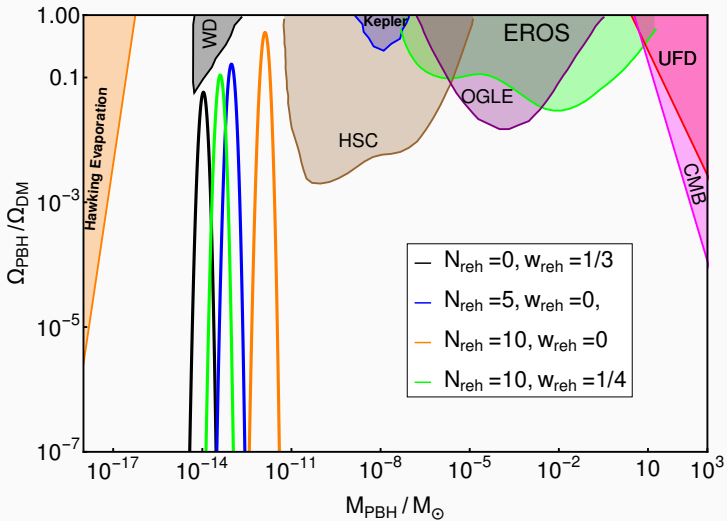


# Power Spectra

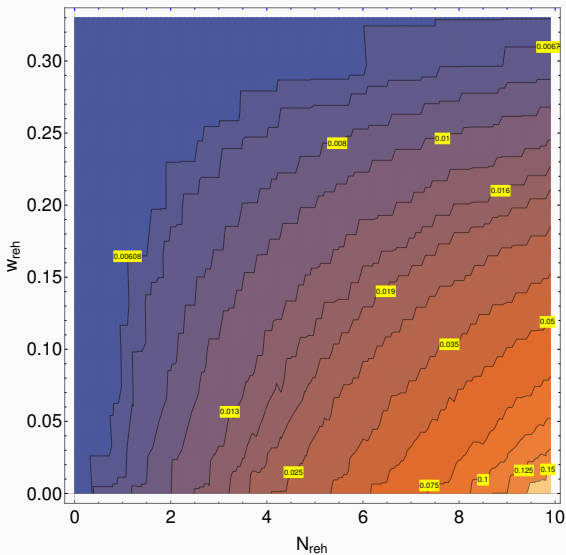




# Mass fraction



# Contour plot for mass fraction



## Effects of reheating on ISGWB

### Dependence on kernel $I(u, v, x)$

$$\Omega_{\text{GW}}(\tau, k) = \frac{1}{6} \left( \frac{k}{\mathcal{H}} \right)^2 \int_0^\infty dv \int_{|1-v|}^{1+v} du \left( \frac{4v^2 - (1 + v^2 - u^2)^2}{4uv} \right)^2 \\ \times \overline{I_{\text{MD+RD}}^2(v, u, x)} \mathcal{P}_{\mathcal{R}}(kv) \mathcal{P}_{\mathcal{R}}(ku)$$

$$I(u, v, x, x_r) \simeq I_{\text{RD}}(u, v, x, x_r) = \int_{x_r}^x d\bar{x} \frac{a(\bar{x})}{a(x)} f(u, v, \bar{x}, x_r) kG(\bar{x}, x)$$

(Inomata, Phys. Rev. D (2019))

$$f(u, v, \bar{x}, x_r) = \frac{4}{9} \left[ (\bar{x} - x_r/2) \partial_{\bar{x}} \mathcal{T}(u\bar{x}, ux_r) ((\bar{x} - x_r/2) \partial_{\bar{x}} \mathcal{T}(v\bar{x}, vx_r) \right. \\ \left. + \mathcal{T}(v\bar{x}, vx_r)) + \mathcal{T}(u\bar{x}, ux_r) ((\bar{x} - x_r/2) \partial_{\bar{x}} \mathcal{T}(v\bar{x}, vx_r) + 3\mathcal{T}(v\bar{x}, vx_r)) \right]$$

# Effects of eMD on the transfer function

## The scalar transfer function in RD

$$\mathcal{T}_k''(\eta) + 4\mathcal{H}\mathcal{T}_k'(\eta) + \frac{k^2}{3}\mathcal{T}_k(\eta) = 0 \quad (1)$$

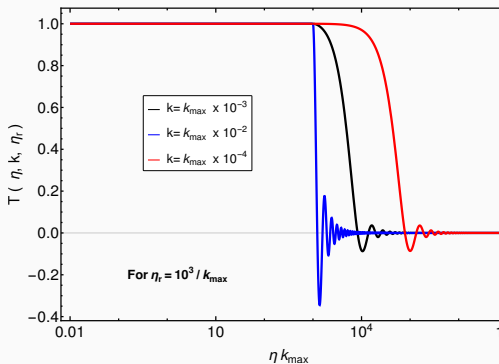
## Background with eMD

$$\frac{a(\eta)}{a(\eta_r)} = 2\frac{\eta}{\eta_r} - 1$$
$$\mathcal{H} = \frac{1}{\eta - \eta_r/2}$$

## Initial condition

with eMD ( $x = \eta k$ )

- $\mathcal{T}(x, x_r)|_{x=x_r} = 1$
- $\partial_x \mathcal{T}(x, x_r)|_{x=x_r} = 0$



# Kernel $I(u, v, x, x_r)$

## Different oscillating terms

$$\mathcal{I}(u, v, x, x_r) = I(u, v, x, x_r) \times (x - x_r/2)$$

$$\mathcal{I}(u, v, x, x_r) = \mathcal{I}_s(u, v, x, x_r) \sin(x) + \mathcal{I}_c(u, v, x, x_r) \cos(x) + \text{4 other terms}$$

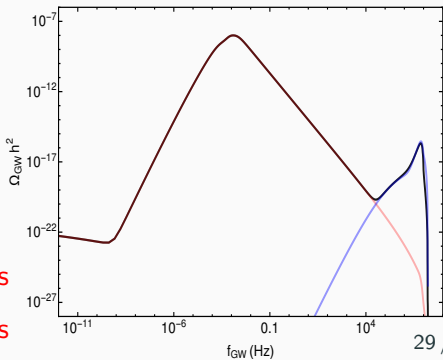
## Oscillation average

$$\overline{\mathcal{I}^2} = \frac{1}{2} (\mathcal{I}_s^2 + \mathcal{I}_c^2)$$

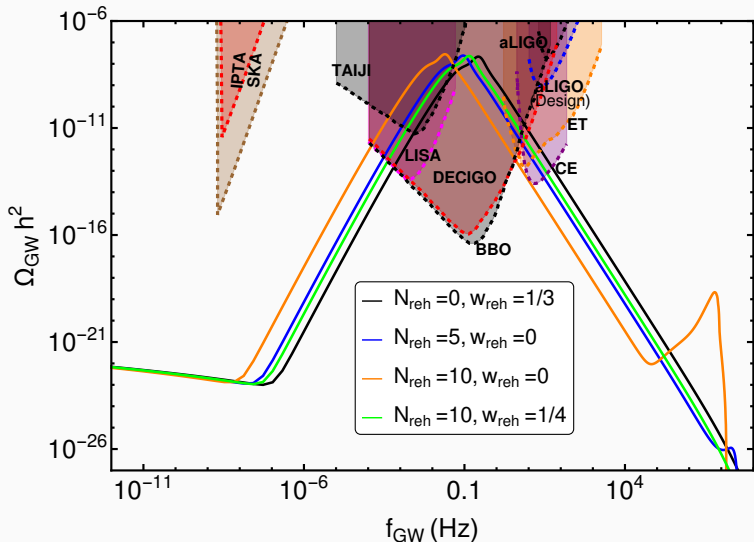
## Different k regimes

$$\mathcal{I}_s \simeq \mathcal{I}_{ss} + \boxed{\mathcal{I}_{sl}} + \text{other terms}$$

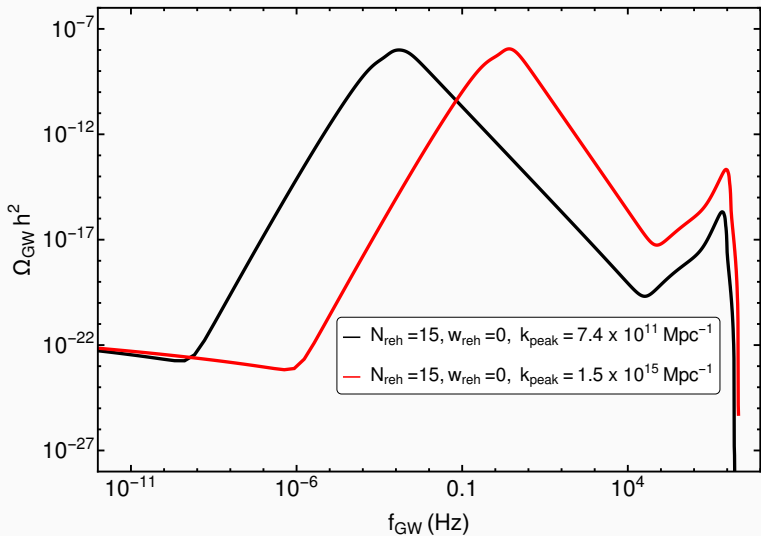
$$\mathcal{I}_c \simeq \mathcal{I}_{cs} + \boxed{\mathcal{I}_{cl}} + \text{other terms}$$



## SGWB for different reheating histories



## SGWB for different power spectras, same reheating histories



## Summary

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## Summary of Results

- It is possible to produce PBHs in different mass ranges, and ISGWB in different frequency bands in these class of models.
- An upper mass limit comes from  $n_s$  problem.
- Also there is lower mass limit (and an upper limit on  $f_{SGWB}$ ) for USR models which has no phase of  $\eta \leq -1.0$ .
- For non-instantaneous reheating history, the lower mass bound gets even stronger.
- Even for monochromatic case, a matter dominated reheating phase results in higher PBH mass range with more abundant PBH formation, lower frequency range for primary ISGWB peak, along with a second ISGWB peak in very high frequency.

(Based on JCAP01(2020)037 and arXiv:2009.10424 )

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(Based on JCAP01(2020)037 and arXiv:2009.10424 )

[Thank you](#)

# Generation of Primordial Black Holes

- Different Classes of generation mechanism
- PBH from scalar perturbation of inflation
  - Radiation dominated epoch
  - Matter dominated epoch
  - Reheating epoch

We shall deal with PBH formed in radiation dominated epoch, and study the effects of non-instantaneous reheating phase.

## Choice of initial scale factor

### For Non-instantaneous reheating :

$$\frac{a_i}{a_0} = \left( \frac{g_{*,0}}{g_{*,r}} \right)^{1/3} \frac{T_\gamma}{T_r} e^{-N-N_{reh}},$$

$$T_r = \left( \frac{90}{\pi^2 g_{*,r}} \right)^{1/4} \left( H_e^2 M_{\text{Pl}}^2 e^{-3N_{reh}(1+w_{reh})} \right)^{1/4}$$

### Steps :

- Choose  $a_{0i}$
- Choose inflection point
- Scale the field for optimized efold Number
- Normalize  $P_{\mathcal{R}}$  at  $k_p$
- Calculate  $a_{0c}$  from the model
- Rerun loop with new  $a_{0i}$

## Need to scale the field

### Requirement while scanning the parameter space:

1. Finite Number of E-folds
2. The Fixed PBH Mass Range

$$\phi = x * \nu$$

### Scaling of the field

1. Does not harm the inflection point condition
3. It effects duration of USR phase most .
4. Changing only  $\nu$  we get desired e-fold requirement

# Computation of numerical power spectra

- Background evolution
- Sub horizon condition :
  - Bunch-Davies vacuum conditions
$$\mathcal{R}_k = \frac{1}{\sqrt{2k}} \frac{e^{-ik\tau}}{z}, \quad h_k = \frac{1}{\sqrt{2k}} \frac{e^{-ik\tau}}{a}.$$
- Evolve the perturbation along with back ground evolution
- Obtain Power spectra after:
  - Super horizon condition satisfies:  $(k/aH \sim 10^{-3})$
  - Decaying mode dies

# Computation of Mass function

## Press Schechter Formalism

(Radiation dominated Epoch  $w = 1/3$ )

$$R = 1/k = (aH)^{-1}$$

$$\sigma_{\delta}^2(R) = \frac{16}{81} \int \frac{dk}{k} (kR)^4 P_{\mathcal{R}}(k) W^2(k, R) \quad (2)$$

$$\beta_f(M) = \frac{1}{2} \operatorname{erfc} \left( \frac{\delta_c}{\sqrt{2} \sigma_{\delta}(M(R))} \right) \quad (3)$$

$$M(R_f) = 4\pi\gamma M_{\text{Pl}}^2 \left( \frac{a_{\text{eq}}}{R_{\text{eq}}} \right) R_f^2$$

$$\beta_{\text{eq}}(M) = \beta_f(M) \left( \frac{a_{\text{eq}}}{a_f} \right) = \beta_f(M) \left( \frac{R_{\text{eq}}}{R_f} \right)$$

$$f_{\text{PBH}} = \frac{\beta_{\text{eq}}(M)}{\Omega_{\text{DM}}(M)}$$

## Total e-folds

In radiation dominated universe,  $a \propto t^{1/2}$ ,  $H \propto t^{-1}$  and  $k = aH \propto t^{-1/2} \propto H^{1/2}$ . As  $\rho = 3H^2 M_{pl}^2$ ,  $k = aH \propto \rho^{1/4}$ .

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$$\frac{k}{k_{eq}} = \left( \frac{\rho}{\rho_{r,eq}} \right)^{1/4}$$

$$\rho_{r,eq} = \Omega_r (z_{eq} + 1)^4 \rho_{critical} = \Omega_r (z_{eq} + 1)^4 (3H_{present}^2 M_{pl}^2)$$

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$$H_e = H_{ri} = (P_\zeta(k) 8\pi^2 M_{pl}^2 \epsilon)^{1/2}$$

$$\rho_e = 3H_e^2 M_{pl}^2 = 8\pi^2 (P_\zeta(k) M_{pl}^2 \epsilon)$$

$$k_{ri} = \frac{k_{eq}}{(z_{eq} + 1)} \left( \frac{P_\zeta(k) 8\pi^2 \epsilon}{\Omega_r H_{present}^2} \right)^{1/4}$$

---

$$k_{ri} = \mathbf{1.94} \times \mathbf{10^{24}} (\epsilon)^{1/4} \mathbf{Mpc^{-1}}$$

$$N_e - N_p = \ln \left( \frac{k_{ri}}{k_p} \right) = \mathbf{58.92} + \frac{1}{4} \ln(\epsilon)$$



## Transfer function in RD with eMD

### Transfer function in RD in presence of an eMD

$$\mathcal{T}(x, x_r) = \frac{3\sqrt{3} \left[ A(x_r) j_1 \left( \frac{x-x_r/2}{\sqrt{3}} \right) + B(x_r) y_1 \left( \frac{x-x_r/2}{\sqrt{3}} \right) \right]}{x - x_r/2}$$

$$A(x_r) = \frac{x_r}{2\sqrt{3}} \sin \left( \frac{x_r}{2\sqrt{3}} \right) - \frac{1}{36} (x_r^2 - 36) \cos \left( \frac{x_r}{2\sqrt{3}} \right)$$

$$B(x_r) = -\frac{1}{36} (x_r^2 - 36) \sin \left( \frac{x_r}{2\sqrt{3}} \right) - \frac{x_r}{2\sqrt{3}} \cos \left( \frac{x_r}{2\sqrt{3}} \right)$$

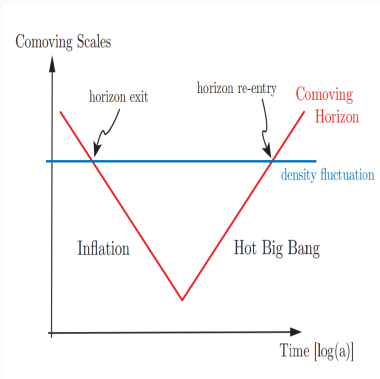
# Generation of PBHs in radiation dominated era

## Assumptions of Instantaneous collapse

- Spherical Collapse
- $M_{PBH}(R) \simeq M_H$

## Perturbation to density contrast

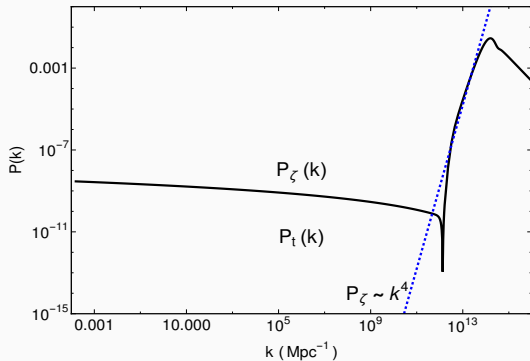
$$\delta(k, t) \simeq \frac{2(w+1)}{(3w+5)} \left(\frac{k}{aH}\right)^2 \mathcal{R}_k$$



## Conditions on curvature perturbation

- $\mathcal{R} \sim 0.1$  ;  $P_{\mathcal{R}} \sim 10^{-2}$  at PBH scale ( $\delta_c \sim w$ )
- $\mathcal{R} \sim .0001$  ;  $P_{\mathcal{R}} \sim 2.25 \times 10^{-9}$  at CMB Scale

# Steepest Possible Growth



This steep growth is consistent with Byrnes, Cole and Patil (arXiv:1811.11158).

## Effects of reheating

We assume reheating phase with a constant equation of state  $w_{reh}$ , and duration  $N_{reh}$ .

**Remapping of scales :**

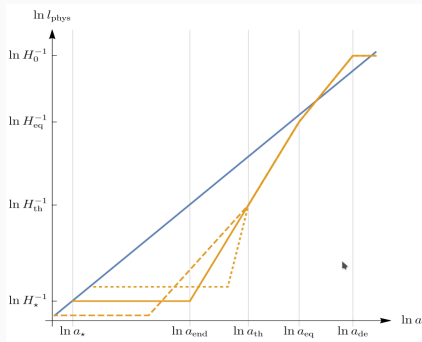
$$H_{ri} = H_e e^{-\frac{3}{2} N_{reh}(1+w_{reh})}$$

$$k_{ri} = \frac{k_{eq}}{\sqrt{H_{eq}}} H_e^{1/2} e^{-\frac{3}{4} N_{reh}(1+w_{reh})}$$

$$\frac{k_e}{k_{ri}} = \frac{a_e H_e}{a_{ri} H_{ri}} = e^{-N_{reh} + \frac{3}{2} N_{reh}(1+w_{reh})}$$

$$k_e = \frac{k_{eq}}{\sqrt{H_{eq}}} H_e^{1/2} e^{-N_{reh}(1-3w_{reh})/4}$$

$$= k_{no-reheating} \times e^{-\frac{1}{4} N_{reh}(1-3w_{reh})}$$



$$N_e - N_p = \ln \left( \frac{k_e}{k_p} \right) = 58.92 + \frac{1}{4} \ln(\epsilon) - \frac{1}{4} N_{reh}(1 - 3w_{reh})$$