

Formation of PBHs: Possibilities and consequences

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Plan of the talk

- 1 The need for inflation
- 2 Constraints on inflation from Planck
- 3 Enhancing power on small scales
- 4 Implications for formation of PBHs
- 5 GWs induced by scalar perturbations
- 6 Non-Gaussianities generated in ultra slow roll and punctuated inflation
- 7 Summary



This talk is based on . . .

- ◆ M. Braglia, D. K. Hazra, F. Finelli, G. F. Smoot, L. Sriramkumar and A. A. Starobinsky, *Generating PBHs and small-scale GWs in two-field models of inflation*, JCAP **08**, 001 (2020) [arXiv:2005.02895 [astro-ph.CO]].
- ◆ H. V. Ragavendra, P. Saha, L. Sriramkumar and J. Silk, *PBHs and secondary GWs from ultra slow roll and punctuated inflation*, Phys. Rev. D **103**, 083510 (2021) [arXiv:2008.12202 [astro-ph.CO]].
- ◆ H. V. Ragavendra, L. Sriramkumar and J. Silk, *Could PBHs and secondary GWs have originated from squeezed initial states?*, JCAP **05**, 010 (2021) [arXiv:2011.09938 [astro-ph.CO]].
- ◆ H. V. Ragavendra and L. Sriramkumar, *Observational imprints of enhanced scalar power on small scales in ultra slow roll inflation and associated non-Gaussianities*, arXiv:2301.08887 [astro-ph.CO], invited review for Galaxies.

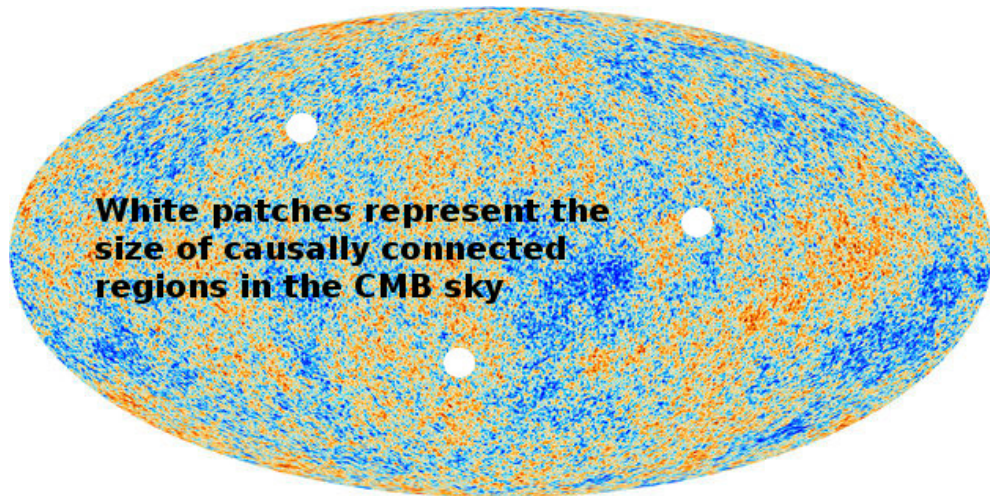


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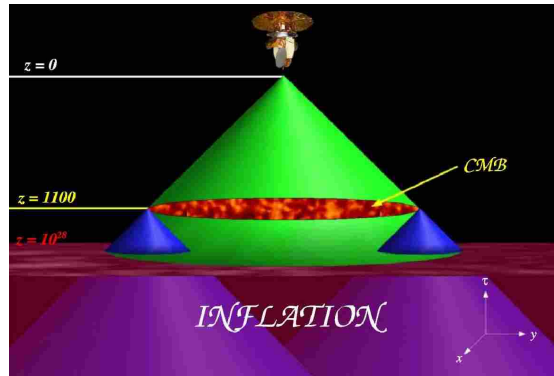
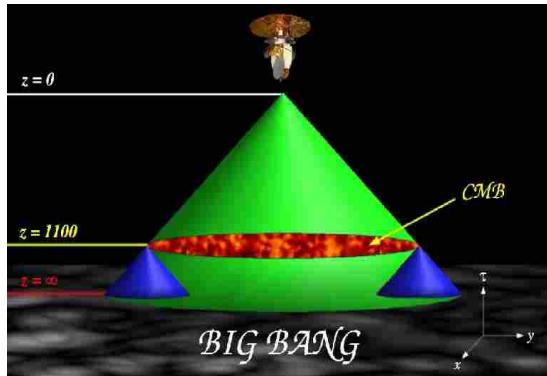
The horizon problem



The radiation from the CMB arriving at us from regions separated by more than the Hubble radius at the last scattering surface, which subtends an angle of about 1° today, could not have interacted before decoupling.



The resolution of the horizon problem in the inflationary scenario

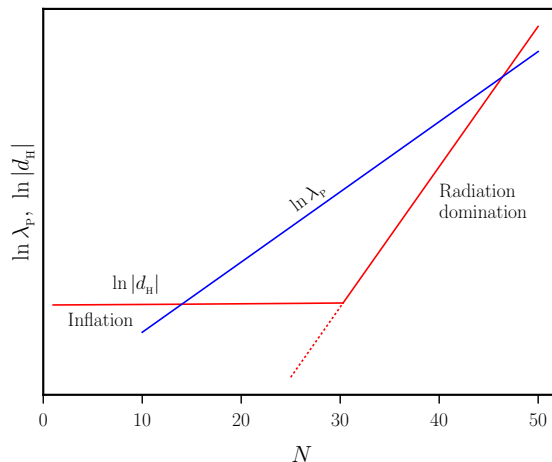


Another illustration of the horizon problem (on the left), and an illustration of its resolution (on the right) through an early and sufficiently long epoch of inflation¹.

¹Images from W. Kinney, [astro-ph/0301448](https://arxiv.org/abs/astro-ph/0301448).



Bringing the modes inside the Hubble radius



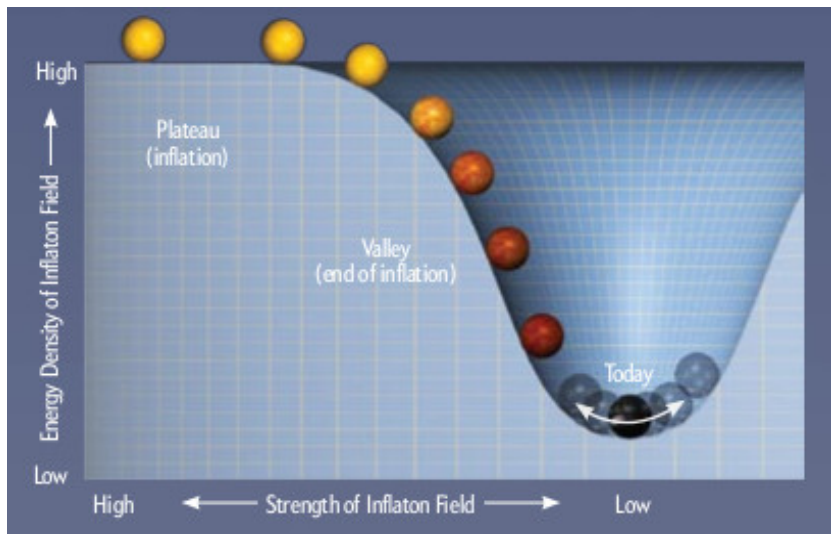
► Evolution of comoving lengths

The physical wavelength $\lambda_p \propto a$ (in blue) and the Hubble radius $d_H = H^{-1}$ (in red) in the inflationary scenario². The scale factor is expressed in terms of e-folds N as $a(N) \propto e^N$.

²See, for example, E. W. Kolb and M. S. Turner, *The Early Universe* (Addison-Wesley Publishing Company, New York, 1990), Fig. 8.4.



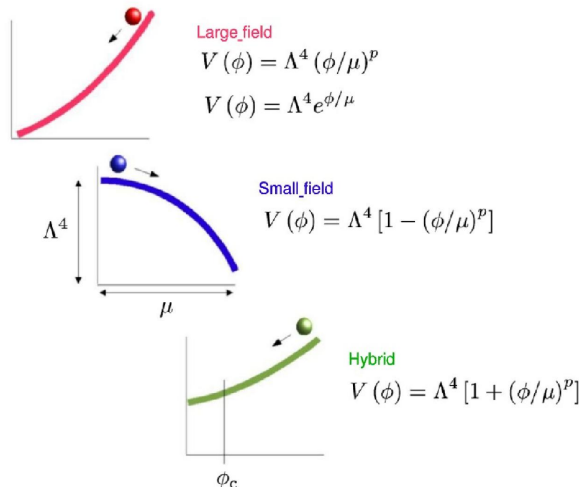
Driving inflation with scalar fields



Inflation can be achieved with scalar fields encountered in high energy physics³.

³Image from P. J. Steinhardt, *Sci. Am.* **304**, 34 (2011).

A variety of potentials to choose from



A variety of scalar field potentials have been considered to drive inflation⁴. Often, these potentials are classified as small field, large field and hybrid models.

⁴Image from [W. Kinney, astro-ph/0301448](https://arxiv.org/abs/astro-ph/0301448).



Proliferation of inflationary models

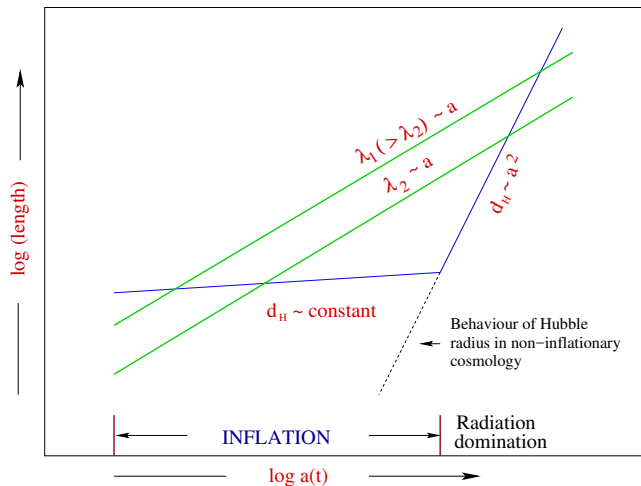
5-dimensional assisted inflation	extended open inflation	late-time mild inflation	pre-Big-Bang inflation
anisotropic brane inflation	extended warm inflation	low-scale inflation	primary inflation
anomaly-induced inflation	extra dimensional inflation	low-scale supergravity inflation	primordial inflation
assisted inflation	F-term inflation	M-theory inflation	quasi-open inflation
assisted chaotic inflation	F-term hybrid inflation	mass inflation	quintessential inflation
boundary inflation	false vacuum inflation	massive chaotic inflation	R-invariant topological inflation
brane inflation	false vacuum chaotic inflation	moduli inflation	rapid asymmetric inflation
brane-assisted inflation	fast-roll inflation	multi-scalar inflation	running inflation
brane gas inflation	first order inflation	multiple inflation	scalar-tensor gravity inflation
brane-antibrane inflation	gauged inflation	multiple-field slow-roll inflation	scalar-tensor stochastic inflation
braneworld inflation	generalised inflation	multiple-stage inflation	Seiberg-Witten inflation
Brans-Dicke chaotic inflation	generalized assisted inflation	natural inflation	single-bubble open inflation
Brans-Dicke inflation	generalized slow-roll inflation	natural Chaotic inflation	spinodal inflation
bulky brane inflation	gravity driven inflation	natural double inflation	stable starobinsky-type inflation
chaotic hybrid inflation	Hagedorn inflation	natural supergravity inflation	steady-state eternal inflation
chaotic inflation	higher-curvature inflation	new inflation	steep inflation
chaotic new inflation	hybrid inflation	next-to-minimal supersymmetric hybrid inflation	stochastic inflation
D-brane inflation	hyperextended inflation	non-commutative inflation	string-forming open inflation
D-term inflation	induced gravity inflation	non-slow-roll inflation	successful D-term inflation
dilaton-driven inflation	induced gravity open inflation	nonminimal chaotic inflation	supergravity inflation
dilaton-driven brane inflation	intermediate inflation	old inflation	supernatural inflation
double inflation	inverted hybrid inflation	open hybrid inflation	superstring inflation
double D-term inflation	isocurvature inflation	open inflation	supersymmetric hybrid inflation
dual inflation	K inflation	oscillating inflation	supersymmetric inflation
dynamical inflation	kinetic inflation	polynomial chaotic inflation	supersymmetric topological inflator
dynamical SUSY inflation	lambda inflation	polynomial hybrid inflation	supersymmetric new inflation
eternal inflation	large field inflation	power-law inflation	synergistic warm inflation
extended inflation	late D-term inflation		TeV-scale hybrid inflation

A (partial?) list of ever-increasing number of inflationary models⁵. Actually, it may not even be possible to rule out some of these models!

⁵From E. P. S. Shellard, *The future of cosmology: Observational and computational prospects*, in *The Future of Theoretical Physics and Cosmology*, Eds. G. W. Gibbons, E. P. S. Shellard and S. J. Rankin (Cambridge University Press, Cambridge, England, 2003).



From inside the Hubble radius to super-Hubble scales

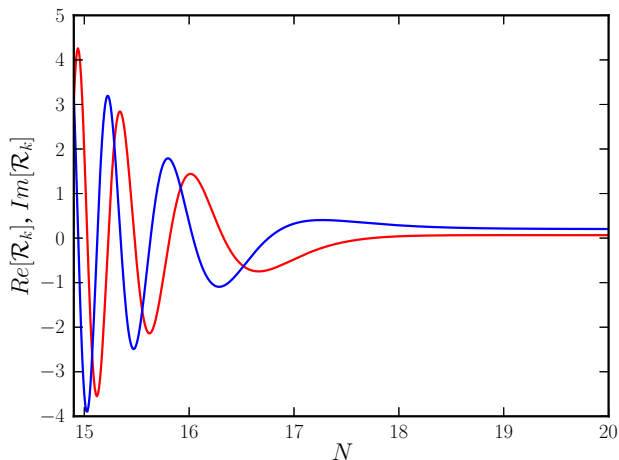


▶ Back to formation of PBHs

The initial conditions are imposed in the sub-Hubble regime when the modes are well inside the Hubble radius (*viz.* when $k/(aH) \gg 1$) and the power spectra are evaluated when they sufficiently outside (*i.e.* as $k/(aH) \ll 1$).



Typical evolution of the perturbations



Typical evolution of the real and the imaginary parts of the scalar modes during slow roll inflation. The mode considered here leaves the Hubble radius at about 18 e-folds⁶.

⁶Figure from V. Sreenath, *Computation and characteristics of inflationary three-point functions*, Ph.D. Thesis, Indian Institute of Technology Madras, Chennai, India (2015).



Spectral indices and the tensor-to-scalar ratio

The scalar and tensor power spectra, viz. $\mathcal{P}_S(k)$ and $\mathcal{P}_T(k)$, can be expressed in terms of the Fourier modes f_k and g_k as follows:

$$\mathcal{P}_S(k) = \frac{k^3}{2\pi^2} |f_k(\eta_e)|^2,$$

$$\mathcal{P}_T(k) = 8 \frac{k^3}{2\pi^2} |g_k(\eta_e)|^2,$$

with η_e corresponding to suitably late times during inflation.

While comparing with the observations, for convenience, one often uses the following power law, template scalar and the tensor spectra:

$$\mathcal{P}_S(k) = A_S \left(\frac{k}{k_*} \right)^{n_S - 1}, \quad \mathcal{P}_T(k) = A_T \left(\frac{k}{k_*} \right)^{n_T},$$

with the spectral indices n_S and n_T assumed to be constant. The tensor-to-scalar ratio r is defined as

$$r(k) = \frac{\mathcal{P}_T(k)}{\mathcal{P}_S(k)}.$$

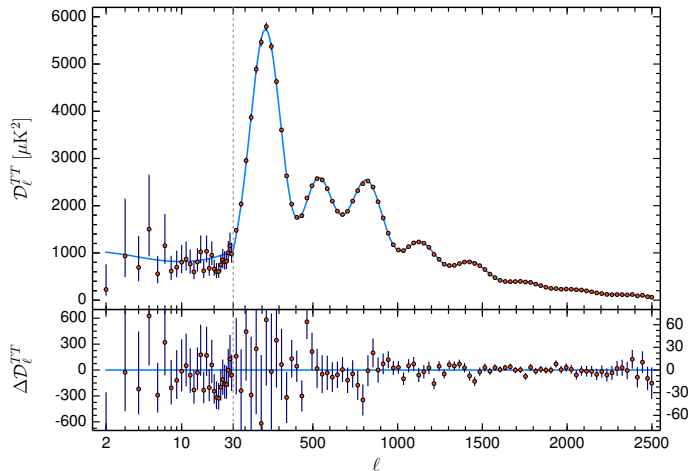


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CMB angular power spectrum from Planck

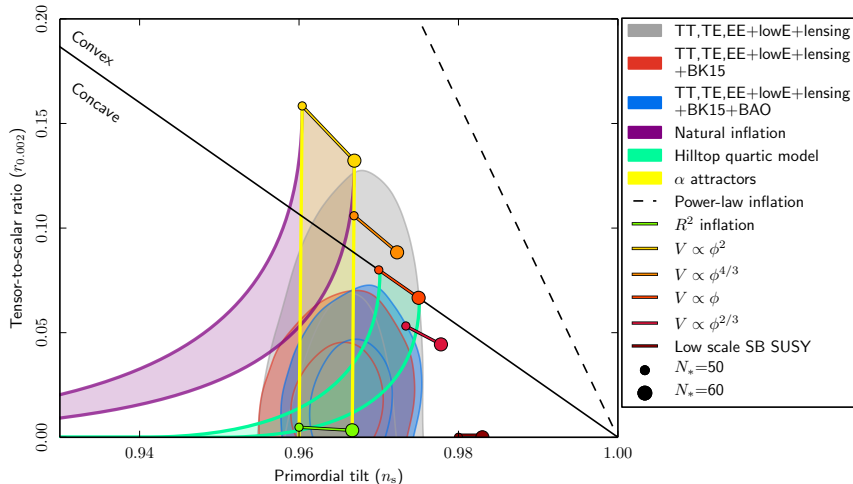


The CMB TT angular power spectrum from the Planck 2018 data (red dots with error bars) and the best fit Λ CDM model with a power law primordial spectrum (solid blue curve)⁷.

⁷Planck Collaboration (N. Aghanim *et al.*), *Astron. Astrophys.* **641**, A6 (2020).



Performance of inflationary models in the n_s - r plane

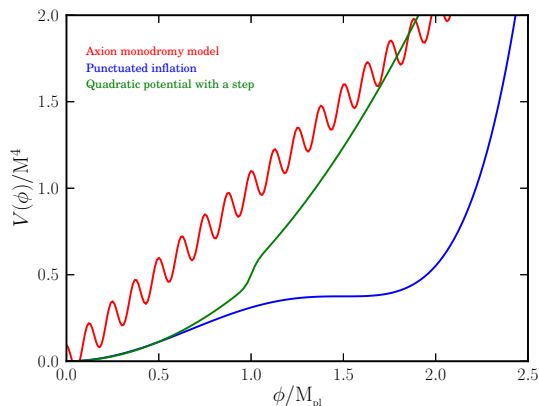
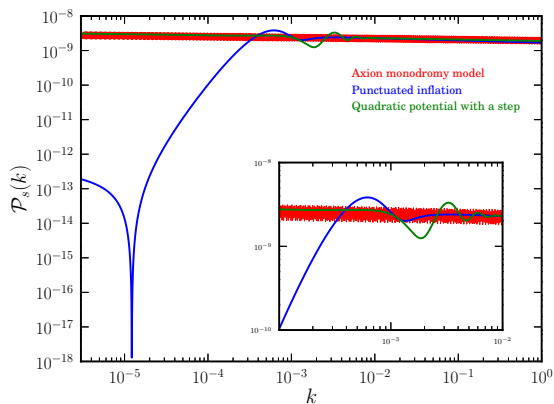


Joint constraints on n_s and $r_{0.002}$ from Planck in combination with other data sets, compared to the theoretical predictions of some of the popular inflationary models⁸.

⁸Planck Collaboration (Y. Akrami *et al.*), *Astron. Astrophys.* **641**, A10 (2020).



Spectra leading to an improved fit to the CMB data



The scalar power spectra (on the left) arising in different inflationary models (on the right) that lead to a better fit to the CMB data than the conventional power law spectrum⁹.

⁹R. K. Jain, P. Chingangbam, J.-O. Gong, L. Sriramkumar and T. Souradeep, JCAP **01**, 009 (2009);
 D. K. Hazra, M. Aich, R. K. Jain, L. Sriramkumar and T. Souradeep, JCAP **10**, 008 (2010);
 M. Aich, D. K. Hazra, L. Sriramkumar and T. Souradeep, Phys. Rev. D **87**, 083526 (2013).

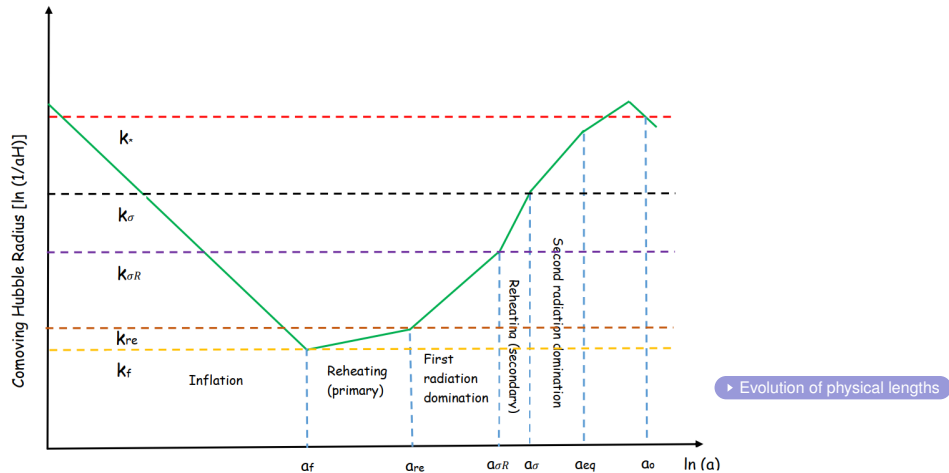


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Behavior of the comoving wave number and Hubble radius

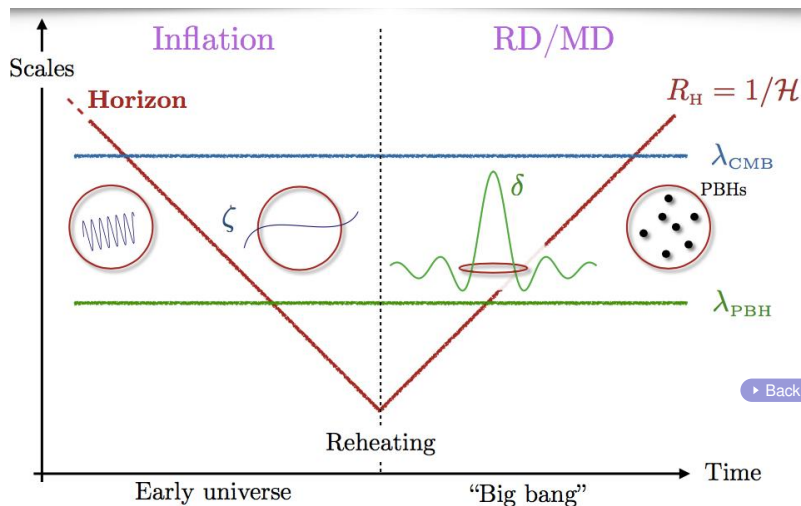


Behavior of the comoving wave number k (horizontal lines in different colors) and the comoving Hubble radius $d_H/a = (aH)^{-1}$ (in green) across different epochs¹⁰.

¹⁰Md. R. Haque, D. Maity, T. Paul and L. Sriramkumar, Phys. Rev. D **104**, 063513 (2021).



Formation of BHs in the early universe

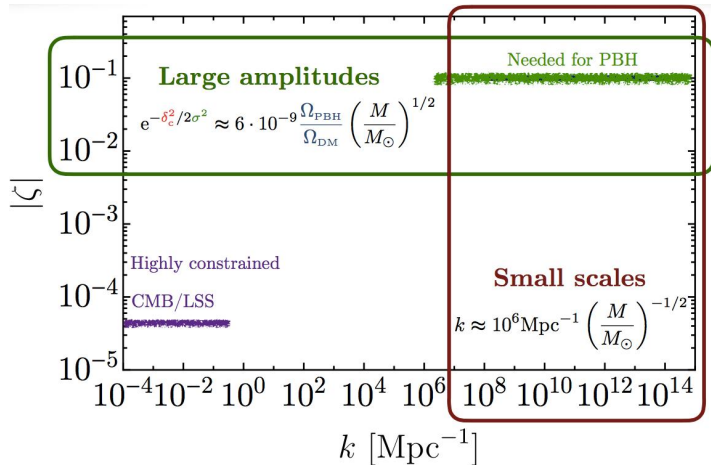


BHs can form when perturbations with significant amplitudes reenter the Hubble radius during the radiation dominated epoch¹¹.

¹¹Figure from G. Franciolini, arXiv:2110.06815 [astro-ph.CO].



Amplitude required to form significant number of PBHs

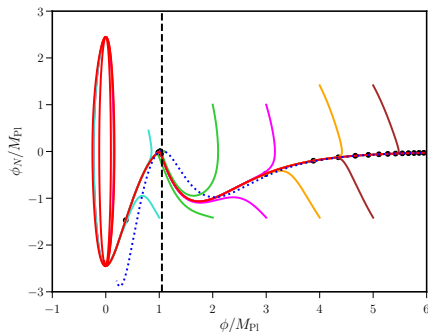
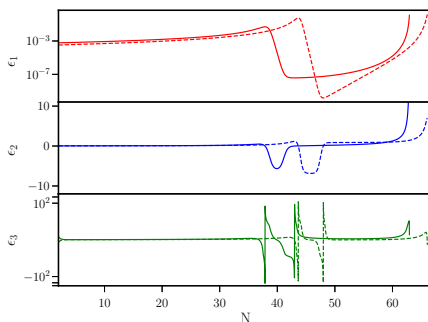


In order to form significant number of black holes, the amplitude of the perturbations on small scales has to be large enough such that the dimensionless amplitude of the scalar perturbation is close to unity¹².

¹²Figure credit G. Franciolini.



Potentials admitting ultra slow roll inflation



Potentials leading to ultra slow roll inflation (with $x = \phi/v$, v being a constant)¹³:

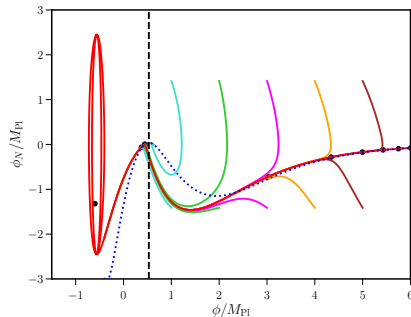
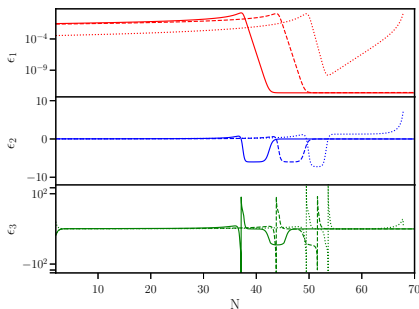
$$\text{USR1} : V(\phi) = V_0 \frac{6x^2 - 4\alpha x^3 + 3x^4}{(1 + \beta x^2)^2},$$

$$\text{USR2} : V(\phi) = V_0 \left\{ \tanh\left(\frac{\phi}{\sqrt{6} M_{\text{Pl}}}\right) + A \sin\left[\frac{\tanh[\phi/(\sqrt{6} M_{\text{Pl}})]}{f_\phi}\right] \right\}^2.$$

¹³ J. Garcia-Bellido and E. R. Morales, Phys. Dark Univ. **18**, 47 (2017);
I. Dalianis, A. Kehagias and G. Tringas, JCAP **01**, 037 (2019).



Potentials permitting punctuated inflation



Potentials admitting punctuated inflation¹⁴:

$$\text{PI1} : V(\phi) = V_0 (1 + B \phi^4), \quad \text{PI2} : V(\phi) = \frac{m^2}{2} \phi^2 - \frac{2m^2}{3\phi_0} \phi^3 + \frac{m^2}{4\phi_0^2} \phi^4,$$

$$\text{PI3} : V(\phi) = V_0 \left[c_0 + c_1 \tanh \left(\frac{\phi}{\sqrt{6\alpha} M_{\text{Pl}}} \right) + c_2 \tanh^2 \left(\frac{\phi}{\sqrt{6\alpha} M_{\text{Pl}}} \right) + c_3 \tanh^3 \left(\frac{\phi}{\sqrt{6\alpha} M_{\text{Pl}}} \right) \right]^2.$$

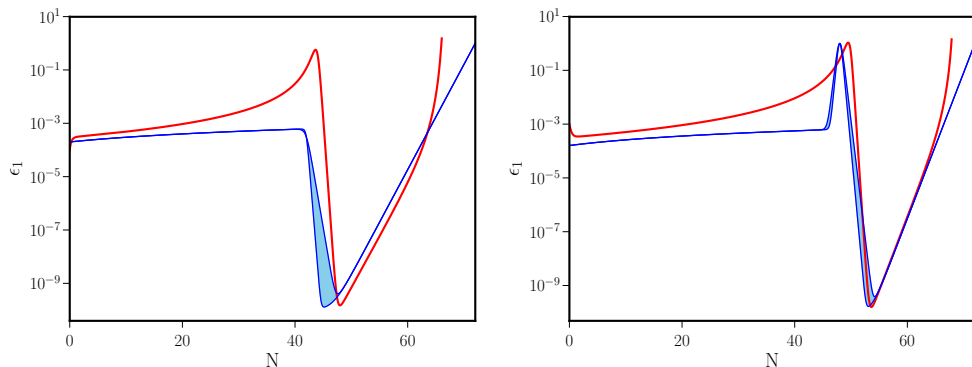
¹⁴D. Roberts, A. R. Liddle and D. H. Lyth, Phys. Rev. D **51**, 4122 (1995);

R. K. Jain, P. Chingangbam, J.-O. Gong, L. Sriramkumar and T. Souradeep, JCAP **01**, 009 (2009);

I. Dalianis, A. Kehagias and G. Tringas, JCAP **01**, 037 (2019).



Reconstructing scenarios of ultra slow roll and punctuated inflation



Behavior of the first slow roll parameter $\epsilon_1(N)$ leading to ultra slow and punctuated inflation¹⁵:

$$\text{RSI} : \epsilon_1^{\text{I}}(N) = [\epsilon_{1a} (1 + \epsilon_{2a} N)] \left[1 - \tanh \left(\frac{N - N_1}{\Delta N_1} \right) \right] + \epsilon_{1b} + \exp \left(\frac{N - N_2}{\Delta N_2} \right),$$

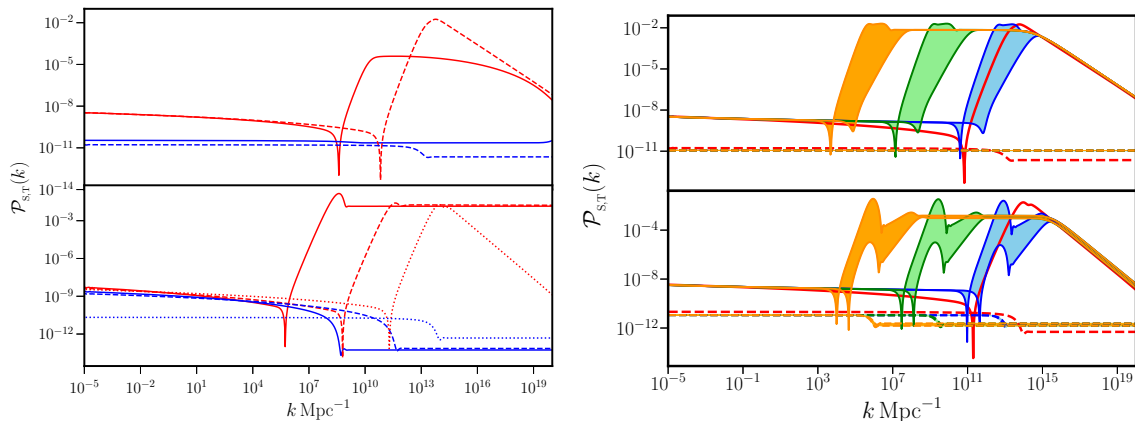
$$\text{RSII} : \epsilon_1^{\text{II}}(N) = \epsilon_1^{\text{I}}(N) + \cosh^{-2} \left(\frac{N - N_1}{\Delta N_1} \right).$$

► Back to f_{PBH}

¹⁵H. V. Ragavendra, P. Saha, L. Sriramkumar and J. Silk, Phys. Rev. D **103**, 083510 (2021).



Power spectra in the inflationary models and reconstructed scenarios



The scalar and the tensor power spectra arising in the various inflationary models (in red and blue on the left) and the reconstructed scenarios (in blue, green and orange, on the right)¹⁶.

¹⁶H. V. Ragavendra, P. Saha, L. Sriramkumar and J. Silk, *Phys. Rev. D* **103**, 083510 (2021).



The two field model of interest

It has been noticed that two scalar fields ϕ and χ governed by the following action:

$$S[\phi, \chi] = \int d^4x \sqrt{-g} \left[-\frac{1}{2} \partial^\mu \phi \partial_\mu \phi - \frac{f(\phi)}{2} \partial^\mu \chi \partial_\mu \chi - V(\phi, \chi) \right]$$

described by a potential such as

$$V(\phi, \chi) = V_0 \frac{\phi^2}{\phi_0^2 + \phi^2} + \frac{m_\chi^2}{2} \chi^2$$

and the non-canonical coupling functions

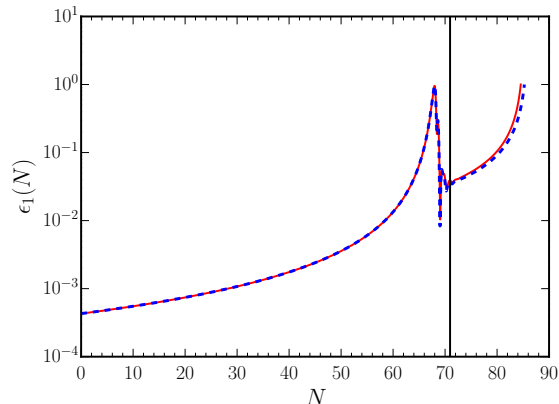
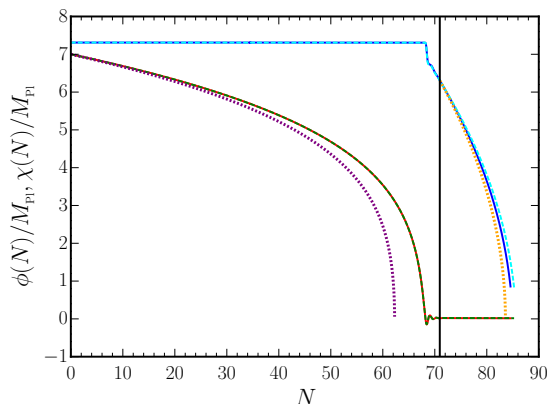
$$f_1(\phi) = e^{2b_1 \phi} \quad \text{or} \quad f_2(\phi) = e^{2b_2 \phi^2}$$

can lead to features in the scalar power spectrum¹⁷.

¹⁷M. Braglia, D. K. Hazra, L. Sriramkumar and F. Finelli, JCAP **08** 025 (2020).



Behavior of the scalar fields and the first slow roll parameter

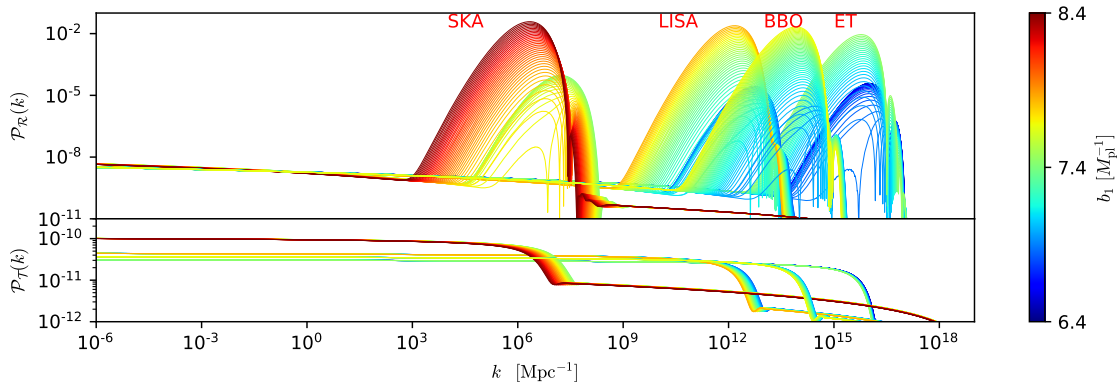


Behavior of the two scalar fields ϕ and χ (in blue and red, on the left) and the first slow roll parameter ϵ_1 (on the right) in the two field model of our interest¹⁸. Note that there arises a turn in the field space around $N = 70$, when the first slow roll parameter begins to decrease before increasing again, leading to the termination of inflation.

¹⁸M. Braglia, D. K. Hazra, F. Finelli, G. F. Smoot, L. Sriramkumar and A. A. Starobinsky, JCAP **08**, 001 (2020).



Enhanced power on small scales in two field models



The scalar (on top) and the tensor (at the bottom) power spectra evaluated at the end of inflation have been plotted for a few different sets of initial conditions for the fields and a range of values of the parameter b_1 ¹⁹.

¹⁹ M. Braglia, D. K. Hazra, F. Finelli, G. F. Smoot, L. Sriramkumar and A. A. Starobinsky, JCAP **08**, 001 (2020).



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Calculation of $f_{\text{PBH}}(M)$ I

During the radiation dominated epoch, the matter power spectrum $\mathcal{P}_\delta(k)$ and the inflationary scalar power spectrum $\mathcal{P}_s(k)$ are related through the expression

$$\mathcal{P}_\delta(k) = \frac{16}{81} \left(\frac{k}{aH} \right)^4 \mathcal{P}_s(k).$$

► Formation of PBHs

Let the variance σ^2 in the spatial density fluctuations be smoothed over the scale R with the aid of a window function $W(kR)$. In such a case, the variance $\sigma^2(R)$ can be written as²⁰

$$\sigma^2(R) = \int_0^\infty \frac{dk}{k} \mathcal{P}_\delta(k) W^2(kR).$$

We shall work with a Gaussian window function of the form $W(kR) = e^{-(k^2 R^2)/2}$.

²⁰See, for example, B. Carr, F. Kuhnel and M. Sandstad, Phys. Rev. D **94**, 083504 (2016);
B. Carr and F. Kuhnel, Ann. Rev. Nucl. Part. Sci. **70**, 355 (2020).



Calculation of $f_{\text{PBH}}(M)$ II

If M_{H} denotes the mass within the Hubble radius H^{-1} , it is reasonable to suppose that a certain fraction of the total mass within the Hubble radius, say, $M = \gamma_* M_{\text{H}}$, goes on to form PBHs when a mode with wave number k reenters the Hubble radius.

It seems natural to choose $k = R^{-1}$ and, in such a case, one can show that R and M are related as follows:

$$R = 4.72 \times 10^{-7} \left(\frac{\gamma_*}{0.2} \right)^{-1/2} \left(\frac{g_{*,k}}{g_{*,\text{eq}}} \right)^{1/12} \left(\frac{M}{M_{\odot}} \right)^{1/2} \text{ Mpc.}$$

One assumes that the density contrast in matter characterized by the quantity δ is a Gaussian random variable described by the probability density

$$P(\delta) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left(-\frac{\delta^2}{2\sigma^2}\right),$$

where σ^2 is the variance of the spatial density fluctuations.



Calculation of $f_{\text{PBH}}(M)$ III

Let us further assume that perturbations with a density contrast beyond a certain threshold, say, δ_c , are responsible for the formation of PBHs. In such a case, the fraction, say, β , of the density fluctuations that collapse to form PBHs is described by the integral

$$\beta(M) = \int_{\delta_c}^1 d\delta P(\delta) \simeq \frac{1}{2} \left[1 - \text{erf} \left(\frac{\delta_c}{\sqrt{2} \sigma^2(M)} \right) \right],$$

where $\text{erf}(z)$ denotes the error function²¹. On using the above arguments, we can obtain the fraction of PBHs, say, f_{PBH} , contributing to the dark matter density today, to be

$$f_{\text{PBH}}(M) = 2^{1/4} \gamma_*^{3/2} \beta(M) \left(\frac{\Omega_m h^2}{\Omega_c h^2} \right) \left(\frac{g_{*,k}}{g_{*,\text{eq}}} \right)^{-1/4} \left(\frac{M}{M_{\text{eq}}} \right)^{-1/2},$$

where Ω_m and Ω_c are the dimensionless parameters describing the matter and cold matter densities, with the Hubble parameter, as usual, expressed as $H_0 = 100 h \text{ km sec}^{-1} \text{ Mpc}^{-1}$.

²¹See, for instance, B. Carr and J. Silk, *Mon. Not. Roy. Astron. Soc.* **478**, 3756 (2018);

M. Sasaki, T. Suyama, T. Tanaka and S. Yokoyama, *Class. Quant. Grav.* **35**, 063001 (2018).



Time scale of evaporation of PBHs

Recall that BHs of mass M emit Hawking radiation which is thermal in nature, with the temperature

$$k_B T = \frac{\hbar c^3}{4 \pi M}.$$

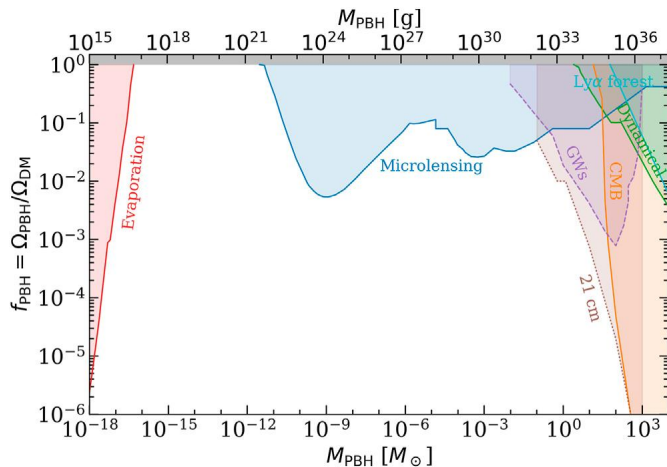
These black holes will evaporate over a time scale of

$$t_{\text{ev}} = \frac{60 G^2 M^3}{\pi^3 \hbar c^4} \left(\frac{M}{M_\odot} \right)^3 = 2.5 \times 10^{63} \left(\frac{M}{M_\odot} \right)^3 \text{ yrs.}$$

This implies that PBHs with mass $M \lesssim 10^{-18} M_\odot$ would have evaporated by now.



Constraints on $f_{\text{PBH}}(M)$

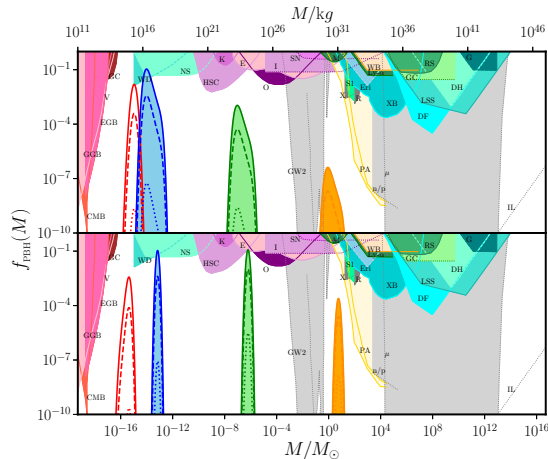


Observational constraints on the quantity f_{PBH} , i.e. the fractional energy density of PBHs that constitute cold dark matter today²².

²²P. Villanueva-Domingo, O. Mena and S. Palomares-Ruiz, *Front. Astron. Space Sci.* **8**, 681084 (2021);
For latest constraints, see <https://github.com/bradkav/PBHbounds/blob/master/README.md>.



$f_{\text{PBH}}(M)$ in ultra slow roll and punctuated inflation

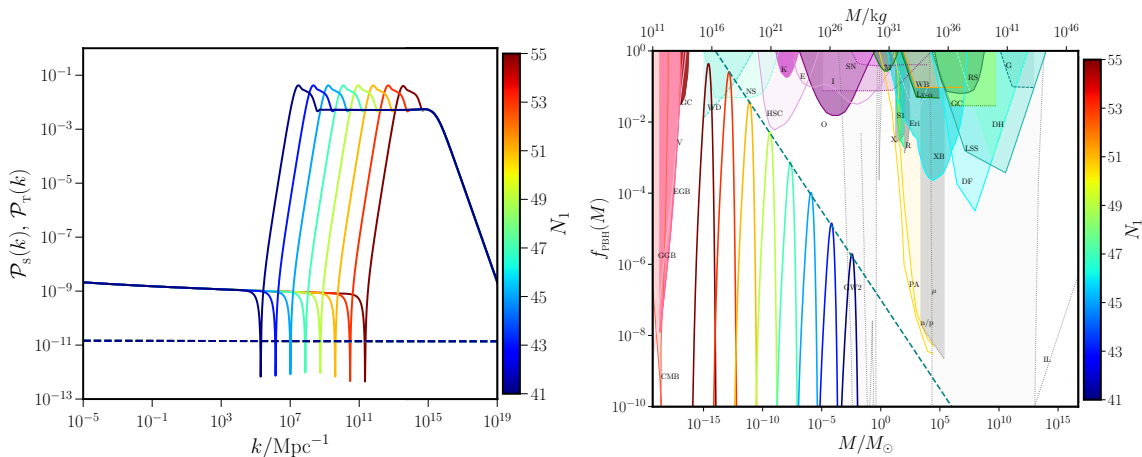


The fraction of PBHs contributing to the dark matter density today $f_{\text{PBH}}(M)$ has been plotted for the various models and scenarios of interest, viz. USR2 and RS1 (on top, in red and blue) and PI3 and RS2 (at the bottom, in red and blue)²³.

²³H. V. Ragavendra, P. Saha, L. Sriramkumar and J. Silk, *Phys. Rev. D* **103**, 083510 (2021).



Illustrating the $f_{\text{PBH}}(M) \propto M^{-1/2}$ behavior



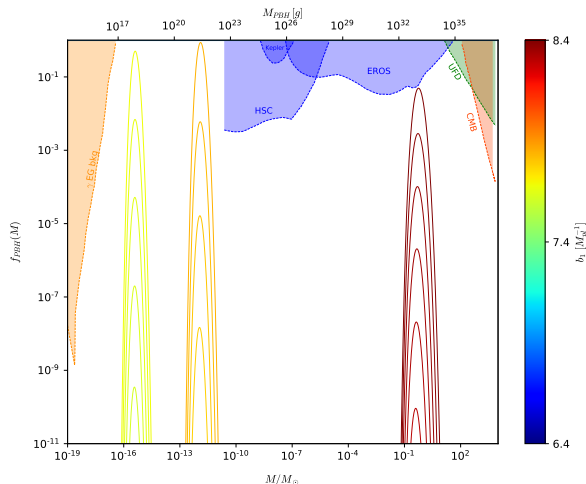
The quantity $f_{\text{PBH}}(M)$ (on the right) corresponding to scalar spectra generated in the reconstructed scenarios (on the left) have been illustrated. We find that $f_{\text{PBH}}(M)$ behaves as $M^{-1/2}$ (in dashed teal, on the right), as expected²⁴.

► Reconstructed scenarios



²⁴H. V. Ragavendra and L. Sriramkumar, arXiv:2301.08887 [astro-ph.CO], invited review for Galaxies.

$f_{\text{PBH}}(M)$ in the two field model



The fraction of PBHs contributing to the dark matter density today $f_{\text{PBH}}(M)$ in the two field model of our interest²⁵.

²⁵ M. Braglia, D. K. Hazra, F. Finelli, G. F. Smoot, L. Sriramkumar and A. A. Starobinsky, JCAP **08**, 001 (2020).



GWs sourced by second order scalar perturbations I

At the second order in the perturbations, one finds that the equation governing the tensor modes, say, $h_{\mathbf{k}}$, can be written as²⁶

$$h_{\mathbf{k}}^{\lambda''} + 2\mathcal{H}h_{\mathbf{k}}^{\lambda'} + k^2 h_{\mathbf{k}}^{\lambda} = S_{\mathbf{k}}^{\lambda}$$

with the source term $S_{\mathbf{k}}^{\lambda}$ being given by

$$S_{\mathbf{k}}^{\lambda}(\eta) = 4 \int \frac{d^3\mathbf{p}}{(2\pi)^{3/2}} e^{\lambda}(\mathbf{k}, \mathbf{p}) \left\{ 2 \Psi_{\mathbf{p}}(\eta) \Psi_{\mathbf{k}-\mathbf{p}}(\eta) + \frac{4}{3(1+w)\mathcal{H}^2} [\Psi'_{\mathbf{p}}(\eta) + \mathcal{H}\Psi_{\mathbf{p}}(\eta)] [\Psi'_{\mathbf{k}-\mathbf{p}}(\eta) + \mathcal{H}\Psi_{\mathbf{k}-\mathbf{p}}(\eta)] \right\},$$

where $\Psi_{\mathbf{k}}$ represents the Fourier modes of the Bardeen potential, while \mathcal{H} and w denote the conformal Hubble parameter and the equation of state parameter describing the universe at the conformal time η . Also, $e^{\lambda}(\mathbf{k}, \mathbf{p}) = e_{ij}^{\lambda}(\mathbf{k}) p^i p^j$, with $e_{ij}^{\lambda}(\mathbf{k})$ representing the polarization of the tensor perturbations.

²⁶K. N. Ananda, C. Clarkson and D. Wands, Phys. Rev. D **75**, 123518 (2007);

D. Baumann, P. J. Steinhardt, K. Takahashi and K. Ichiki, Phys. Rev. D **76**, 084019 (2007).



GWs sourced by second order scalar perturbations II

During radiation domination, we can express the Fourier modes $\Psi_{\mathbf{k}}$ of the Bardeen potential in terms of the inflationary Fourier modes $\mathcal{R}_{\mathbf{k}}$ of the curvature perturbations generated during inflation through the relation

$$\Psi_{\mathbf{k}}(\eta) = \frac{2}{3} \mathcal{T}(k\eta) \mathcal{R}_{\mathbf{k}},$$

where $\mathcal{T}(k\eta)$ is the transfer function given by

$$\mathcal{T}(k\eta) = \frac{9}{(k\eta)^2} \left[\frac{\sin(k\eta/\sqrt{3})}{k\eta/\sqrt{3}} - \cos(k\eta/\sqrt{3}) \right].$$



Spectrum of secondary GWs today

The dimensionless parameter $\Omega_{\text{GW}}(k, \eta)$ describing the energy density of GWs, when evaluated at late times during the radiation dominated epoch, can be expressed as²⁷

$$\Omega_{\text{GW}}(k, \eta) = \frac{\rho_{\text{GW}}(k, \eta)}{\rho_{\text{cr}}(\eta)} = \frac{1}{972} \int_0^\infty dv \int_{|1-v|}^{1+v} du \left[\frac{4v^2 - (1 + v^2 - u^2)^2}{4uv} \right]^2 \mathcal{P}_s(kv) \mathcal{P}_s(ku) \\ \times [\mathcal{I}_c^2(u, v) + \mathcal{I}_s^2(u, v)]$$

where the quantities $\mathcal{I}_c(u, v)$ and $\mathcal{I}_s(u, v)$ are determined by the transfer function $\mathcal{T}(k, \eta)$ for the scalar perturbations.

We can express $\Omega_{\text{GW}}(k)$ today in terms of the above $\Omega_{\text{GW}}(k, \eta)$ as follows:

$$h^2 \Omega_{\text{GW}}(k) \simeq 1.38 \times 10^{-5} \left(\frac{g_{*,k}}{106.75} \right)^{-1/3} \left(\frac{\Omega_r h^2}{4.16 \times 10^{-5}} \right) \Omega_{\text{GW}}(k, \eta),$$

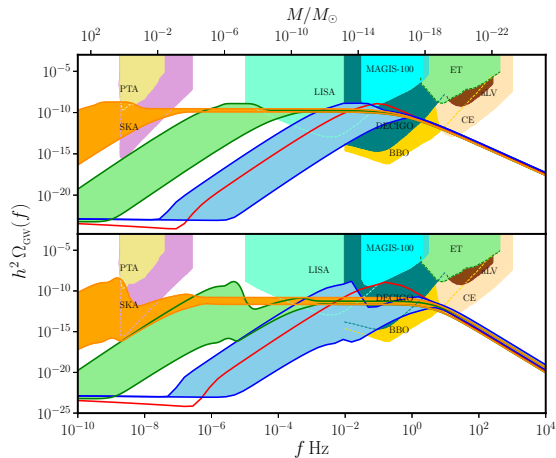
where Ω_r denotes the dimensionless energy density of radiation today, while $g_{*,k}$ and $g_{*,0}$ represent the number of relativistic degrees of freedom at reentry and today, respectively.

²⁷ K. Kohri and T. Terada, Phys. Rev. D **97**, 123532 (2018);

J. R. Espinosa, D. Racco and A. Riotto, JCAP **09**, 012 (2018).



$\Omega_{\text{GW}}(f)$ in ultra slow roll and punctuated inflation

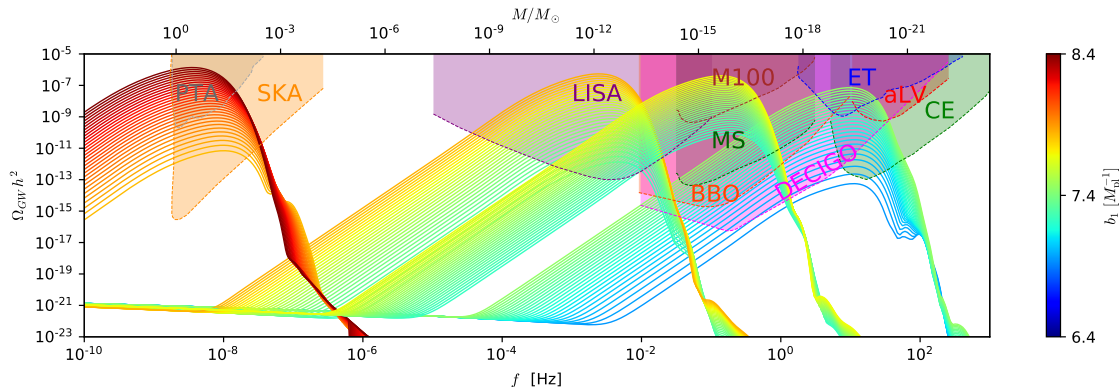


The dimensionless density parameter Ω_{GW} arising in the models and reconstructed scenarios of UR2 and RS1 (in red and blue, on top) as well as PI3 and RS2 (in red and blue, at the bottom) have been plotted as a function of the frequency f ²⁸.

²⁸H. V. Ragavendra, P. Saha, L. Sriramkumar and J. Silk, Phys. Rev. D **103**, 083510 (2021).



$\Omega_{\text{GW}}(f)$ in the two field model



The dimensionless density parameter $\Omega_{\text{GW}}(f)$ arising in the two field model has been plotted as function of frequency for a set of initial conditions for the background fields as well as a range of values of the parameter b_1 ²⁹.

²⁹ M. Braglia, D. K. Hazra, F. Finelli, G. F. Smoot, L. Sriramkumar and A. A. Starobinsky, JCAP **08**, 001 (2020).



Plan of the talk

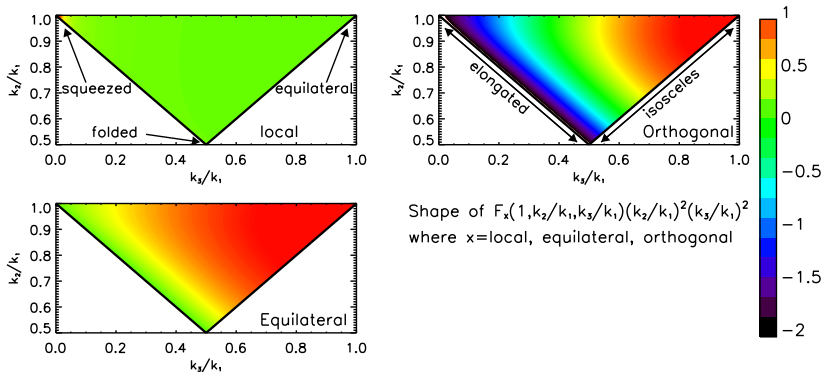
- 1 The need for inflation
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- 7 Summary



Template bispectra

For comparison with the observations, the scalar bispectrum is often expressed in terms of the parameters f_{NL}^{loc} , f_{NL}^{eq} and f_{NL}^{orth} as follows:

$$\mathcal{B}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = f_{NL}^{loc} \mathcal{B}_{loc}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) + f_{NL}^{eq} \mathcal{B}_{eq}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) + f_{NL}^{orth} \mathcal{B}_{orth}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3).$$



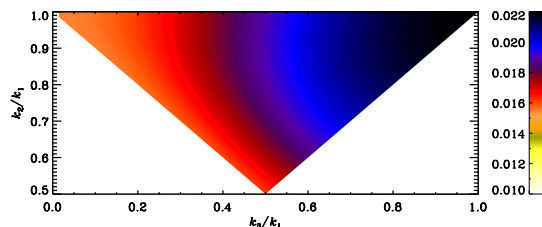
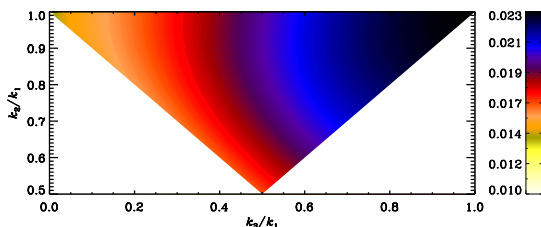
Shape of $F_x(1, k_2/k_1, k_3/k_1)(k_2/k_1)^2(k_3/k_1)^2$
where x =local, equilateral, orthogonal

Illustration of the three template basis bispectra³⁰.

³⁰E. Komatsu, *Class. Quantum Grav.* **27**, 124010 (2010).



The shape of the slow roll bispectrum



The non-Gaussianity parameter f_{NL} , evaluated in the slow roll approximation (analytically on the left and numerically on the right), has been plotted as a function of k_3/k_1 and k_2/k_1 for the case of the popular quadratic potential³¹. Note that the non-Gaussianity parameter peaks in the equilateral limit wherein $k_1 = k_2 = k_3$. In slow roll scenarios involving the canonical scalar field, the largest value of f_{NL} is found to be of the order of the first slow roll parameter ϵ_1 .

³¹D. K. Hazra, L. Sriramkumar and J. Martin, JCAP **05**, 026, (2013).



Constraints on the scalar non-Gaussianity parameters

The constraints on the primordial values of the non-Gaussianity parameters from the Planck data are as follows³²:

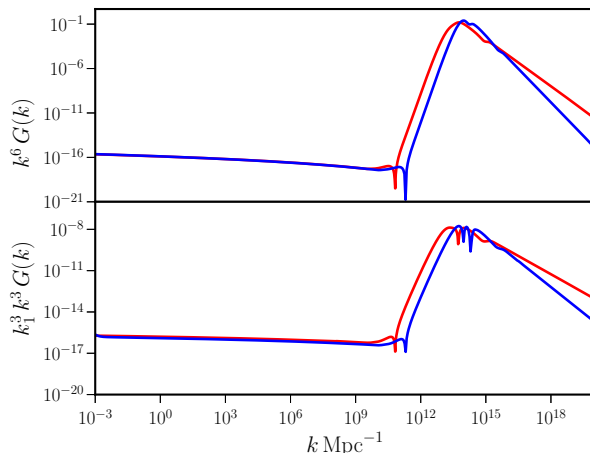
$$\begin{aligned}f_{\text{NL}}^{\text{loc}} &= -0.9 \pm 5.1, \\f_{\text{NL}}^{\text{eq}} &= -26 \pm 47, \\f_{\text{NL}}^{\text{ortho}} &= -38 \pm 24.\end{aligned}$$

These constraints imply that slowly rolling single field models involving the canonical scalar field which are favored by the data at the level of power spectra are also consistent with the data at the level of non-Gaussianities.

³²Planck Collaboration (Y. Akrami *et al.*), *Astron. Astrophys.* **641**, A9 (2020).



The scalar bispectrum in ultra slow roll and punctuated inflation

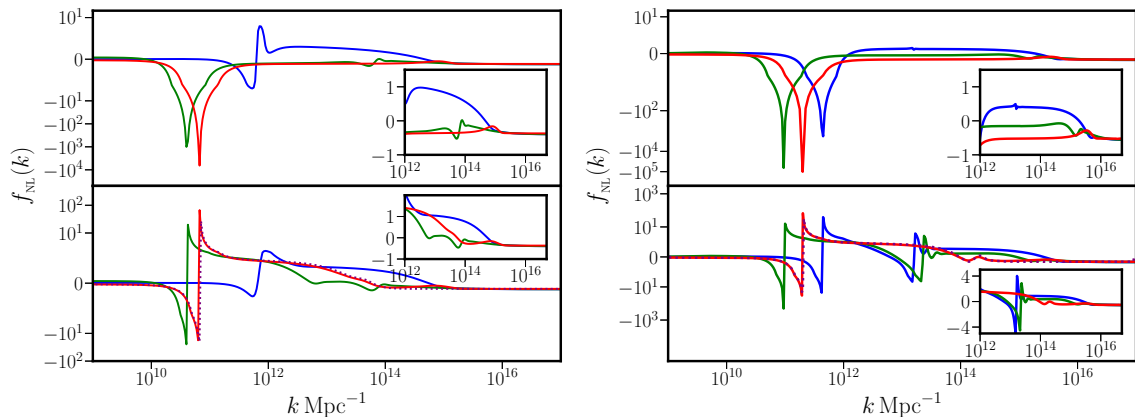


The amplitude of the dimensionless scalar bispectra has been plotted in the equilateral (on top) and squeezed limits (at the bottom) for the models USR2 (in red) and PI3 (in blue). The bispectra have approximately the same shape as the corresponding power spectra³³

³³H. V. Ragavendra, P. Saha, L. Sriramkumar and J. Silk, *Phys. Rev. D* **103**, 083510 (2021).



f_{NL} in ultra slow roll and punctuated inflation



The scalar non-Gaussianity parameter f_{NL} has been plotted in the equilateral (on top) and the squeezed (at the bottom) limits for the models of USR2 and PI3 (in red, on the left and the right) and the reconstructed scenarios RS1 and RS2 (in blue and green, on the left and the right).



Plan of the talk

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Summary

- ◆ Inflationary models permitting an epoch of ultra slow roll lead to enhanced power on small scales, resulting in significant production of PBHs and increased strengths of secondary GWs.
- ◆ The two field models require less amount of fine tuning to generate features in the primordial spectrum³⁴.
- ◆ The effects of non-Gaussianities on the formation of PBHs³⁵ and the generation of secondary GWs³⁶ are presently being examined.

³⁴G. A. Palma, S. Sypsas, C. Zenteno, Phys. Rev. Lett. **125**, 121301, (2020);
J. Fumagalli, S. Renaux-Petel, J. W. Ronayne, L. T. Witkowski, arXiv:2004.08369 [hep-th].

³⁵See, for example, M. Taoso and A. Urbano, JCAP **08**, 016 (2021).

³⁶P. Adshead, K. D. Lozanov and Z. J. Weiner, JCAP **10**, 080 (2021);
H. V. Ragavendra, Phys. Rev. D **105**, 063533 (2022).



Thank you for your attention