

Gravitational waves and the stochastic background

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Prof. M. C. Valsakumar Memorial Lecture
Academy of Physics Teachers, Kerala
Cochin University of Science and Technology, Kochi
January 12, 2024

Plan of the talk

1 Newtonian gravity



Plan of the talk

- 1 Newtonian gravity
- 2 Electromagnetism and special theory of relativity



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- 2 Electromagnetism and special theory of relativity
- 3 General theory of relativity



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- 1 Newtonian gravity
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- 4 Gravitational waves (GWs)



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- 5 Observations by LIGO



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Newton's second law and Galilean relativity

Recall that, the Newton's second law governing the motion of a particle under the influence of a force \mathbf{F} is given by

$$m \mathbf{a} = \mathbf{F},$$

where m is the mass of the particle and $\mathbf{a} = d^2\mathbf{x}/dt^2$ is its acceleration.

In Newtonian mechanics, time is absolute. The time and the spatial coordinates of, say, a particle as observed by two inertial frames can be related as

$$t = t', \quad \mathbf{x} = \mathbf{x}' + \mathbf{V} t',$$

where \mathbf{V} is the relative velocity between the two frames. This relation leads to the Galilean addition of velocities¹.

¹See, for instance, J. R. Taylor, *Classical Mechanics* (University Science Books, Mill Valley, California, 2004).



Newton's inverse square law of gravitation

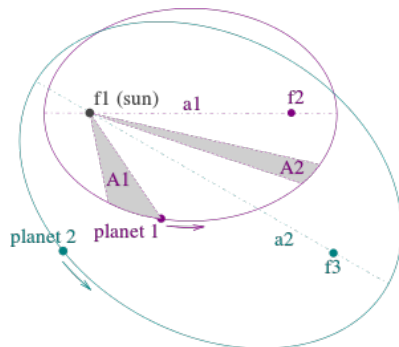
As is well known, the gravitational force \mathbf{F} due to a massive body of mass M on, say, a test particle of mass m , which is located at a distance r is given by the following inverse square law due to Newton:

$$\mathbf{F} = -\frac{GMm}{r^2} \hat{\mathbf{r}},$$

where G is the gravitational constant and $\hat{\mathbf{r}}$ is the unit radial vector pointing from the massive object towards the test particle.



Kepler's laws of planetary motion²



- 1 The orbit of a planet is an ellipse with the Sun at one of the two foci.
- 2 A line segment joining the Sun and a planet sweeps out equal areas during equal intervals of time.
- 3 The square of the orbital period of a planet is proportional to the cube of the semi-major axis of its orbit.

²Image from https://en.wikipedia.org/wiki/Kepler's_laws_of_planetary_motion.



Poisson equation for gravitation

In electrostatics, the potential V satisfies the following Poisson equation:

$$\nabla^2 V = -\frac{\rho}{\epsilon_0},$$

where ρ is the charge density and ϵ_0 is the permittivity of free space.

Since the Coulomb's law and the inverse square of gravitation have the same form, it should be clear that the gravitational potential, say, ϕ , will satisfy a similar Poisson equation given by

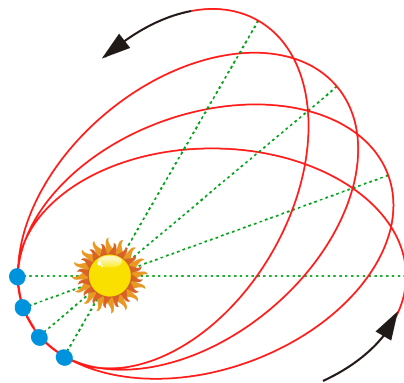
$$\nabla^2 \phi = 4\pi G \rho,$$

where ρ now denotes the mass density that generates the gravitational field.

Note that the above equation for the gravitational potential ϕ implies that the force propagates *instantaneously*.



Precession of the perihelion on Mercury



The perihelion of Mercury has been observed to precess by about $5600''$ per century. Of this, the precession of $5557''$ per century can be explained within Newtonian gravity, when one takes into account the effects due to the other planets and the fact that the Sun is not a perfect sphere. But, at the turn of the twentieth century, there had remained the discrepancy of about $43''$ per century³.

³See, J. B. Hartle, *Gravity: An Introduction to Einstein's General Relativity* (Pearson Education, Delhi, 2003).



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Electromagnetism and Maxwell's equations

The Maxwell's equations govern the dynamics of electric and magnetic fields \mathbf{E} and \mathbf{B} , and are given by

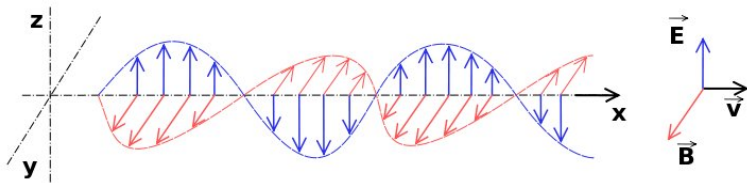
$$\begin{aligned}\nabla \cdot \mathbf{E} &= \frac{\rho}{\epsilon_0}, \\ \nabla \times \mathbf{E} &= -\frac{\partial \mathbf{B}}{\partial t}, \\ \nabla \cdot \mathbf{B} &= 0, \\ \nabla \times \mathbf{B} &= \mu_0 \mathbf{j} + \frac{1}{\mu_0 \epsilon_0} \frac{\partial \mathbf{E}}{\partial t},\end{aligned}$$

where ρ and \mathbf{j} denote the charge and the current densities, while ϵ_0 and μ_0 represent the permittivity and permeability of free space⁴.

⁴See, for instance, D. J. Griffiths, *Introduction to Electrodynamics*, Third Edition (Prentice Hall of India, Delhi, 2002).



Electromagnetic waves



In the regions of space where there is no charge or current, the electric and magnetic fields \vec{E} and \vec{B} satisfy the following wave equation:

$$\square f = \frac{1}{c^2} \frac{\partial^2 f}{\partial t^2} - \nabla^2 f = 0,$$

where $c = 1/\sqrt{\epsilon_0 \mu_0}$ denotes the speed of light in the vacuum.

Electromagnetic waves travel at the speed of light. They are transverse in nature (*i.e.* they oscillate in a plane perpendicular to their direction of propagation), with their polarization being determined by the behavior of the electric field⁵.

⁵D. J. Griffiths, *Introduction to Electrodynamics*, Third Edition, Prentice Hall of India, Delhi, 2002).



Sources of electromagnetic waves

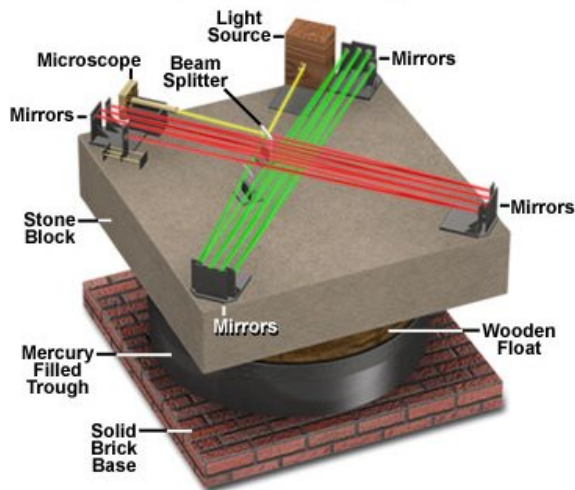
It can be shown that, due to charge conservation, an electric monopole does not radiate. For example, a charged sphere, with a total charge Q , which oscillates radially in and out does not radiate, since, according to the Gauss's law, the field outside the sphere is always $Q \hat{r} / (4 \pi \epsilon_0 r^2)$.

The most dominant type of radiation is due to a charge distribution with a time-dependent electric dipole moment. A simple source with such a property is an accelerating charge⁶.

⁶D. J. Griffiths, *Introduction to Electrodynamics*, Third Edition, Prentice Hall of India, Delhi, 2002).



Light, ether, and the Michelson-Morley interferometer

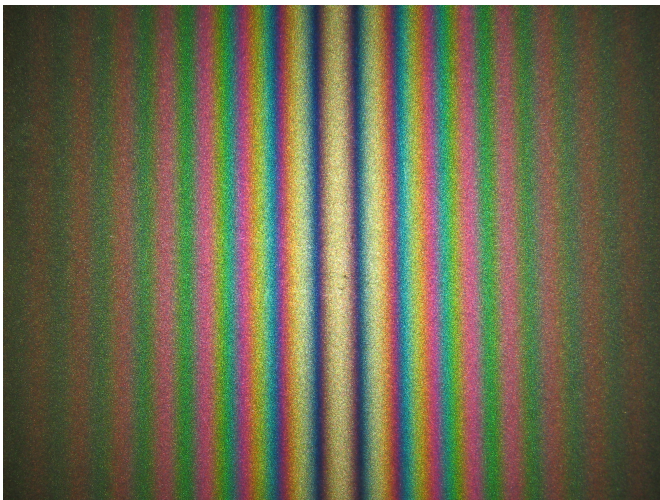


The Michelson-Morley interferometer consists of two arms of equal length along which light is made to propagate before interfering with each other⁷.

⁷Image from <http://olympus.magnet.fsu.edu/primer/lightandcolor/speedoflight.html>.



Fringes in the Michelson-Morley interferometer



The interference fringes in a Michelson-Morley interferometer⁸. Any difference in the two path lengths will be reflected in the shift of the fringes.

⁸Image from https://en.wikipedia.org/wiki/Michelson%E2%80%93Morley_experiment.



Postulates of the special theory of relativity

The special theory of relativity is essentially based on the following two postulates⁹:

- 1 The laws of physics take the same form in every inertial frame.
- 2 The speed of light is the same in all inertial frames.

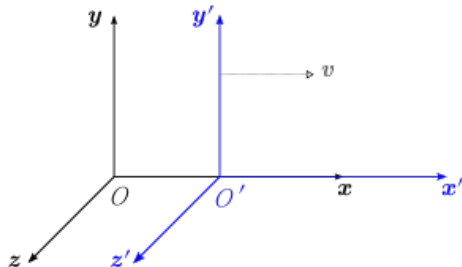
The first of these postulates is essentially the relativistic principle originally due to Galileo.

The second, evidently, is in conflict with the Galilean addition of velocities.

⁹See, for instance, [A. P. French, *Special Relativity* \(W. W. Norton, New York, 1968\)](#).



Lorentz transformations and consequences of special relativity



The time and the spatial coordinates of the above two inertial frames are related by the following Lorentz transformations:

$$t = \gamma (t' + v x' / c^2), \quad x = \gamma (x' + v t'), \quad y = y', \quad z = z',$$

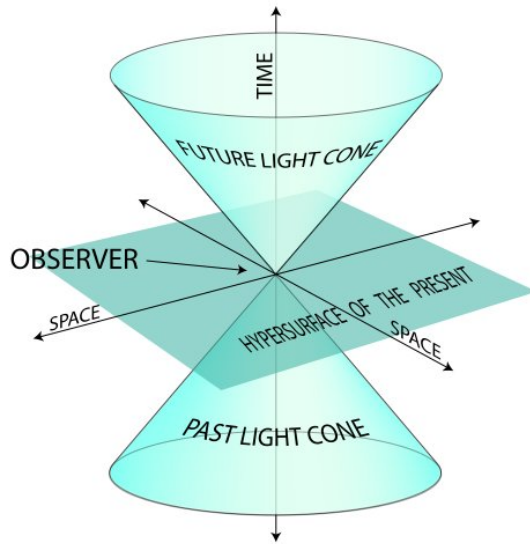
where $\gamma = 1/\sqrt{1 - (v^2/c^2)}$ and c denotes the velocity of light.

The consequences of special relativity include relativity of simultaneity, Lorentz contraction, and time dilation¹⁰.

¹⁰A. P. French, *Special Relativity* (W. W. Norton, New York, 1968).



Concept of spacetime in special relativity



Spacetime is viewed as a single entity in special relativity.

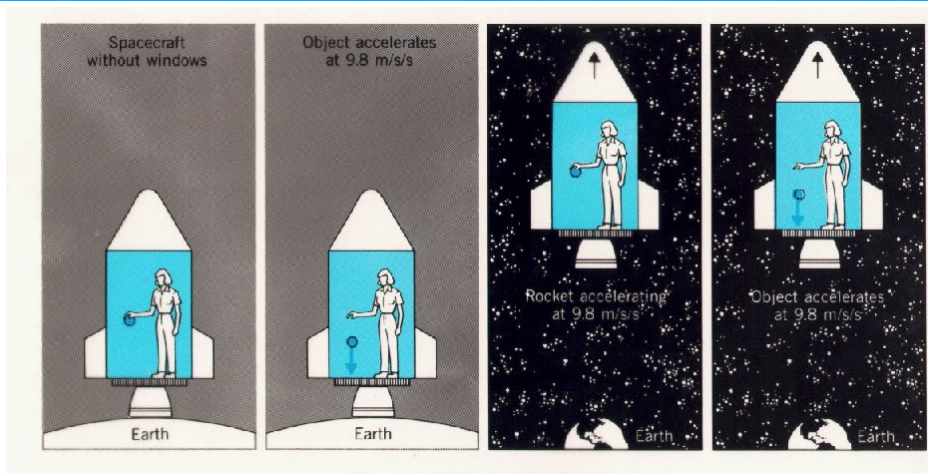


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The equivalence principle

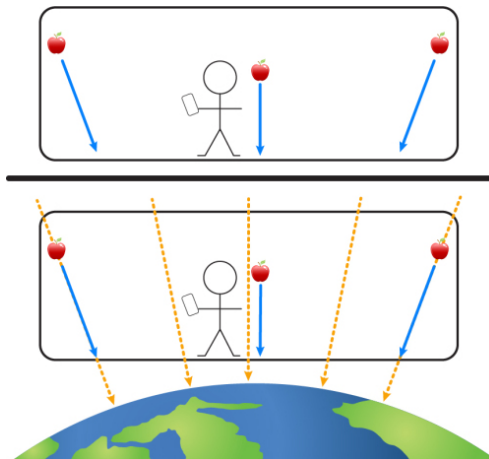


An inertial frame in a uniform gravitational field is completely equivalent to a uniformly accelerated frame¹¹. Note that the coordinates of the uniformly accelerated frame are related to those of the inertial frame by a non-linear coordinate transformation.

¹¹Image from <http://hendrix2.uoregon.edu/~imamura/FPS/week-6/week-6.html>.



Tidal effects in a gravitational field

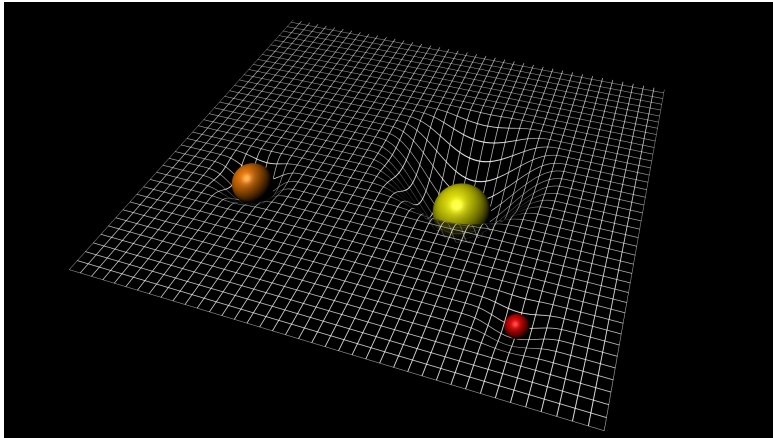


While a gravitational field is equivalent to a uniformly accelerating frame ‘locally’, it is not so ‘globally’¹². The tidal effects make the paths of the falling apples, referred to as geodesics, converge, due to the curvature of Earth.

¹²Image from <https://writescience.wordpress.com/tag/albert-einstein/>.



The Einstein's equations¹⁴



Matter tells spacetime how to curve¹³. The curvature of spacetime (as described by the Einstein tensor $G_{\mu\nu}$) is related to the matter content (as represented by the stress-energy tensor $T_{\mu\nu}$) through the Einstein's equations, viz. $G_{\mu\nu} = (8\pi G/c^4) T_{\mu\nu}$.

¹³J. A. Wheeler, *Geons, Black Holes, and Quantum Foam: A Life in Physics* (W. W. Norton, New York, 2010).

¹⁴Image from http://www.esa.int/spaceinimages/Images/2015/09/Spacetime_curvature.



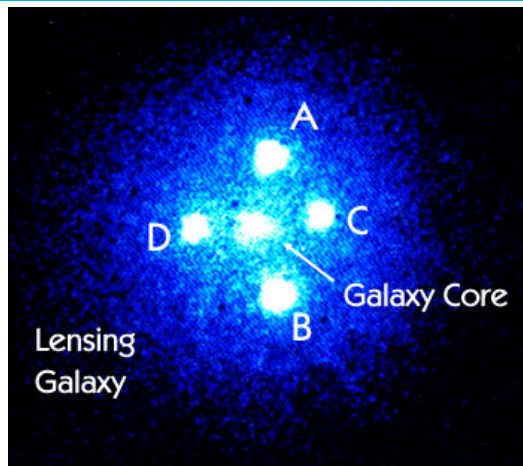
Precession of the perihelion of Mercury

When the general relativistic effects are taken into account, it can be shown that the perihelion of Mercury indeed precesses exactly by the extent of the discrepancy with the Newtonian theory, viz. by $43''$ per century¹⁵.

¹⁵J. B. Hartle, *Gravity: An Introduction to Einstein's General Relativity* (Pearson Education, Delhi, 2003).



Gravitational bending of light

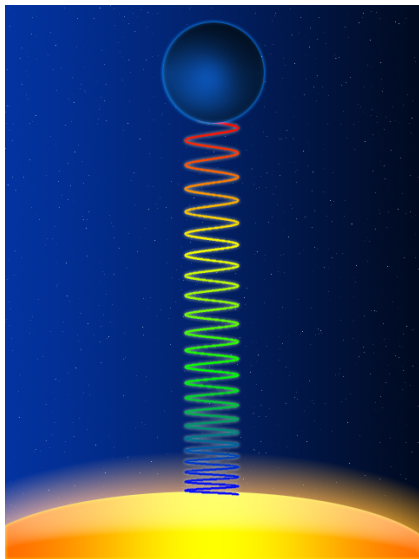


According to general relativity, gravity bends light, a phenomenon that is regularly observed by astronomers. The image above is that of the Einstein cross, which is a quasar that is gravitationally lensed quasar by a foreground galaxy¹⁶.

¹⁶Image from <http://www.skyhound.com/observing/archives/sep/Q2237+0305A.html>.



Gravitational redshift¹⁷



In general relativity, a photon loses energy as it climbs out of a gravitational field. This phenomenon has been experimentally confirmed in the laboratory.

An equivalent phenomenon corresponds to clocks running slow in a gravitational field. This phenomenon and the relativistic time dilation has to be accounted for in the Global Positioning System (GPS), if it is to meet the accuracy (of 2 m) desired for military applications.

¹⁷J. B. Hartle, *Gravity: An Introduction to Einstein's General Relativity* (Pearson Education, Delhi, 2003).



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Transverse nature of GWs

The GWs are small disturbances in a given spacetime (very much like ripples in water), which travel at the speed of light. They satisfy the wave equation in the given background.

The GWs are transverse in nature and are characterized by two degrees of polarization¹⁸.

¹⁸J. B. Hartle, *Gravity: An Introduction to Einstein's General Relativity* (Pearson Education, Delhi, 2003).



Polarization of GWs

A GW impinging on a ring of masses leads to oscillations of the particles depending on the polarization of the wave: plus (on the left) and cross (on the right)¹⁹.

¹⁹J. B. Hartle, *Gravity: An Introduction to Einstein's General Relativity* (Pearson Education, Delhi, 2003).



Quadrupole radiation

Recall that, in the case of electromagnetism, a varying monopole moment is not possible due to conservation of charge. As a result, it is the time-dependent dipole moment of a charge distribution that leads to the dominant contribution to radiation from the system.

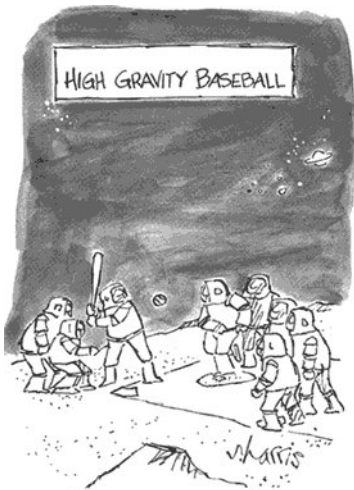
In the context of gravitation, conservation of mass forbids monopole radiation, while conservation of energy and momentum rules out dipole radiation. Therefore, the leading contribution to the gravitational radiation from a source arises due to a varying quadrupole moment²⁰.

²⁰J. B. Hartle, *Gravity: An Introduction to Einstein's General Relativity* (Pearson Education, Delhi, 2003).



Sources of GWs²¹

In order to generate GWs of detectable amplitude, the gravitational fields of the sources ought to be very strong.



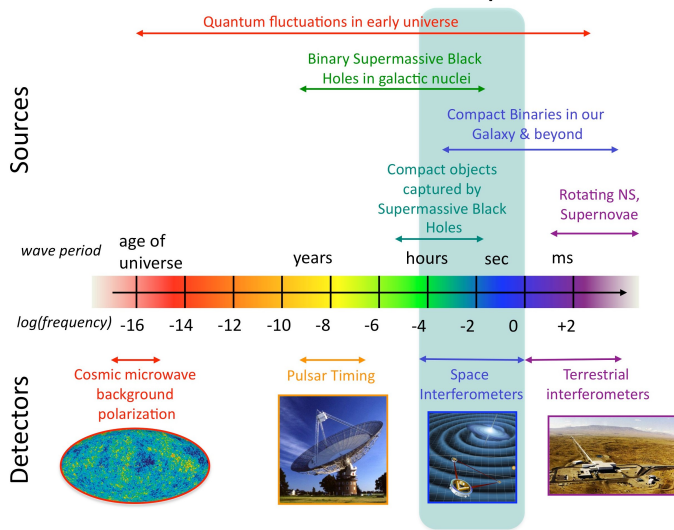
Strong sources of GWs include

- Rotating neutron stars
- Exploding supernovae
- Coalescing binary neutron stars or black holes
- Supermassive binary black holes at the centre of galaxies
- Quantum fluctuations in the early universe

²¹Cartoon from <http://www.sciencecartoonsplus.com/gallery/physics/galphys2b.php>.



The spectrum of GWs



Different sources of GWs and corresponding detectors²².

²²J. B. Hartle, *Gravity: An Introduction to Einstein's General Relativity* (Pearson Education, Delhi, 2003).



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Laser Interferometer Gravitational-Wave Observatory (LIGO)

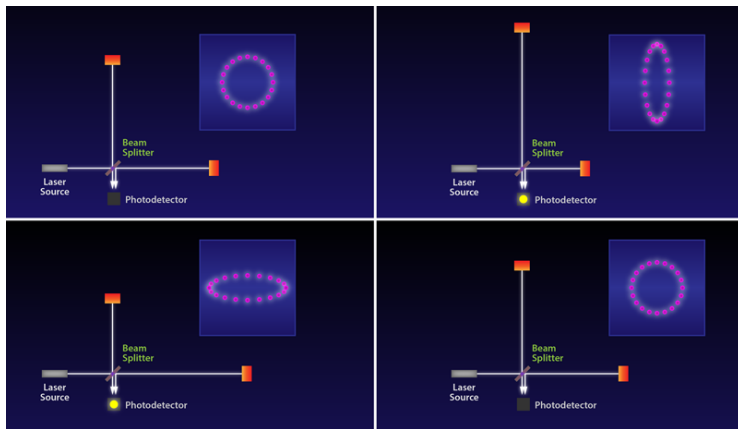


Views of LIGO at Hanford (on the left) and at Livingston (on the right). These observatories are essentially Michelson-Morley interferometers with rather long arms (of length about 4 km) that are extremely sensitive to the smallest disturbances of the mirrors²³.

²³Images from <https://www.advancedligo.mit.edu/summary.html>.



Response of LIGO to an incoming GW



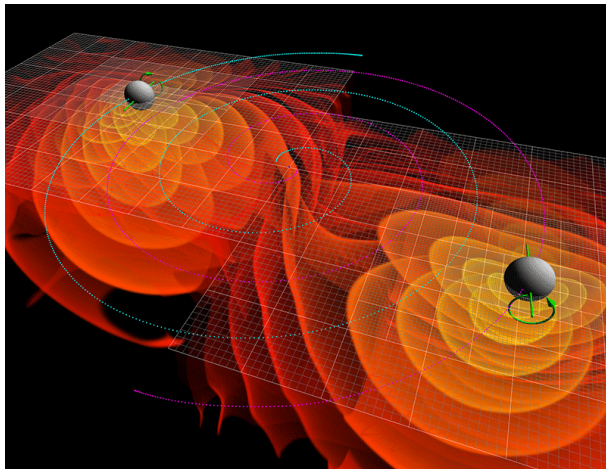
LIGO is designed so that, in the absence of GWs (top left), light takes the same time to travel back and forth along the two arms and interferes destructively at the photodetector, producing no signal. As the wave passes (moving clockwise from top right) the travel times for the lasers change, and a signal appears in the photodetector²⁴.

▶ Play LIGO interferometer movie

²⁴Image from E. Berti, *Physics* **9**, 17 (2016).



GWs from inspiralling black holes



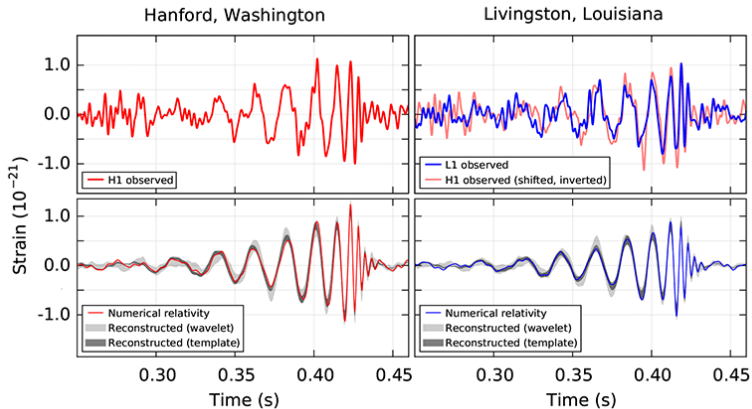
Numerical simulations of the GWs emitted by the coalescence of two black holes. The orange contours represent the amplitude of the GWs and the blue lines represent the orbits of the black holes²⁵.

[▶ Play black hole coalescence movie](#)

²⁵Image from [E. Berti, Physics 9, 17 \(2016\)](#).



A binary black hole merger I: Signals at the two detectors

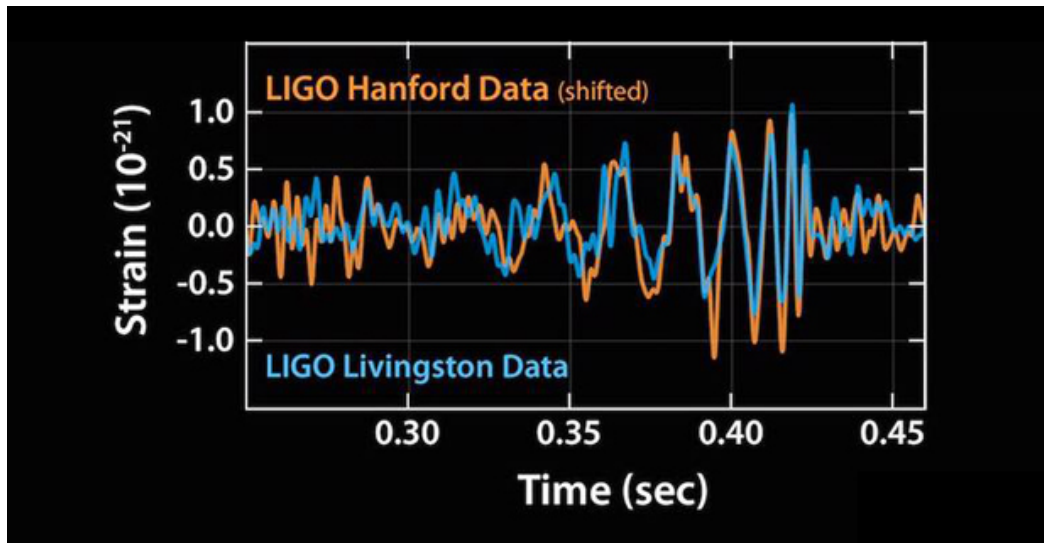


On September 14, 2015, similar signals were observed in both of LIGO's interferometers. The top panels show the measured signal in the Hanford (top left) and Livingston (top right) detectors. The bottom panels show the expected signal produced by the merger of two black holes, based on numerical simulations²⁶.

²⁶Figure from [B. P. Abbott *et al.*, Phys. Rev. Lett. **116**, 061102 \(2016\)](#).



A binary black hole merger II: Superimposing the signals

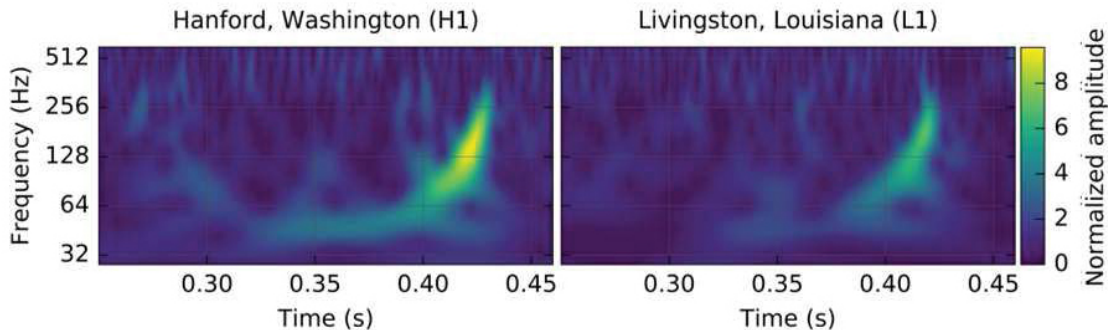


The signals from the two LIGO's interferometers superimposed²⁷.

²⁷Figure from B. P. Abbott *et al.*, *Phys. Rev. Lett.* **116**, 061102 (2016).



A binary black hole merger III: The chirp



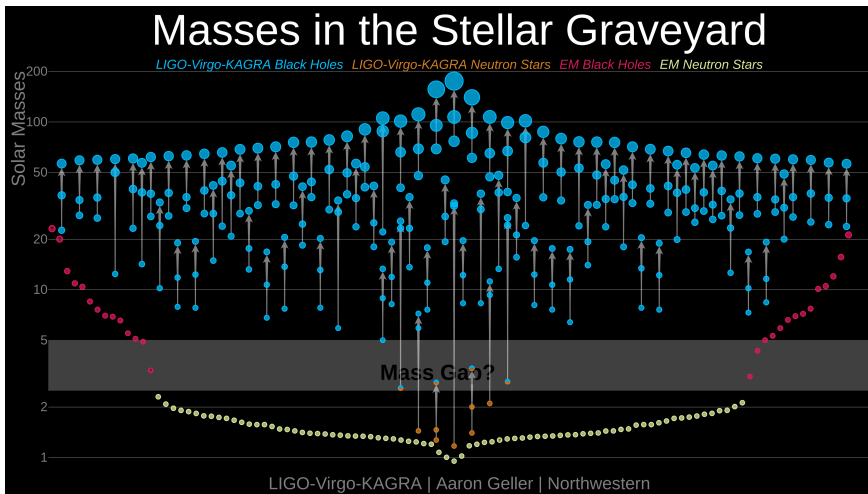
The behavior of the frequency of the observed signal²⁸. The signal sweeps upwards in frequency from 35 to 250 Hz with a peak gravitational-wave strain of 1.0×10^{-21} .

▶ [Play the chirping of black holes movie](#)

²⁸Figure from B. P. Abbott *et al.*, *Phys. Rev. Lett.* **116**, 061102 (2016).



Coalescence of compact binaries observed by LIGO



Catalog of mergers involving black holes and neutron stars released by the LIGO-Virgo-KAGRA Collaboration²⁹.

²⁹Image from <https://media.ligo.northwestern.edu>.

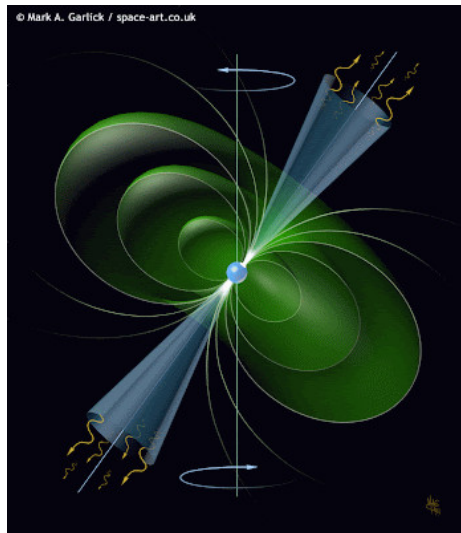


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Pulsars

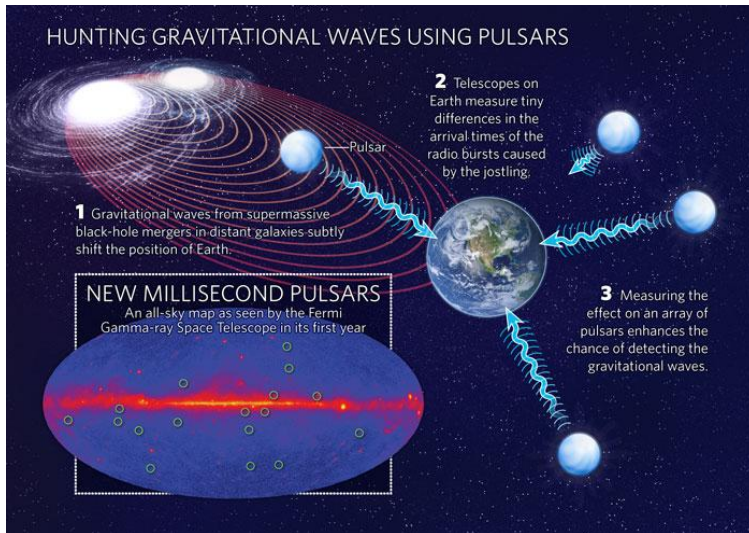


Pulsars are dense and rotating neutron stars that emit regular beams of light³⁰.

³⁰Image from <https://dmr-astronomersclub.blogspot.com/2012/07/what-is-pulsar.html>.



Pulsar timing arrays (PTAs)

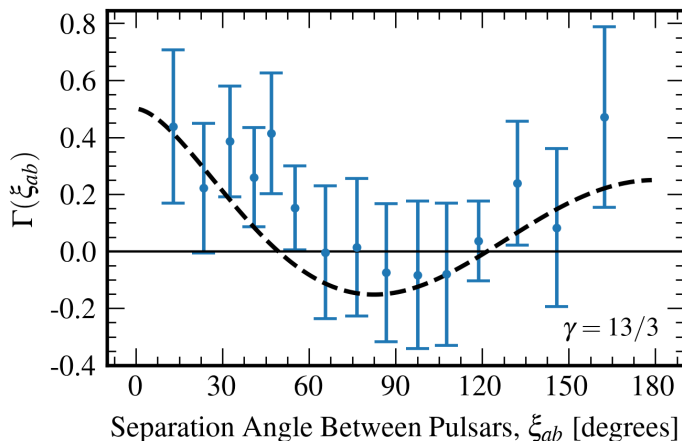


The PTAs monitor an array of millisecond pulsars³¹.

³¹See https://ipta.github.io/mock_data_challenge/.



Hellings-Downs curve

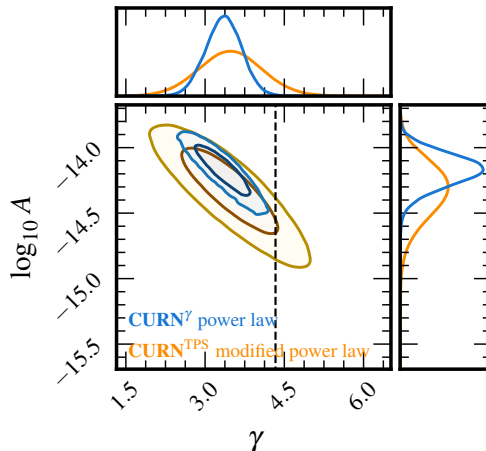


The inter-pulsar correlations measured from 2,211 distinct pairings in the 67-pulsar array of the 15-year NANOGrav data set. The dashed black line shows the Hellings-Downs correlation pattern³².

³²NANOGrav Collaboration (G. Agazie *et al.*) *Astrophys. J. Lett.* **951**, 1 (2023).



Constraints on the spectral amplitude and index of GWs

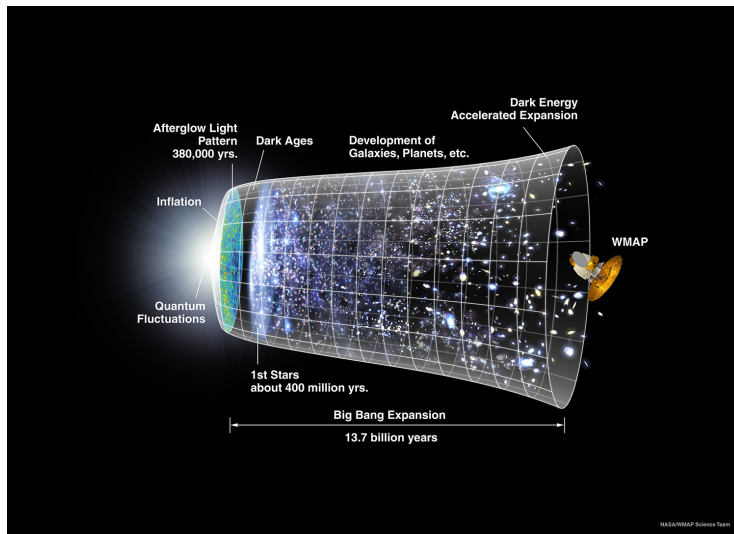


Constraints on the amplitude A and the index γ of the stochastic background of GWs from the 15-year NANOGrav data³³.

³³ NANOGrav Collaboration (G. Agazie *et al.*) *Astrophys. J. Lett.* **951**, 1 (2023).



The timeline of the universe

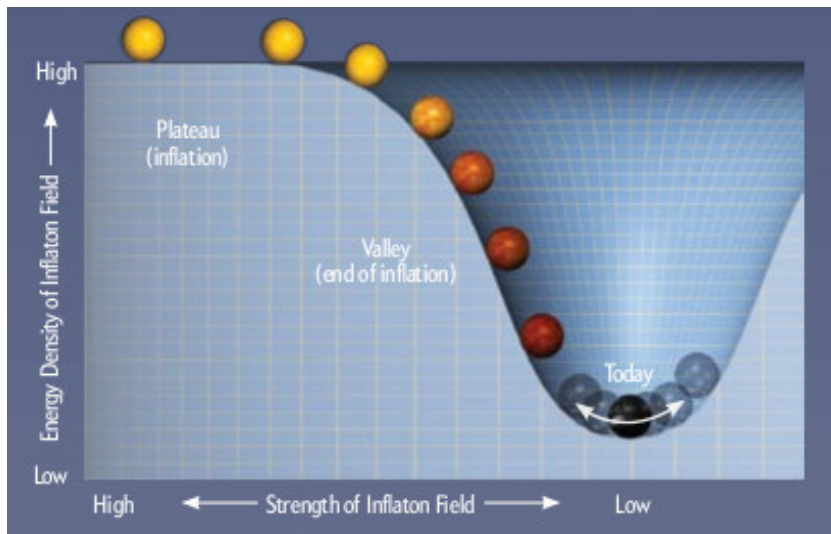


A pictorial timeline of the universe³⁴.

³⁴See http://wmap.gsfc.nasa.gov/media/060915/060915_CMB_Timeline150.jpg.



Driving inflation with scalar fields

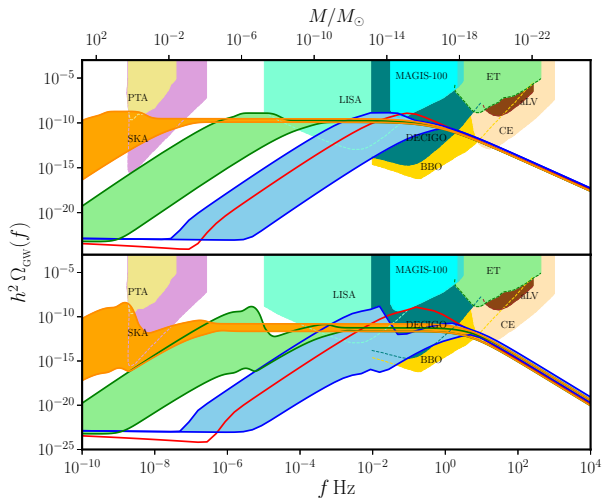


Inflation can be achieved with scalar fields encountered in high energy physics³⁵.

³⁵Image from P. J. Steinhardt, *Sci. Am.* **304**, 34 (2011).



Secondary GWs induced by scalar perturbations in USR inflation

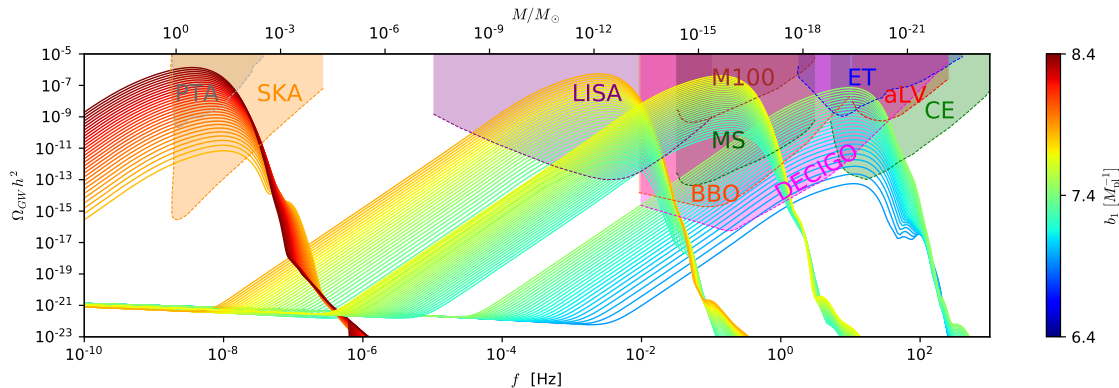


The dimensionless density parameter $\Omega_{\text{GW}}(f)$ of secondary GWs arising in scenarios leading to ultra slow roll (USR) inflation³⁶.

³⁶H. V. Ragavendra, P. Saha, L. Sriramkumar and J. Silk, Phys. Rev. D **103**, 083510 (2021).



Secondary GWs induced by scalar perturbations in two field models

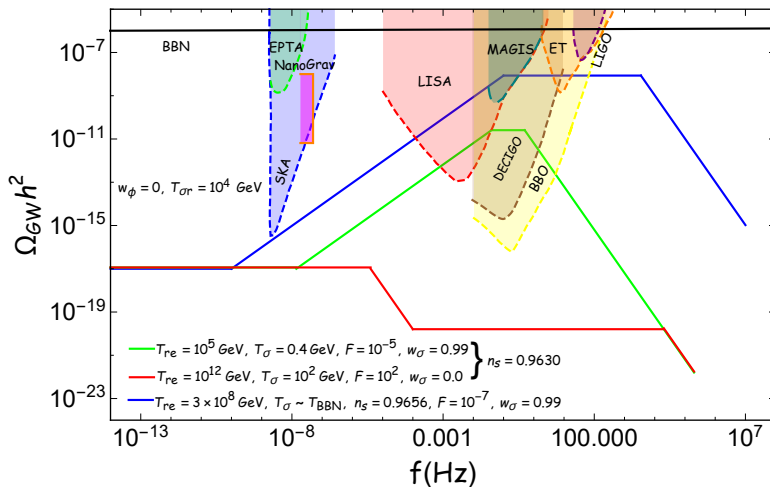


The dimensionless density parameter $\Omega_{\text{GW}}(f)$ of secondary GWs arising in a certain two field model has been plotted as a function of frequency for a set of initial conditions for the background fields as well as a range of values of a parameter involved³⁷.

³⁷ M. Braglia, D. K. Hazra, F. Finelli, G. F. Smoot, L. Sriramkumar and A. A. Starobinsky, JCAP **08**, 001 (2020).



Effects on primary GWs due to late time entropy production

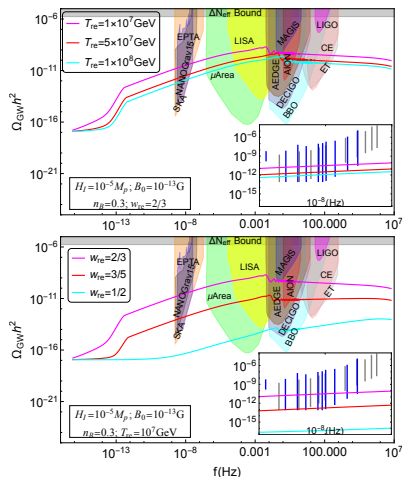


The dimensionless spectral energy density of primary GWs observed today $\Omega_{\text{GW}}(f)$ has been plotted in a scenario involving late time production of entropy³⁸.

³⁸Md. R. Haque, D. Maity, T. Paul and L. Sriramkumar, Phys. Rev. D **104**, 063513 (2021).



Secondary GWs induced by primordial magnetic fields (PMFs)

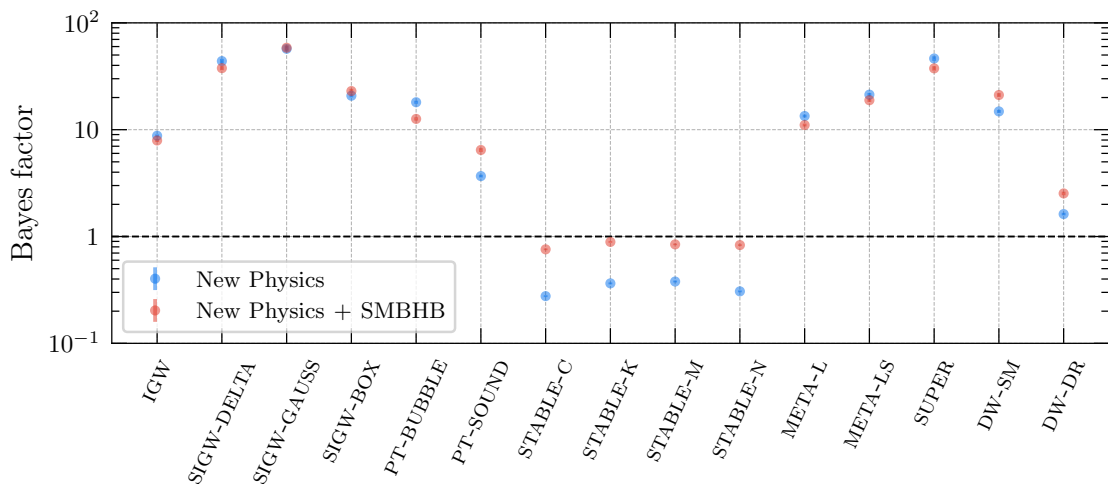


The dimensionless spectral energy density of secondary GWs observed today $\Omega_{\text{GW}}(f)$, induced by the PMFs, have been plotted for different reheating temperatures (on top) and different equation-of-state parameters during reheating (at the bottom)³⁹.

³⁹ S. Maiti, D. Maity and L. Sriramkumar, arXiv:2401.01864 [astro-ph.CO].



Stochastic GW background observed by pulsar timing arrays (PTAs)



The Bayesian evidence for a variety of astrophysical and cosmological sources for the stochastic GW background suggested by the observations of the PTAs ⁴⁰.

⁴⁰ [NANOGrav Collaboration, *Astrophys. J. Lett.* **951**, L11 \(2023\).](#)



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Outlook

- The observations by LIGO are a culmination of almost fifty years of global effort to detect GWs. They are but the first step in a long process, and they have opened up a new window to observe the universe.
- The observations by the PTAs and its implications for the stochastic GW background provide a wonderful opportunity to understand the physics operating in the early universe.
- Observations of GWs along with observations of electromagnetic waves and, say, neutrinos, are expected to be complementary in nature. Such a *multi-messenger astronomy* can lead to a deeper understanding of the physics of the universe.



Thank you for your attention