

PBH formation from Non-Canonical Axion-Curvaton Scenario

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Growth of curvature perturbations for PBH formation & detectable GWs in non-minimal
curvaton scenario revisited,

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2305.12325 (JCAP 08 (2023) 041)

December 3, 2023

- 1 Basics of Curvaton Scenario
- 2 Axion as Curvaton
- 3 Non-minimal coupling and PBH

Motivation for Curvaton

- An alternative method to generate adiabatic curvature perturbation where inflaton is not responsible for it
[\[arXiv:hep-ph/0110002\]](#)
- Curvaton does not require any assumptions about the nature of inflation
- Curvaton can save some models that are ruled out by data
[\[arXiv:1312.1353\]](#)
- Possibility of producing large non-Gaussianities

The Curvaton Picture

- The curvaton field is subdominant in energy during inflation
- Curvaton field is almost frozen during inflation
- Curvaton does not affect the background inflationary evolution
- After inflation the curvaton starts to dominate the energy density and produce observed curvature perturbation

Curvaton dynamics

- Background curvaton: $\bar{\chi}$; curvaton perturbation: $\delta\chi$
- The system

$$S = \int d^4x \sqrt{-g} \left(\frac{M_{\text{Pl}}^2}{2} R - \frac{1}{2} \nabla_\mu \phi \nabla^\mu \phi - \frac{1}{2} \nabla_\mu \chi \nabla^\mu \chi - V(\phi, \chi) \right) \quad (1)$$

Inflaton and curvaton do not interact with each other

- The background and perturbed curvaton equation of motion:

$$\ddot{\bar{\chi}} + 3H\dot{\bar{\chi}} + V_{,\chi} = 0 \quad (2)$$

$$\delta\ddot{\chi}_k + 3H\delta\dot{\chi}_k + \left(\frac{k^2}{a^2} + V_{,\chi\chi} \right) \delta\chi_k \simeq 0 \quad (3)$$

- $\bar{\chi}$ is almost frozen during inflation
- Gaussian fluctuation: $\delta\chi \sim \frac{H_{\text{inf}}}{2\pi}$

Evolution of Curvature perturbation

Observable curvature perturbation gets generated after inflation

- The metric can be written as,

$$ds^2 = -dt^2 + a^2(1 + \zeta)g^{ij}dx_i dx_j$$

- ζ at large scales can be written as,

$$\dot{\zeta} = -\frac{H}{\rho + P}\delta P_{nad}$$

$\delta P_{nad} \rightarrow$ Non-adiabatic perturbation

Amplification process

- After inflation we have two components: radiation (rad) and curvaton (χ)

- Gauge invariant perturbations : $\zeta_i = -\psi + \frac{\delta\rho_i}{\dot{\rho}_i}$

- The total curvature perturbation: $\zeta = (1 - f)\zeta_{rad} + f\zeta_\chi$

$$f = \frac{3\rho_\chi}{3\rho_\chi + 4\rho_{rad}}$$

- ζ_i 's are conserved separately but the total curvature perturbation evolves as,

$$\dot{\zeta} = \dot{f}(\zeta_\chi - \zeta_{rad}) \quad (4)$$

- $\dot{f} > 0 \Rightarrow$ **Growth of curvature perturbations**
- The non-adiabatic pressure: $\delta P_{nad} \propto (\zeta_\chi - \zeta_{rad})$

- **Motivation:** Easier to study the superhorizon evolution of perturbations. All the informations are encoded in background evolution.
- If we follow the volume expansion rate starting from a flat hypersurface to uniform density hypersurface for any field ϕ curvature perturbation can be estimated as,

$$\zeta \sim \frac{\partial N(\phi)}{\partial \phi} \delta \phi$$

and the spectrum,

$$\langle \zeta \zeta \rangle \sim \left(\frac{\partial N}{\partial \phi} \right)^2 \langle \delta \phi \delta \phi \rangle$$

δN : Implications in curvaton

During inflation the N does not depend on χ

After inflation if χ starts dominating the energy density,

$$\frac{\partial N}{\partial \chi} \neq 0$$

We get the amplification of initial curvaton density fluctuations and can generate observable CMB fluctuations.

The powerspectrum:

$$P_\zeta = \left(\frac{\partial N}{\partial \phi} \right)^2 \langle \delta\phi\delta\phi \rangle = \left(\frac{H_{inf}}{2\pi} \right)^2 \left(\frac{\partial N}{\partial \phi} \right)^2$$

The bispectrum:

$$f_{NL} = \frac{\partial^2 N}{\partial \phi^2} / \left(\frac{\partial N}{\partial \phi} \right)^2$$

A smaller powerspectrum leads to large non-Gaussianity

The vanilla curvaton

The Lagrangian:

$$\mathcal{L} = -\frac{1}{2}(\partial_\mu\chi)^2 - m^2\chi^2$$

- Consideration: $m \ll H_{inf}$
- After $H \sim m$, χ starts to dominate the energy density it's energy density redshifts as, a^{-3} as opposed to the radiation behavior a^{-4} .
- A small decay width of $\chi \rightarrow$ dominate the energy density of the Universe \rightarrow can generate sufficient perturbation

Start with energy equation at the time of χ decay with Γ as decay width:

$$3M_p^2 \Gamma^2 = \rho_{rad,0} e^{-4N} + \rho_{\chi,0} e^{-3N}$$

Differentiating w.r.t χ

$$\frac{\partial N}{\partial \chi} = \frac{2r}{4 + 3r} \frac{1}{\chi_*}$$

with, $r = \frac{\rho_{\chi,decay}}{\rho_{rad,decay}}$

- For sufficient curvaton domination, $r \rightarrow \infty \Rightarrow \frac{\partial N}{\partial \chi} \sim \frac{2}{3\chi_*}$
- For $r \rightarrow 0 \Rightarrow \frac{\partial N}{\partial \chi} = \frac{r}{2} \frac{1}{\chi_*}$
- Non-Gaussianity: $f_{NL} = \frac{5}{12} \left(-3 + \frac{4}{r} + \frac{8}{4 + 3r} \right)$;
For $r \rightarrow \infty \Rightarrow f_{NL} = -\frac{15}{12} \Rightarrow$ **constant and negative**

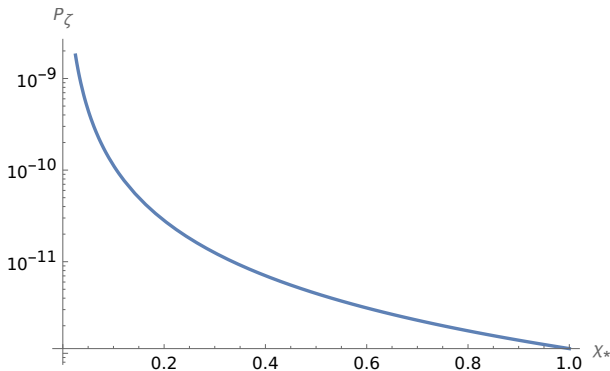


Figure: P_ζ for $r \rightarrow \infty$

- The powerspectrum, $P_\zeta = \left(\frac{H}{2\pi}\right)^2 \frac{4}{9\chi_*^2}$
- Spectral Index: $n_s - 1 = \frac{2}{3} \frac{V''(\phi)}{H_{inf}^2} + \frac{2\dot{H}_{inf}}{H_{inf}} = \frac{2}{3} \frac{m^2}{H_{inf}^2} - \epsilon$

For $m^2 \ll H_{inf}^2$, ϵ controls n_s

Axion as curvaton

The system:

$$\mathcal{L} = -\frac{1}{2}\partial_\mu\phi\partial^\mu\phi - \Lambda^4 \left[1 - \cos\left(\frac{\phi}{f}\right) \right]$$

$\Lambda^4 = m^2 f^2$ with f^2 is the decay constant

- Analytical estimation:

$$P_\zeta = \left(\frac{H_{inf}}{2\pi}\right)^2 \left(\frac{r}{4+3r}\right)^2 \left(\frac{1+\cos\theta_*}{\sin\theta_*}\right)^2 \text{ with,}$$

$$\theta = \frac{\phi}{f}, \quad [\text{arXiv:2007.01741, T. Kobayashi}]$$

- At $\theta \rightarrow 0$ limit the axion potential behaves like vanilla curvaton and the powerspectrum follows the same form
- Spectral index: $n_s - 1 = \frac{2}{3} \frac{m^2}{H_{inf}^2} \cos\theta_* - \epsilon$; [arXiv:2007.01741, T. Kobayashi]
- Axion curvaton can generate the correct amplitude of the powerspectrum and spectral tilt without ϵ

Numerical analysis

- Any potential beyond quadratic ($m^2\phi^2$) needs to be analyzed numerically. **Reason: Analytical computation assumes energy of the curvaton redshifts as a^{-3} irrespective of the potential's nature**
- We need to numerically solve (post-inflation):

$$\frac{dN}{dx} = \left[\alpha e^{-4N} + \frac{1}{3M_P^2} \left\{ \frac{1}{2} \left(\frac{d\phi}{dx} \right)^2 + V(\phi) \right\} \right]^{\frac{1}{2}} \quad (5)$$
$$\frac{d^2\phi}{dx^2} = -3 \frac{dN}{dx} \frac{d\phi}{dx} - \frac{dV(\phi)}{d\phi}$$

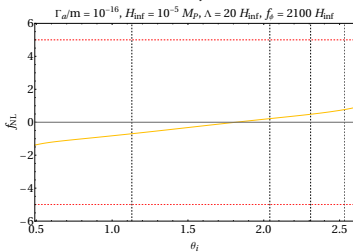
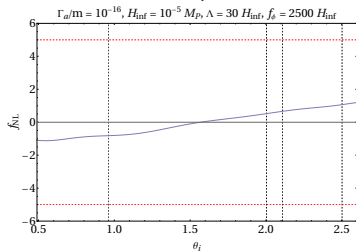
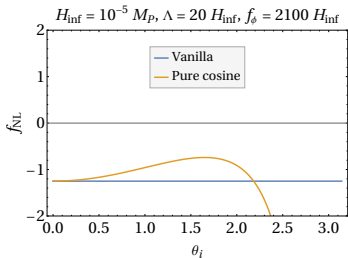
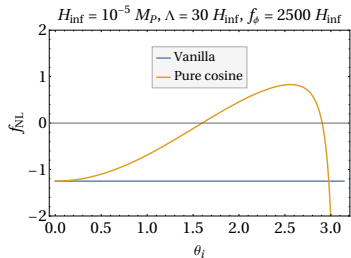
[arXiv: 0902.2619; P. Chingangbam, Q. Huang]

- $x = mt$; The integration has to be done from $x = 1$ ($H = m$) to $x = \frac{m}{\Gamma}$ ($H = \Gamma$)
- $r \rightarrow \infty$ corresponds to $x > 10^{12}$

- Solve the axion equation of motion during inflation even if the axion evolution is negligible
- The coupled equation in post-inflationary evolution is hard to handle; after certain x the code does not behave well
 - We solve the coupled equation upto some $x = x_1$ where the code behaves well \Rightarrow **This is not $r \rightarrow \infty$ limit**
 - After this x_1 we assume the curvaton potential behaves like quadratic one and hence its energy density evolves as a^{-3}
 - We solve

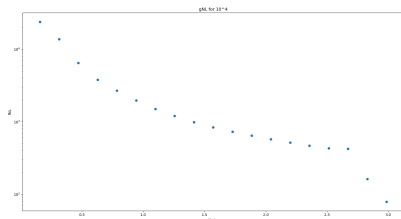
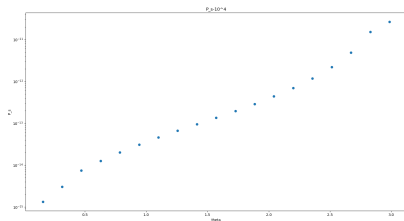
$$\frac{dN}{dx} = [\alpha e^{-4N} + \rho_{\theta, x_1} e^{-3N}]^{1/2}$$

$$\text{from } x_1 \text{ to } x_{decay} = \frac{m}{\Gamma}$$



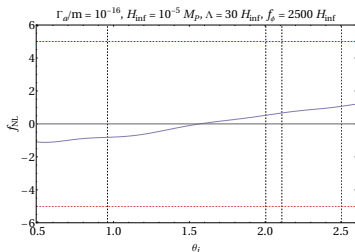
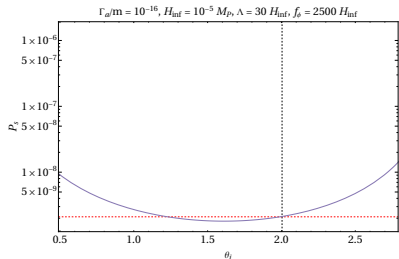
[arXiv: 2302.00668; A. Ghoshal, A.N.]

The parameters of the theory: H_{inf} , Λ , f , Γ , ϕ_*



$$x = 10^4, H_{inf} = 10^{-5}, \Lambda = 30H_{inf}, f = 2500H_{inf}$$

The parameters of the theory: H_{inf} , Λ , f , Γ , ϕ_*



- Varying H_{inf} , Λ , f , Γ would shift the normalisation of powerspectrum along the θ_i axis
- As the powerspectrum is coinciding the CMB normalisation at two different θ_i it is possible to find a suitable parameter space where both amplitude and n_s satisfy observation only from axion contribution

Summary of first part

- Curvaton mechanism can explain observed CMB fluctuations
- The spectral index can be attributed to inflation in most cases but axion curvaton can explain n_s itself
- Analytical estimation is not possible beyond vanilla curvaton (quadratic potential) scenario

Non-Canonical axion curvaton

The system:

$$S = \int d^4x \sqrt{-g} \left[\frac{M_{\text{Pl}}^2}{2} R - \frac{1}{2} \nabla_\mu \phi \nabla^\mu \phi - \frac{1}{2} \lambda^2(\phi) \nabla_\mu \chi \nabla^\mu \chi - V(\phi, \chi) \right]$$

The Friedmann Equations and Equation of motion of the fields:

$$3M_{\text{Pl}}^2 H^2 = \left[\frac{1}{2} \dot{\bar{\phi}}^2 + \frac{\lambda^2(\bar{\phi})}{2} \dot{\bar{\chi}}^2 + V(\bar{\phi}, \bar{\chi}) \right], \quad (6)$$

$$\ddot{\bar{\phi}} + 3H\dot{\bar{\phi}} + V_{,\phi} = \lambda \lambda_{,\phi} \dot{\bar{\chi}}^2, \quad (7)$$

$$\ddot{\bar{\chi}} + \left(3H + 2 \frac{\lambda_{,\phi}}{\lambda} \dot{\bar{\phi}} \right) \dot{\bar{\chi}} + \frac{V_{,\chi}}{\lambda^2} = 0, \quad (8)$$

$\dot{\bar{\chi}} \rightarrow 0$ ensures χ does not backreact inflationary dynamics.

Fluctuation equation of motion

$$\delta\ddot{\chi}_k + \left(3 + 2\frac{\lambda_{,\phi}\dot{\phi}}{\lambda H}\right) H\delta\dot{\chi}_k + \left(\frac{k^2}{a^2} + \frac{V_{,\chi\chi}}{\lambda^2}\right) \delta\chi_k \simeq 0$$

Assuming $\lambda_{,\phi}$ does not affect $\delta\chi$ the spectrum is,

$$\mathcal{P}_{\delta\chi}(k) \equiv \frac{k^3}{2\pi^2} |\delta\chi_k|^2 \simeq \left(\frac{H_{\text{inf}}}{2\pi\lambda(\phi)}\right)^2 \Bigg|_{k=aH}. \quad (9)$$

A small $\lambda(\phi)$ is responsible for the enhancement in χ spectrum

The Non-Canonical term

The Non-Canonical term we consider has a gaussian-dip

$$\lambda(\phi) = \lambda_c \left\{ 1 - A \exp \left[-\frac{(\phi - \phi_{\text{dip}})^2}{2\sigma_\lambda} \right] \right\}, \quad (10)$$

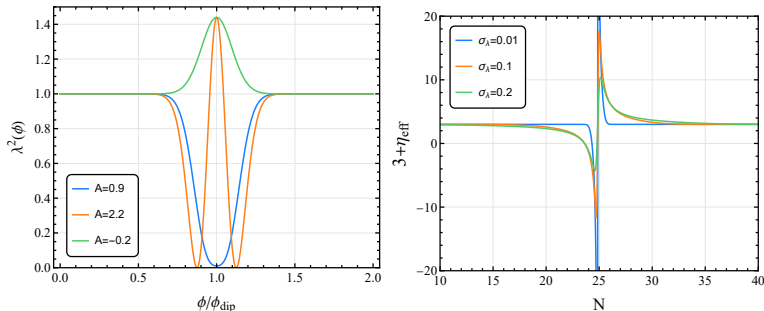
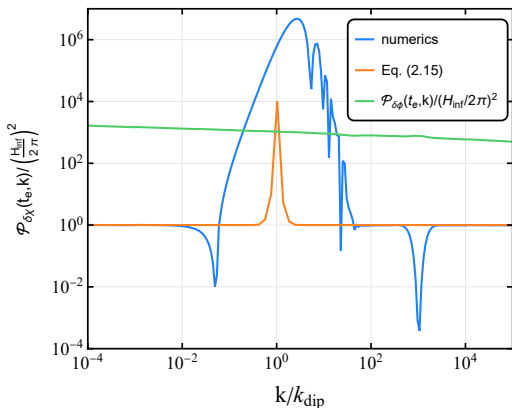


Figure: Left: $\sigma = 0.1$; **Right:** $\phi_{\text{ini}}/M_{\text{Pl}} = 5.5$, $\phi_{\text{dip}}/M_{\text{Pl}} = 4.8$, $A = 0.995$,

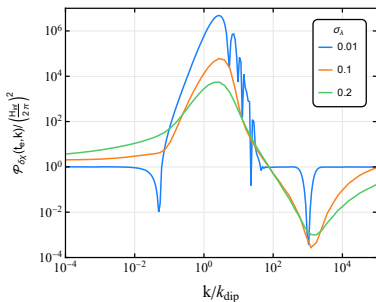
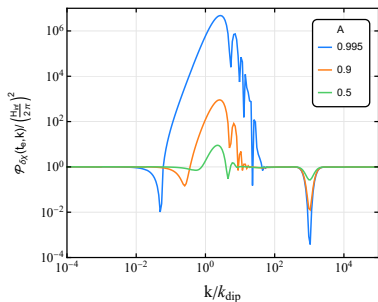
$$\eta_{\text{eff}} = 2 \frac{\lambda_{,\phi}}{\lambda} \frac{\dot{\phi}}{H^2} \rightarrow \text{A friction term}$$

- During inflation solve the coupled equation of inflaton and curvaton background and perturbation equations
- Sort out $\langle \delta\chi\delta\chi \rangle$ produced during inflation
- Apply δN formalism to study post inflationary evolution



$\lambda'(\phi)$ non-trivially affect the fluctuations \rightarrow **Larger amplification**
and two dips before and after the growth; $A \rightarrow 0.995, \sigma_\lambda = 0.001$

Effect of A and σ on the powerspectrum



- Larger $A \rightarrow$ Larger amplitude
- Larger $\sigma \rightarrow$ amplification for more modes but less amplification as λ' is small

PBH Abundance

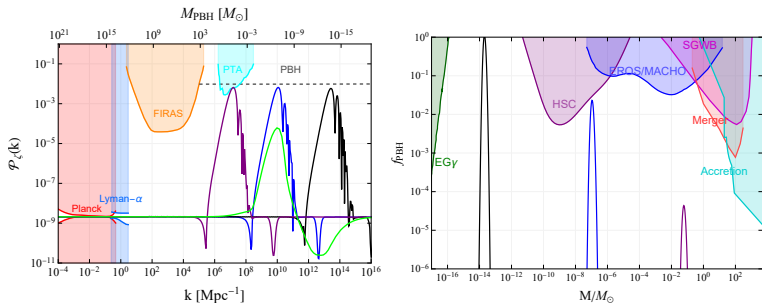


Figure: $\{\phi_{\text{dip}}/M_{\text{Pl}} = 4.8, \sigma_\lambda = 0.01\}$ (the blue curve);

$\{\phi_{\text{dip}}/M_{\text{Pl}} = 4.5, \sigma_\lambda = 0.01\}$ (the black curve);

$\{\phi_{\text{dip}}/M_{\text{Pl}} = 5.0, \sigma_\lambda = 0.01\}$ (the purple curve);

$\{\phi_{\text{dip}}/M_{\text{Pl}} = 4.8, \sigma_\lambda = 0.1\}$ (the green curve)

- An axion coupled kinetically with inflaton can lead to large amplification of the curvature perturbation
- We studied a coupling $\lambda(\phi)$ that has a Gaussian dip
- The quantity $\lambda'(\phi)$ is non-trivially responsible for the enhancement of the perturbations as the dip
- By tuning our parameters we can explain production of PBH in different mass range

- Density contrast:

$$\frac{\delta\rho}{\rho} = -\frac{4(1+\omega)}{5+3\omega} \left(\frac{1}{aH}\right)^2 e^{-5\zeta/2} \nabla^2 e^{\zeta/2}, \quad (11)$$

- Non-linear density contrast is related to linear density contrast

$$\delta_{\text{nl}} = \delta_l - \frac{3}{8}\delta_l^2, \quad (12)$$

- PDF: $P(\delta_l) = \frac{1}{\sqrt{2\pi}\sigma_l} \exp\left(-\delta_l^2/(2\sigma_l^2)\right)$;

$$\sigma_l^2 = \frac{1}{(2\pi)^3} \frac{16}{81} \int d\ln k W(kR)^2 \left(\frac{k}{aH}\right)^4 \mathcal{P}_\zeta(k)$$

- Mass fraction:

$$\beta(M) = 2 \int_{\delta_c}^{\infty} P(\delta_{\text{nl}}) d\delta_{\text{nl}}. \quad (13)$$